

General Assembly

Substitute Bill No. 7306

January Session, 2007

HB07306GAE0	33007
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AN ACT CONCERNING GOVERNMENT ADMINISTRATION, THE AUTHORITY OF THE STATE ELECTIONS ENFORCEMENT COMMISSION, CAMPAIGN FINANCE REFORM, AND THE STATE CONTRACTING PROCESS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 20-281c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (a) The board shall grant the certificate of "certified public accountant" to any person who meets the good character, education, experience and examination requirements of subsections (b) to (d), inclusive, of this section and upon the payment of a fee of seventy-five dollars.
- 8 (b) Good character for purposes of this section means lack of a 9 history of dishonest or felonious acts. The board may refuse to grant a 10 certificate on the grounds of failure to satisfy this requirement only if 11 there is a substantial connection between the lack of good character of 12 the applicant and the professional responsibilities of a licensee and if 13 the finding by the board of lack of good character is supported by clear 14 and convincing evidence, and when based upon the prior conviction of 15 a crime, is in accordance with the provisions of section 46a-80. When 16 an applicant is found to be unqualified for a certificate because of a 17 finding of lack of good character, the board shall furnish the applicant

- a statement containing the findings of the board and a complete record of the evidence upon which the determination was based.
- 20 (c) [The educational requirement for a certificate must be met before 21 an applicant is eligible to apply for the examination.] An applicant 22 may apply to take the examination if such person holds a 23 baccalaureate degree, or its equivalent, conferred by a college or 24 university acceptable to the board, with an accounting concentration or 25 equivalent, as determined by the board by regulation to be 26 appropriate. The educational requirements for a certificate shall be 27 prescribed in regulations to be adopted by the board as follows:
 - (1) Until December 31, 1999, a baccalaureate degree or its equivalent conferred by a college or university acceptable to the board, with an accounting concentration or equivalent as determined by the board by regulation to be appropriate;
- 32 (2) After January 1, 2000, at least one hundred fifty semester hours 33 of college education including a baccalaureate or higher degree 34 conferred by a college or university acceptable to the board. The total 35 educational program shall include an accounting concentration or 36 equivalent, as determined by the board by regulation to be 37 appropriate.
 - (d) The board may charge, [or] <u>and may</u> provide for a third party administering the examination to charge each applicant a fee in an amount prescribed by the board by regulation, for each section of the examination or reexamination taken by the applicant.
 - (e) The experience requirement for a certificate shall be as prescribed by the board by regulation.
 - (f) The holder of a certificate may register his certificate annually and pay a fee of twenty dollars in lieu of an annual renewal of a license and such registration shall entitle the registrant to use the abbreviation "CPA" and the title "certified public accountant" under conditions and in the manner prescribed by the board by regulation.

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- Sec. 2. (NEW) (*Effective from passage*) The Ballroom Polka, as composed by Ray Henry Mocarski, shall be the state polka.
- Sec. 3. Subsection (a) of section 10-29a of the general statutes is amended by adding subdivisions (52) to (56), inclusive, as follows
- 53 (*Effective from passage*):
- (NEW) (52) The Governor shall proclaim the twenty-ninth day of January of each year to be Thomas Paine Day to honor Thomas Paine, the author and theorist, for his instrumental role in the cause of independence leading to the American Revolution. Suitable exercises shall be held in the State Capitol and elsewhere as the Governor designates for the observance of the day.
- (NEW) (53) The Governor shall proclaim August twenty-fourth of each year to be Missing Persons Day to raise awareness of the plight of the families of state citizens who have been reported as missing. Suitable exercises shall be held in the State Capitol and elsewhere as the Governor designates for the observance of the day.
- (NEW) (54) The Governor shall proclaim October of each year to be Italian-American Heritage Month in order to honor the contributions of Italian immigrants and citizens of Italian descent to our state. Suitable exercises shall be held in the State Capitol and elsewhere as the Governor designates for the observance of the month.
- (NEW) (55) The Governor shall proclaim August seventeenth of each year to be Marcus Mosiah Garvey Day in order to honor the great Caribbean-American leader. Suitable exercises shall be held in the State Capitol and elsewhere as the Governor designates for the observance of the day.
 - (NEW) (56) The Governor shall proclaim May of each year to be Lyme Disease Awareness Month in order to raise awareness of the causes, effects and treatment of the disease. Suitable exercises shall be held in the State Capitol and elsewhere as the Governor designates for the observance of the month.

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- Sec. 4. Section 2c-2b of the general statutes of the general statutes is
- 81 repealed and the following is substituted in lieu thereof (*Effective from*
- 82 passage):
- 83 (a) The following governmental entities and programs are
- 84 terminated, effective July 1, [2008] 2010, unless reestablished in
- 85 accordance with the provisions of section 2c-10:
- 86 (1) Regulation of hearing aid dealers pursuant to chapter 398;
- 87 (2) Repealed by P.A. 99-102, S. 51;
- 88 (3) Connecticut Homeopathic Medical Examining Board, established
- 89 under section 20-8;
- 90 (4) State Board of Natureopathic Examiners, established under
- 91 section 20-35;
- 92 (5) Board of Examiners of Electrologists, established under section
- 93 20-268;
- 94 (6) Connecticut State Board of Examiners for Nursing, established
- 95 under section 20-88;
- 96 (7) Connecticut Board of Veterinary Medicine, established under
- 97 section 20-196;
- 98 (8) Liquor Control Commission, established under section 30-2;
- 99 (9) Connecticut State Board of Examiners for Optometrists,
- 100 established under section 20-128a;
- 101 (10) Board of Examiners of Psychologists, established under section
- 102 20-186;
- 103 (11) Regulation of speech pathologists and audiologists pursuant to
- 104 chapter 399;
- 105 (12) Connecticut Examining Board for Barbers and Hairdressers and

- 106 Cosmeticians established under section 20-235a;
- 107 (13) Board of Examiners of Embalmers and Funeral Directors
- 108 established under section 20-208;
- 109 (14) Regulation of nursing home administrators pursuant to chapter
- 110 368v;
- 111 (15) Board of Examiners for Opticians established under section 20-
- 112 139a;
- 113 (16) Medical Examining Board established under section 20-8a;
- 114 (17) Board of Examiners in Podiatry, established under section 20-
- 115 51;
- 116 (18) Board of Chiropractic Examiners, established under section 20-
- 117 25;
- 118 (19) The agricultural lands preservation program, established under
- 119 section 22-26cc;
- 120 (20) Nursing Home Ombudsmen Office, established under section
- 121 17a-405;
- 122 (21) Mobile Manufactured Home Advisory Council established
- 123 under section 21-84a;
- 124 (22) Repealed by P.A. 93-262, S. 86, 87;
- 125 (23) The Child Day Care Council established under section 17b-748;
- 126 (24) The Connecticut Advisory Commission on Intergovernmental
- 127 Relations established under section 2-79a;
- 128 (25) The Commission on Children established under section 46a-126;
- 129 (26) The task force on the development of incentives for conserving
- energy in state buildings established under section 16a-39b;

- 131 (27) The estuarine embayment improvement program established
- 132 by sections 22a-113 to 22a-113c, inclusive;
- 133 (28) The State Dental Commission, established under section 20-
- 134 103a;
- 135 (29) The Connecticut Economic Information Steering Committee,
- 136 established under section 32-6i;
- 137 (30) Repealed by P.A. 95-257, S. 57, 58; and
- 138 (31) The registry established under section 17a-247b.
- 139 (b) The following governmental entities and programs are
- 140 terminated, effective July 1, [2009] 2011, unless reestablished in
- accordance with the provisions of section 2c-10:
- 142 (1) Program of regulation of sanitarians, established under chapter
- 143 395;
- 144 (2) Program of regulation of subsurface sewage disposal system
- installers and cleaners, established under chapter 393a;
- 146 (3) Program of regulation of bedding and upholstered furniture
- established by sections 21a-231 to 21a-236, inclusive;
- 148 (4) Regional mental health boards, established under section 17a-
- 149 484;
- 150 (5) Repealed by P.A. 88-285, S. 34, 35;
- 151 (6) All advisory boards for state hospitals and facilities, established
- 152 under section 17a-470;
- 153 (7) Repealed by P.A. 85-613, S. 153, 154;
- 154 (8) State Board of Examiners for Physical Therapists, established
- under section 20-67;

- 156 (9) Commission on Medicolegal Investigations, established under 157 subsection (a) of section 19a-401;
- 158 (10) Board of Mental Health and Addiction Services, established 159 under section 17a-456;
- 160 (11) Repealed by P.A. 95-257, S. 57, 58;
- 161 (12) Commission on Prison and Jail Overcrowding established 162 under section 18-87j; and
- 163 (13) The residential energy conservation service program authorized 164 under sections 16a-45a, 16a-46 and 16a-46a.
- 165 (c) The following governmental entities and programs are 166 terminated, effective July 1, [2010] <u>2012</u>, unless reestablished in 167 accordance with the provisions of section 2c-10:
- 168 (1) Board of Firearms Permit Examiners, established under section 169 29-32b;
- 170 (2) State Board of Landscape Architects, established under section 171 20-368;
- 172 (3) Repealed by P.A. 89-364, S. 6, 7;
- 173 (4) Police Officer Standards and Training Council, established under section 7-294b;
- 175 (5) State Board of Examiners for Professional Engineers and Land 176 Surveyors, established under section 20-300;
- 177 (6) State boards for occupational licensing, established under section 178 20-331;
- 179 (7) Commission of Pharmacy, established under section 20-572;
- 180 (8) Connecticut Real Estate Commission, established under section 181 20-311a;

- 182 (9) State Codes and Standards Committee, established under section
- 183 29-251;
- 184 (10) Commission on Fire Prevention and Control, established under
- 185 section 7-323k;
- 186 (11) Program of regulation of building demolition, established
- 187 under section 29-401;
- 188 (12) Repealed by P.A. 93-262, S. 86, 87 and P.A. 93-423, S. 7; and
- 189 (13) Connecticut Food Policy Council, established under section 22-
- 190 456.
- 191 (d) The following governmental entities and programs are
- 192 terminated, effective July 1, [2011] 2013, unless reestablished in
- accordance with the provisions of section 2c-10:
- 194 (1) State Insurance and Risk Management Board, established under
- 195 section 4a-19;
- 196 (2) Connecticut Marketing Authority, established under section 22-
- 197 63:
- 198 (3) Occupational Safety and Health Review Commission,
- 199 established under section 31-376;
- 200 (4) Connecticut Siting Council, established under section 16-50j;
- 201 (5) Connecticut Public Transportation Commission, established
- 202 under section 13b-11a;
- 203 (6) State Board of Accountancy, established under section 20-280;
- 204 (7) Repealed by P.A. 99-73, S. 10;
- 205 (8) Repealed by P.A. 85-613, S. 153, 154;
- 206 (9) State Milk Regulation Board, established under section 22-131;

- 207 (10) Deleted by P.A. 99-73, S. 1;
- 208 (11) Council on Environmental Quality, established under section
- 209 22a-11;
- 210 (12) Repealed by P.A. 85-613, S. 153, 154;
- 211 (13) Repealed by P.A. 83-487, S. 32, 33;
- 212 (14) Employment Security Board of Review, established under
- 213 section 31-237c;
- 214 (15) Repealed by P.A. 85-613, S. 153, 154;
- 215 (16) Connecticut Energy Advisory Board, established under section
- 216 16a-3;
- 217 (17) Connecticut Solid Waste Management Advisory Council,
- established under subsection (a) of section 22a-279;
- 219 (18) Investment Advisory Council, established under section 3-13b;
- 220 (19) State Properties Review Board, established under subsection (a)
- of section 4b-3;
- 222 (20) Commission on Human Rights and Opportunities, established
- 223 under section 46a-52;
- 224 (21) The coastal management program, established under chapter
- 225 444;
- 226 (22) Department of Economic and Community Development,
- established under sections 4-38c and 8-37r;
- 228 (23) Family support grant program of the Department of Social
- 229 Services, established under section 17b-616;
- 230 (24) Program of regulation of occupational therapists, established
- 231 under chapter 376a;

- 232 (25) Repealed by P.A. 85-613, S. 153, 154;
- 233 (26) Architectural Licensing Board, established under section 20-289;
- 234 (27) Repealed by June Sp. Sess. P.A. 01-5, S. 17, 18; and
- 235 (28) The Connecticut Transportation Strategy Board.
- 236 (e) The following governmental entities and programs are
- 237 terminated, effective July 1, [2012] 2014, unless reestablished in
- 238 accordance with the provisions of section 2c-10:
- 239 (1) Regional advisory councils for children and youth center
- 240 facilities, established under section 17a-30;
- 241 (2) Repealed by P.A. 93-262, S. 86, 87;
- 242 (3) Advisory Council on Children and Families, established under
- 243 section 17a-4;
- 244 (4) Board of Education and Services for the Blind, established under
- 245 section 10-293;
- 246 (5) Repealed by P.A. 84-361, S. 6, 7;
- 247 (6) Commission on the Deaf and Hearing Impaired, established
- 248 under section 46a-27;
- 249 (7) Advisory and planning councils for regional centers for the
- 250 mentally retarded, established under section 17a-273;
- 251 (8) Repealed by P.A. 01-141, S. 15, 16;
- 252 (9) Repealed by P.A. 94-245, S. 45, 46;
- 253 (10) Repealed by P.A. 85-613, S. 153, 154;
- 254 (11) State Library Board, established under section 11-1;
- 255 (12) Advisory Council for Special Education, established under

- 256 section 10-76i;
- 257 (13) Repealed by June 30 Sp. Sess. P.A. 03-6, S. 248;
- 258 (14) Repealed by June 30 Sp. Sess. P.A. 03-6, S. 248;
- 259 (15) Repealed by P.A. 89-362, S. 4, 5;
- 260 (16) Repealed by June Sp. Sess. P.A. 91-14, S. 28, 30;
- 261 (17) Repealed by P.A. 90-230, S. 100, 101;
- 262 (18) State Commission on Capitol Preservation and Restoration,
- 263 established under section 4b-60;
- 264 (19) Repealed by P.A. 90-230, S. 100, 101; and
- 265 (20) Examining Board for Crane Operators, established under 266 section 29-222.
- Sec. 5. (Effective from passage) The Legislative Program Review and
- 268 Investigations Committee shall conduct a study of the sunset law
- 269 contained in chapter 28 of the general statutes. The study shall address
- 270 the needs and merits of the sunset law and alternative methods of
- addressing such needs and other performance measurement processes.
- Not later than January 15, 2008, the Legislative Program Review and
- 273 Investigations Committee shall report its findings and
- 274 recommendations.
- Sec. 6. (NEW) (Effective from passage) The Southern Connecticut
- 276 Renaissance Festival shall be the state renaissance festival.
- Sec. 7. (NEW) (Effective from passage) Any general statute, local law,
- ordinance, charter or regulation adopted by the state or any political
- 279 subdivision of the state that refers to persons with disabilities shall
- 280 utilize language that does not: (1) Imply that such persons are disabled
- as a whole, (2) equate persons with their condition, or (3) have
- 282 negative overtones or have a derogatory or demeaning effect. Nothing

- in this section shall be construed to invalidate any statute, local law, ordinance, charter or regulation that fails to comply with the requirements of this section.
- Sec. 8. Subsection (c) of section 3-117 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 289 (c) Notwithstanding the provisions of subsections (a) and (b) of this 290 section, the [Commissioner of Administrative Services] Chief 291 Information Officer shall charge the appropriations of any state 292 agency, without certification by such agency, for expenses incurred by 293 such agency for basic telephone service, toll telephone service and 294 teletypewriter or computer exchange service. Not later than thirty days 295 following notification of such charge, such agency shall certify to the 296 [commissioner] Chief Information Officer that such services were 297 provided to such agency. As used in this subsection, 298 "telecommunications service" means and includes: The transmission of 299 any interactive electromagnetic communications including but not 300 limited to voice, image, data and any other information, by means of 301 but not limited to wire, cable, including fiber optical cable, microwave, 302 radio wave or any combinations of such media, and the resale or 303 leasing of any such service. "Telecommunications service" includes but 304 is not limited to basic telephone service, toll telephone service and 305 teletypewriter or computer exchange service, including but not limited 306 to, residential and business service, directory assistance, two-way cable 307 television service, cellular mobile telephone or telecommunication 308 service, specialized mobile radio and pagers and paging service, 309 form including any mobile two-way communication. 310 "Telecommunications service" does not include (A) nonvoice services in which computer processing applications are used to act on the 311 312 information to be transmitted, (B) any services or transactions subject 313 to the sales and use tax under chapter 219, (C) any one-way radio or 314 television broadcasting transmission, (D) any telecommunications 315 service rendered by a company in control of such service when

rendered for private use within its organization or (E) any such service rendered by a company controlling such service when such company and the company for which such service is rendered are affiliated companies as defined in section 33-840 or are eligible to file a combined tax return for purposes of the state corporation business tax under chapter 208. (2) "Basic telephone service" means (A) telephone service allowing a telecommunications transmission station to be connected to points within a designated local calling area or (B) any facility or service provided in connection with a service described in subdivision (1) of this subsection but exclusive of any service which is a toll telephone service, teletypewriter or computer exchange service. (3) "Toll telephone service" means and includes the transmission of any interactive electromagnetic communication to points outside the designated local calling area in which the transmission originated for which there is a toll charge which varies in amount with the distance and elapsed transmission time of each individual communication, or a telecommunication service which entitles the subscriber or user, upon the payment of a periodic charge which is determined as a flat amount or upon the basis of total elapsed transmission time, to the privilege of an unlimited number of telephonic or interactive electromagnetic communications to or from all or a substantial portion of the persons having telephone or radio telephone stations in a specified area which is outside the basic telephone system area in which the station provided with this service is located. (4) "Teletypewriter or computer exchange service" means and includes the access from a teletypewriter, telephone, computer or other data station of which such transmission facility is a part, and the privilege of intercommunications by such station with substantially all persons having teletypewriter, telephone, computer or other data stations constituting a part of the same teletypewriter or computer exchange system, to which the subscriber or user is entitled upon payment of a charge or charges, whether such charge or charges are determined as a flat periodic amount on the basis of distance and elapsed transmission time or some other method.

Sec. 9. Section 4d-90 of the general statutes is repealed and the

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following is substituted in lieu thereof (*Effective from passage*):

(a) There is established a Geospatial Information Systems Council consisting of the following members, or their designees: (1) The Secretary of the Office of Policy and Management; (2) Commissioners of Environmental Protection, Economic and Community Development, Transportation, Public Safety, Public Health, Public Works, Agriculture, Emergency Management and Homeland Security and Social Services; (3) the Chief Information Officer of the Department of Information Technology; (4) the Chancellor of the Connecticut State University system; (5) the president of The University of Connecticut; (6) the Executive Director of the Connecticut Siting Council; (7) one member who is a user of geospatial information systems appointed by the president pro tempore of the Senate representing a municipality with a population of more than sixty thousand; (8) one member who is a user of geospatial information systems appointed by the minority leader of the Senate representing a regional planning agency; (9) one member who is a user of geospatial information systems appointed by the Governor representing a municipality with a population of less than sixty thousand but more than thirty thousand; (10) one member who is a user of geospatial information systems appointed by the speaker of the House of Representatives representing a municipality with a population of less than thirty thousand; (11) one member appointed by the minority leader of the House of Representatives who is a user of geospatial information systems; (12) the chairperson of the Public Utility Control Authority; (13) the Adjutant General of the Military Department; and (14) any other persons the council deems necessary appointed by the council. The Governor shall select the chairperson from among the members. The chairperson shall administer the affairs of the council. Vacancies shall be filled by appointment by the authority making the appointment. Members shall receive no compensation for their services on said council, but shall be reimbursed for necessary expenses incurred in the performance of their duties. Said council shall hold one meeting [each month]

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<u>quarterly</u> and such additional meetings as may be prescribed by council rules. In addition, special meetings may be called by the chairperson or by any three members upon delivery of forty-eight hours written notice to each member.

- (b) The council, within available appropriations, shall coordinate a uniform geospatial information system capacity for municipalities, regional planning agencies, the state and others, as needed, which shall include provisions for (1) creation, maintenance and dissemination of geographic information or imagery that may be used to (A) precisely identify certain locations or areas, or (B) create maps or information profiles in graphic or electronic form about particular locations or areas, and (2) promotion of a forum in which geospatial information may be centralized and distributed. In establishing such capacity, the council shall consult with municipalities, regional planning agencies, state agencies and other users of geospatial information system technology. The purpose of any such system shall be to provide guidance or assistance to municipal and state officials in the areas of land use planning, transportation, economic development, environmental, cultural and natural resources management, the delivery of public services and other areas, as necessary.
- (c) The council may apply for federal grants and may accept and expend such grants on behalf of the state through the Office of Policy and Management.
- (d) The council, within available appropriations, shall administer a program of technical assistance to municipalities and regional planning agencies to develop geospatial information systems and shall periodically recommend improvements to the geospatial information system provided for in subsection (b) of this section.
 - (e) On or before January 1, 2006, and annually thereafter, the council shall submit, in accordance with section 11-4a, a report on activities under this section to the joint standing committee of the General Assembly having cognizance of matters relating to planning and

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- Sec. 10. Section 4d-7 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) The Chief Information Officer shall develop, publish and annually update an information and telecommunication systems strategic plan which shall have the following goals: (1) To provide a level of voice and data communications service among all state agencies that will ensure the effective and efficient completion of their respective functions; (2) to establish a direction for the collection, storage, management and use of information by state agencies in an efficient manner; (3) to develop a comprehensive information policy for state agencies that clearly articulates (A) the state's commitment to the sharing of its information resources, (B) the relationship of such resources to library and other information resources in the state and (C) a philosophy of equal access to information; (4) to provide all necessary telecommunication services between state agencies and the public; (5) to provide, in the event of an emergency, immediate voice and data communications and critical application recovery capabilities which are necessary to support state agency functions; and (6) to provide necessary access to higher technology for state agencies.
 - (b) In order to facilitate the development of a fully integrated statewide information services and telecommunication system which effectively and efficiently supports data processing and telecommunication requirements of all state agencies, the strategic plan shall include: (1) Establishment of guidelines and standards for the architecture for information and telecommunication systems which support state agencies; (2) plans for a cost-effective state-wide telecommunication network to support state agencies, which network may consist of different types of transmission media, including wire, fiber and radio, and shall be able to support voice, data, video and facsimile transmission requirements and any other form of information exchange which takes place via electromagnetic media; (3) a level of information systems and telecommunication planning for all state

449 agencies and operations throughout the state that will ensure the 450 effective and efficient utilization and access to the state's information 451 and telecommunication resources, including but not limited to, (A) an 452 inventory of existing on-line public access arrangements for state 453 agency data bases which contain information subject to disclosure 454 under the Freedom of Information Act, as defined in section 1-200, (B) 455 a list of data bases for which such access could be provided, including 456 data bases containing consumer, business and health and human 457 services program information, (C) provisions addressing the feasibility 458 and cost of providing such access, (D) provisions for a public-private 459 partnership in providing such on-line access, and (E) provisions to 460 enable citizens to communicate with state agencies by electronic mail; 461 identification of annual expenditures and major 462 commitments for information and telecommunication systems; and (5) 463 a direction and policy planning pertaining to the infusion of new 464 technology for such systems for state agencies. In carrying out the 465 provisions of subparagraphs (A) to (E), inclusive, of subdivision (3) of 466 this subsection, the Chief Information Officer shall consult with 467 representatives of business associations, consumer organizations and 468 nonprofit human services providers.

- (c) Each state agency shall submit to the Chief Information Officer all plans, documents and other information requested by the Chief Information Officer for the development of such plan.
- (d) The Chief Information Officer shall not implement a state agency proposal for information system hardware, software, maintenance service or consulting unless such proposal complies with the strategic plan and the agency's approved business systems plan. The Chief Information Officer shall maintain a current inventory of information system components to facilitate asset management and procurement leverage.
- Sec. 11. (*Effective from passage*) The joint standing committee of the General Assembly having cognizance of matters relating to government administration shall conduct a study of quasi-public

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- 482 agencies and, not later than January 1, 2008, shall submit a report to 483 the General Assembly on its findings and recommendations.
- 484 Sec. 12. (*Effective from passage*) (a) There is established a task force to study the need for a full time legislature, any requisite change in the 485 486 compensation of members and staff of the General Assembly if a full 487 time legislature is recommended and existing conflicts of interest for 488 members of the legislature, including, but not limited to, an 489 examination of conflicts between committee assignments and private 490 sector employment for members, an evaluation of the need for the 491 current dual-job ban, and any other conflicts of interest that may arise 492 for members of the General Assembly while carrying out their official 493 duties.
- 494 (b) The task force shall consist of the following members:
- 495 (1) Two appointed by the speaker of the House of Representatives;
- 496 (2) Two appointed by the president pro tempore of the Senate;
- 497 (3) One appointed by the majority leader of the House of 498 Representatives;
- 499 (4) One appointed by the majority leader of the Senate;
- 500 (5) One appointed by the minority leader of the House of 501 Representatives; and
- 502 (6) One appointed by the minority leader of the Senate.
- 503 (c) No member of the task force may be a member of the General 504 Assembly.
- (d) All appointments to the task force shall be made not later than thirty days after the effective date of this section. Any vacancy shall be filled by the appointing authority.
- 508 (e) The speaker of the House of Representatives and the president

- pro tempore of the Senate shall select the chairpersons of the task force, from among the members of the task force. Such chairpersons shall schedule the first meeting of the task force, which shall be held not later than sixty days after the effective date of this section.
- (f) The administrative staff of the joint standing committee of the General Assembly having cognizance of matters relating to government administration shall serve as administrative staff of the task force.
 - (g) Not later than January 1, 2009, the task force shall submit a report on its findings and recommendations to the joint standing committee of the General Assembly having cognizance of matters relating to government administration, in accordance with the provisions of section 11-4a of the general statutes. The task force shall terminate on the date that it submits such report or January 1, 2009, whichever is later.
- Sec. 13. (NEW) (*Effective from passage*) (a) For purposes of this section, "state building" means any building or facility owned or leased by the state, and "highway project" means any paving, widening, building or other improvements including, but not limited to, sound barriers and lighting.
 - (b) The State Bond Commission, in the allocation of proceeds of state bonds for purposes of construction, reconstruction or remodeling of any state building or for a highway project, shall allocate for purposes of enforcement of prevailing wage laws, with respect to each such project and for the purposes of subsection (c) of this section, an amount from such proceeds not less than one-half of one per cent of the total estimated cost of such construction, reconstruction or remodeling or highway project where the total cost exceeds four hundred thousand dollars, exclusive of (1) the cost of any land acquisition, (2) any nonconstruction costs, and (3) any augmentations to such cost, provided any such allocation for prevailing wage enforcement as provided in this section shall be approved, prior to

- authorization of such allocation by the State Bond Commission, by the Commissioner of Public Works or the Commissioner Transportation. Such allocation shall be used by the Department of Labor to fund additional staff for the purposes of conducting prevailing wage enforcement.
 - (c) There is established within the General Fund a prevailing wage enforcement account, which shall be a separate, nonlapsing account. The moneys within said account shall be used by the Labor Department (1) to hire staff, (2) to pay fringe benefits to such staff, and (3) for administrative expenses associated with enforcement actions for prevailing wage violations. The Labor Department shall adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, that shall set forth the manner in which the moneys in said account shall be allocated and expended for the purposes of this section and establish procedures to ensure accountability in the expenditure of such funds for enforcement of prevailing wage laws.
 - Sec. 14. Section 3-107 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2007*):

The following-described flag is the official flag of the state. The dimensions of the flag shall be five feet and six inches in length, four feet and four inches in width. The flag shall be azure blue, charged with an argent white shield of rococo design, having in the center three grape vines, supported and bearing fruit in natural colors. The bordure to the shield shall be in two colors, gold on the interior and silver on the exterior, adorned with natural-colored clusters of white oak leaves (Quercus alba) bearing acorns. Above the shield shall be a white streamer, cleft at each end, bordered by a band of gold within fine brown lines and upon the streamer in dark blue block letters shall be "CONNECTICUT". Below the shield shall be a white streamer, cleft at each end, bordered by a band of gold within fine brown lines, and upon the streamer in dark blue block letters shall be the motto "QUI TRANSTULIT SUSTINET"; the whole design being the arms of the state.

- Sec. 15. (NEW) (*Effective from passage*) The Joint Committee on Legislative Management shall establish and operate a Connecticut music hall of fame at the state capitol to recognize distinguished Connecticut residents in the field of music. The committee may solicit, receive and accept aid, grants or contributions, from any source, of money, property, labor or other things of value, for the purposes of this section.
- Sec. 16. (*Effective from passage*) The joint standing committee of the General Assembly having cognizance of matters relating to the Department of Economic and Community Development shall develop a plan for implementing the provisions of section 15 of this act. The committee shall submit the plan to the General Assembly by January 1, 2008.
- Sec. 17. Subsection (c) of section 3-21e of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (c) The State Treasurer (1) may divest, decide to not further invest state funds or not enter into any future investment in any company doing business in Sudan, and (2) shall divest and not further invest in any security or instrument issued by Sudan. In determining whether to divest state funds in accordance with the provisions of subdivision (1) of this subsection, the factors which the Treasurer shall consider shall include, but not be limited to, the following: (A) Revenues paid by such company directly to the government of Sudan, (B) whether such company supplies infrastructure or resources used by the government of Sudan to implement its policies of genocide in Darfur or other regions of Sudan, (C) whether such company knowingly obstructs lawful inquiries into its operations and investments in Sudan, (D) whether such company attempts to circumvent any applicable sanctions of the United States, (E) the extent of any humanitarian activities undertaken by such company in Sudan, (F) whether such company is engaged solely in the provision of goods and services intended to relieve human suffering, or to promote welfare, health,

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- 607 education, religious or spiritual activities, (G) whether such company 608 is authorized by the federal government of the United States to do 609 business in Sudan, (H) evidence that such company has engaged the 610 government of Sudan to cease its abuses in Darfur or other regions in 611 Sudan, (I) whether such company is engaged solely in journalistic 612 activities, and (J) any other factor that the Treasurer deems prudent. In 613 the event that the Treasurer determines that divestment of state funds 614 is warranted from a company in which state funds are invested due to 615 such [company] company's doing business in Sudan, the Treasurer 616 shall give notice to such company that such funds shall be divested 617 from such company for as long as such company does business in 618 Sudan.
- Sec. 18. Subsection (m) of section 4a-82 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (m) Notwithstanding the provisions of subsection (f) of this section, the Commissioner of Administrative Services shall authorize certified small and minority [business] <u>businesses</u> to participate in such pilot program.
- Sec. 19. Subsection (k) of section 4a-100 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (k) (1) Any materially false statement in the application or any update statement may, in the discretion of the awarding authority, result in termination of any contract awarded the applicant by the awarding authority. The awarding authority shall provide written notice to the commissioner of such false statement not later than thirty days after discovering such false statement. The commissioner shall provide written notice of such false statement to the Commissioner of Public Works and the Commissioner of Consumer Protection not later than thirty days after discovering such false statement or receiving such notice.

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- (2) The commissioner shall revoke the prequalification of any person, after an opportunity for hearing, if the commissioner finds that the person has included any materially false statement in such application or update statement, has been convicted of a crime related to the procurement or performance of any public or private construction contract, [or,] within the past five years, or has otherwise engaged in fraud in obtaining or maintaining prequalification. Any person whose prequalification has been revoked pursuant to this subsection shall be disqualified for a period of two years after which the person may reapply for prequalification, except that a person whose prequalification has been revoked on the basis of conviction of a crime or engaging in fraud shall be disqualified for a period of five years after which the person may reapply for prequalification. The commissioner shall not prequalify a person whose prequalification has been revoked pursuant to this subdivision until the expiration of said two or five-year disqualification period and the commissioner is satisfied that the matters that gave rise to the revocation have been eliminated or remedied.
- Sec. 20. Section 4b-103 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) In order to carry out any provision of this title for the construction, renovation or alteration of buildings or facilities, the Commissioner of Public Works may enter into a construction manager at-risk project delivery contract.
 - (b) The Commissioner of Public Works shall not enter into a construction manager at-risk project delivery contract that does not provide for a maximum guaranteed price for the cost of construction that shall be determined not later than the time of the receipt and approval by the commissioner of the trade contractor bids. Each construction manager at-risk shall invite bids and give notice of opportunities to bid on project elements, by advertising, at least once, in one or more newspapers having general circulation in the state. Each bid shall be kept sealed until opened publicly at the time and

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- place [as] set forth in the notice soliciting such bid. The construction manager at-risk shall, after consultation with and approval by the commissioner, award any related contracts for project elements to the responsible qualified contractor submitting the lowest bid in compliance with the bid requirements, provided (1) the construction manager at-risk shall not be eligible to submit a bid for any such project element, and (2) construction shall not begin prior to the determination of the maximum guaranteed price, except for the project elements of site preparation and demolition that have been previously put out to bid and awarded.
- Sec. 21. Subsection (b) of section 9-249 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (b) The election officials of such voting districts shall attend the elections training program developed under subdivision (1) of subsection (c) of section 9-192a and any other meeting or meetings [as are] called for the purpose of receiving such instructions concerning their duties as are necessary for the proper conduct of the election.
- Sec. 22. Subsection (c) of section 9-705 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (c) (1) Notwithstanding the provisions of subsections (a) and (b) of this section, the qualified candidate committee of an eligible minor party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if the candidate of the same minor party for the same office at the last preceding regular election received at least ten per cent of the whole number of votes cast for all candidates for said office at said election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, provided

(A) if the candidate of the same minor party for the same office at the last preceding regular election received at least fifteen per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, (B) if the candidate of the same minor party for the same office at the last preceding regular election received at least twenty per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

(2) Notwithstanding the provisions of subsections (a) and (b) of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least ten per cent of the whole number of votes cast for the same office at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least fifteen per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least twenty per cent of the whole number of votes cast for the same office at the last preceding regular election,

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- the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.
 - (3) In addition to the provisions of subdivisions (1) and (2) of this subsection, the qualified candidate committee of an eligible petitioning party candidate and the qualified candidate committee of an eligible minor party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a supplemental grant from the fund after the general election if the treasurer of such candidate committee reports a deficit in the first statement filed after the general election, pursuant to section 9-608, and such candidate received a greater [per cent] percentage of the whole number of votes cast for all candidates for said office at said election than the [per cent] percentage of votes utilized by such candidate to obtain a general election campaign grant described in subdivision (1) or (2) of this subsection. The amount of such supplemental grant shall be calculated as follows:
 - (A) In the case of any such candidate who receives more than ten per cent, but not more than fifteen per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and ten per cent and the denominator is ten, and (ii) two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
 - (B) In the case of any such candidate who receives more than fifteen per cent, but less than twenty per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by

- such candidate and fifteen per cent and the denominator is five, and (ii) one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
 - (C) The sum of the general election campaign grant received by any such candidate and a supplemental grant under this subdivision shall not exceed one hundred per cent of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- Sec. 23. Subsection (g) of section 9-705 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (g) (1) Notwithstanding the provisions of subsections (e) and (f) of this section, the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if the candidate of the same minor party for the same office at the last preceding regular election received at least ten per cent of the whole number of votes cast for all candidates for said office at said election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, provided (A) if the candidate of the same minor party for the same office at the last preceding regular election received at least fifteen per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, (B) if the candidate of the same minor party for the same office at the last preceding regular election received at least twenty per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the

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same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section.

(2) Notwithstanding the provisions of subsections (e) and (f) of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least ten per cent of the whole number of votes cast for the same office at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least fifteen per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least twenty per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section.

(3) In addition to the provisions of subdivisions (1) and (2) of this subsection, the qualified candidate committee of an eligible petitioning party candidate and the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a supplemental grant from the fund after the general election if the treasurer of such candidate

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committee reports a deficit in the first statement filed after the general election, pursuant to section 9-608, and such candidate received a greater [per cent] <u>percentage</u> of the whole number of votes cast for all candidates for said office at said election than the [per cent] <u>percentage</u> of votes utilized by such candidate to obtain a general election campaign grant described in subdivision (1) or (2) of this subsection. The amount of such supplemental grant shall be calculated as follows:

- (A) In the case of any such candidate who receives more than ten per cent, but [less] <u>not more</u> than fifteen per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and ten per cent and the denominator is ten, and (ii) two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- (B) In the case of any such candidate who receives more than fifteen per cent, but less than twenty per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and fifteen per cent and the denominator is five, and (ii) one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- (C) The sum of the general election campaign grant received by any such candidate and a supplemental grant under this subdivision shall not exceed one hundred per cent of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- Sec. 24. Section 3-22k of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

On or before [October fifteenth] <u>December thirty-first</u>, annually, the Treasurer shall submit a financial report, pursuant to section 3-37, to the Governor on the operations of the trust including the receipts, disbursements, assets, investments, and liabilities and administrative costs of the trust for the prior fiscal year. The Treasurer shall also submit such report to the Connecticut Higher Education Trust Advisory Committee established pursuant to section 3-22e, and make the report available to each depositor and designated beneficiary.

- Sec. 25. Section 4a-67d of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (a) The fleet average for cars or light duty trucks purchased by the state shall: (1) On and after October 1, 2001, have a United States Environmental Protection Agency estimated highway gasoline mileage rating of at least thirty-five miles per gallon and on and after January 1, 2003, have a United States Environmental Protection Agency estimated highway gasoline mileage rating of at least forty miles per gallon, (2) comply with the requirements set forth in 10 CFR 490 concerning the percentage of alternative-fueled vehicles required in the state motor vehicle fleet, and (3) obtain the best achievable mileage per pound of carbon dioxide emitted in its class. The alternative-fueled vehicles purchased by the state to comply with said requirements shall be capable of operating on natural gas or electricity or any other system acceptable to the United States Department of Energy that operates on fuel that is available in the state.
- (b) Notwithstanding any other provisions of this section, (1) on and after January 1, 2008, any car or light duty truck purchased by the state shall have an efficiency rating that is in the top third of all vehicles in such purchased vehicle's class and fifty per cent of such cars and light duty trucks shall be an alternative fueled, hybrid electric or plug-in electric vehicle, and (2) on and after January 1, 2012, one hundred per cent of such cars and light duty trucks shall be alternative fueled, hybrid electric or plug-in electric vehicles.

- [(b)] (c) The provisions of [subsection (a)] <u>subsections (a) and (b)</u> of this section shall not apply to [cars or light duty trucks purchased for law enforcement or other special use purposes as designated by the Department of Administrative Services] <u>state police vehicles used in</u> the immediate pursuit of actual or suspected violators of the law.
- [(c)] (d) As used in this section, the terms "car" and "light duty truck" shall be as defined in the United States Department of Energy Publication DOE/CE -0019/8, or any successor publication.
- Sec. 26. (*Effective from passage*) (a) Not later than January 1, 2008, the Commissioner of Environmental Protection, in consultation with the Commissioner of Administrative Services, shall determine whether the state qualifies for an exemption under the federal Energy Policy Act of 2005 from the requirement to utilize ethanol fuel in state vehicles, due to the lack of availability in the state of such fuel.
- 915 (b) Not later than January 1, 2008, the Commissioner of 916 Environmental Protection, in consultation with the Commissioner of 917 Administrative Services, shall develop a plan to increase the utilization 918 of existing ethanol fueling stations in the state. Not later than February 919 1, 2008, such plan shall be submitted to the joint standing committees 920 of the General Assembly having cognizance of matters relating to 921 government administration and the environment.
- 922 (c) Not later than January 1, 2008, the Commissioner of 923 Environmental Protection shall determine whether the state may 924 utilize any credits the state may have under the Energy Policy Act of 925 2005 to invest in hydrogen fuel vehicles.
- 926 Sec. 27. Section 9-7b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 928 (a) The State Elections Enforcement Commission shall have the 929 following duties and powers:
- 930 (1) To make investigations on its own initiative or with respect to

statements filed with the commission by the Secretary of the State or any town clerk, or upon written complaint under oath by any individual, with respect to alleged violations of any provision of the general statutes or regulations of Connecticut state agencies relating to any election or referendum, any primary held pursuant to section 9-423, 9-425 or 9-464 or any primary held pursuant to a special act, and to hold hearings when the commission deems necessary to investigate violations of any provisions of the general statutes relating to any such election, primary or referendum, and for the purpose of such hearings the commission may administer oaths, examine witnesses and receive oral and documentary evidence, and shall have the power to subpoena witnesses under procedural rules the commission shall adopt, to compel their attendance and to require the production for examination of any books and papers which the commission deems relevant to any matter under investigation or in question. In connection with its investigation of any alleged violation of any provision of chapter 145, or of any provision of section 9-359 or section 9-359a, the commission shall also have the power to subpoena any municipal clerk and to require the production for examination of any absentee ballot, inner and outer envelope from which any such ballot has been removed, depository envelope containing any such ballot or inner or outer envelope as provided in sections 9-150a and 9-150b and any other record, form or document as provided in section 9-150b, in connection with the election, primary or referendum to which the investigation relates. In case of a refusal to comply with any subpoena issued pursuant to this subsection or to testify with respect to any matter upon which that person may be lawfully interrogated, the superior court for the judicial district of Hartford, on application of the commission, may issue an order requiring such person to comply with such subpoena and to testify; failure to obey any such order of the court may be punished by the court as a contempt thereof. In any matter under investigation which concerns the operation or inspection of or outcome recorded on any voting machine, the commission may issue an order to the municipal clerk to impound such machine until the investigation is completed;

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(2) To levy a civil penalty not to exceed (A) two thousand dollars per offense against any person the commission finds to be in violation of any provision of chapter 145, part V of chapter 146, part I of chapter 147, chapter 148, section 7-9, section 9-12, subsection (a) of section 9-17, section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-23r, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-43, 9-50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-232i to 9-2320, inclusive, 9-404a to 9-404c, inclusive, 9-409, 9-410, 9-412, 9-436, 9-436a, 9-453e to 9-453h, inclusive, 9-453k or 9-453o, or any regulation adopted pursuant to any said chapter or section, (B) two thousand dollars per offense against any town clerk, registrar of voters, an appointee or designee of a town clerk or registrar of voters, or any other election or primary official whom the commission finds to have failed to discharge a duty imposed by any provision of chapter 146 or 147, (C) two thousand dollars per offense against any person the commission finds to have (i) improperly voted in any election, primary or referendum, and (ii) not been legally qualified to vote in such election, primary or referendum, [or] (D) two thousand dollars per offense or twice the amount of any improper payment or contribution, whichever is greater, against any person the commission finds to be in violation of any provision of chapter 155 [or sections 9-700 to 9-716, inclusive] or 157, or any regulation adopted pursuant to either said chapter, or (E) ten thousand dollars against any person who violates a prior order of the commission. The commission may levy a civil penalty against any person under subparagraph (A), (B), (C), [or] (D) or (E) of this subdivision only after giving the person an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive. In the case of failure to pay any such penalty levied pursuant to this subsection within thirty days of written notice sent by certified or registered mail to such person, the superior court for the judicial district of Hartford, on application of the commission, may issue an order requiring such person to pay the penalty imposed and such court costs, state marshal's fees and attorney's fees incurred by the commission as the court may determine. Any civil penalties paid, collected or recovered under subparagraph (D) of this subdivision for

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a violation of any provision of chapter 155 or any regulation adopted pursuant to said chapter 155 applying to the office of the Treasurer shall be deposited on a pro rata basis in any trust funds, as defined in section 3-13c, affected by such violation;

- (3) (A) To issue an order requiring any person the commission finds to have received any contribution or payment which is prohibited by any of the provisions of chapter 155 or 157, after an opportunity to be heard at a hearing conducted in accordance with the provisions of sections 4-176e to 4-184, inclusive, to return such contribution or payment to the donor or payor, or to remit such contribution or payment to the state for deposit in the General Fund or the Citizens' Election Fund, whichever is deemed necessary to effectuate the purposes of chapter 155 or 157, as the case may be;
- (B) To issue an order when the commission finds that an intentional violation of any provision of chapter 155 or 157 has been committed, after an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive, which order may contain one or more of the following sanctions: (i) Removal of a campaign treasurer, deputy campaign treasurer or solicitor; (ii) prohibition on serving as a campaign treasurer, deputy campaign treasurer or solicitor, for a period not to exceed four years; and (iii) in the case of a party committee or a political committee, suspension of all political activities, including, but not limited to, the receipt of contributions and the making of expenditures, provided the commission may not order such a suspension unless the commission has previously ordered the removal of the campaign treasurer and notifies the officers of the committee that the commission is considering such suspension;
- (C) To issue an order revoking any person's eligibility to be appointed or serve as an election, primary or referendum official or unofficial checker or in any capacity at the polls on the day of an election, primary or referendum, when the commission finds such person has intentionally violated any provision of the general statutes relating to the conduct of an election, primary or referendum, after an

- opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive;
- 1036 (D) To issue an order to enforce the provisions of the Help America 1037 Vote Act, P.L. 107-252, as amended from time to time, as the 1038 commission deems appropriate;
 - (E) To issue an order following the commission's determination of the right of an individual to be or remain an elector when such determination is made (i) pursuant to an appeal taken to the commission from a decision of the registrars of voters or board of admission of electors under section 9-31*l*, or (ii) following the commission's investigation pursuant to subdivision (1) of this subsection;
 - (F) To issue a cease and desist order for violation of any general statute or regulation under the commission's jurisdiction and to take reasonable actions necessary to compel compliance with said general statute or regulation;
 - (4) To issue an order to a candidate committee that receives moneys from the Citizens' Election Fund pursuant to [sections 9-700 to 9-716, inclusive] <u>chapter 157</u>, to comply with the provisions of [sections 9-700 to 9-716, inclusive] <u>chapter 157</u>, after an opportunity to be heard at a hearing conducted in accordance with the provisions of sections 4-176e to 4-184, inclusive;
 - (5) To inspect or audit at any reasonable time and upon reasonable notice the accounts or records of any campaign treasurer or principal campaign treasurer, as required by chapter 155 or 157 and to audit any such election, primary or referendum held within the state; provided, (A) (i) not later than two months preceding the day of an election at which a candidate is seeking election, the commission shall complete any audit it has initiated in the absence of a complaint that involves a committee of the same candidate from a previous election, and (ii) during the two-month period preceding the day of an election at

- which a candidate is seeking election, the commission shall not initiate an audit in the absence of a complaint that involves a committee of the same candidate from a previous election, and (B) the commission shall not audit any caucus, as defined in subdivision (1) of section 9-372.

 Nothing in this subdivision shall be construed to limit the power of the commission to conduct inspections, audits or investigations related to the Citizens' Election Program;
 - (6) To attempt to secure voluntary compliance, by informal methods of conference, conciliation and persuasion, with any provision of [chapters] chapter 149, 151 to 153, inclusive, 155, [and] 156 or 157 or any other provision of the general statutes relating to any such election, primary or referendum;
- 1077 (7) To consult with the Secretary of the State, the Chief State's
 1078 Attorney or the Attorney General on any matter which the commission
 1079 deems appropriate;
 - (8) To refer to the Chief State's Attorney evidence bearing upon violation of any provision of [chapters] <u>chapter</u> 149, 151 to 153, inclusive, 155, [and] 156 <u>or 157</u> or any other provision of the general statutes pertaining to or relating to any such election, primary or referendum;
 - (9) To refer to the Attorney General evidence for injunctive relief and any other ancillary equitable relief in the circumstances of subdivision (8) of this subsection. Nothing in this subdivision shall preclude a person who claims that he is aggrieved by a violation of any provision of chapter 152 or any other provision of the general statutes relating to referenda from pursuing injunctive and any other ancillary equitable relief directly from the Superior Court by the filing of a complaint;
 - (10) To refer to the Attorney General evidence pertaining to any ruling which the commission finds to be in error made by election officials in connection with any election, primary or referendum. Those

- 1096 remedies and procedures available to parties claiming to be aggrieved 1097 under the provisions of sections 9-323, 9-324, 9-328 and 9-329a shall 1098 apply to any complaint brought by the Attorney General as a result of 1099 the provisions of this subdivision;
- 1100 (11) To consult with the United States Department of Justice and the 1101 United States Attorney for Connecticut on any investigation pertaining 1102 to a violation of this section, section 9-12, subsection (a) of section 9-17 1103 or section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-1104 23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 1105 9-42, 9-43, 9-50a, 9-56 or 9-59 and to refer to said department and 1106 attorney evidence bearing upon any such violation for prosecution 1107 under the provisions of the National Voter Registration Act of 1993, 1108 P.L. 103-31, as amended from time to time;
- 1109 (12) To inspect reports filed with [the Secretary of the State and 1110 with town clerks pursuant to chapter 155 and refer to the Chief State's 1111 Attorney evidence bearing upon any violation of law therein if such 1112 violation was committed knowingly and wilfully;
- 1113 (13) To intervene in any action brought pursuant to the provisions 1114 of sections 9-323, 9-324, 9-328 and 9-329a upon application to the court 1115 in which such action is brought when in the opinion of the court it is 1116 necessary to preserve evidence of possible criminal violation of the 1117 election laws;
- 1118 (14) To adopt and publish regulations pursuant to chapter 54 to 1119 carry out the provisions of section 9-7a, this section, [chapter 155 and 1120 sections 9-700 to 9-716, inclusive] chapters 155 and 157; to issue upon request and publish advisory opinions in the Connecticut Law Journal 1122 upon the requirements of [chapter 155] chapters 155 and 157, and to 1123 make recommendations to the General Assembly concerning 1124 suggested revisions of the election laws;
- 1125 (15) To the extent that the Elections Enforcement Commission is 1126 involved in the investigation of alleged or suspected criminal

- 1127 violations of any provision of the general statutes pertaining to or 1128 relating to any such election, primary or referendum and is engaged in 1129 such investigation for the purpose of presenting evidence to the Chief 1130 State's Attorney, the Elections Enforcement Commission shall be 1131 deemed a law enforcement agency for purposes of subdivision (3) of 1132 subsection (b) of section 1-210, provided nothing in this section shall be 1133 construed to exempt the Elections Enforcement Commission in any 1134 other respect from the requirements of the Freedom of Information 1135 Act, as defined in section 1-200;
 - (16) To enter into such contractual agreements as may be necessary for the discharge of its duties, within the limits of its appropriated funds and in accordance with established procedures;
 - (17) To provide the Secretary of the State with notice and copies of all decisions rendered by the commission in contested cases, advisory opinions and declaratory judgments, at the time such decisions, judgments and opinions are made or issued;
 - (18) To receive and determine complaints filed under the Help America Vote Act, P.L. 107-252, as amended from time to time, by any person who believes there is a violation of any provision of Title III of P.L. 107-252, as amended. Any complaint filed under this subdivision shall be in writing, notarized and signed and sworn by the person filing the complaint. At the request of the complainant, there shall be a hearing on the record, conducted in accordance with sections 4-167e to 4-184, inclusive. The commission shall make a final determination with respect to a complaint prior to the expiration of the ninety-day period beginning on the date the complaint is filed, unless the complainant consents to a longer period for making such determination. If the commission fails to meet the applicable deadline under this subdivision with respect to a complaint, the commission shall resolve the complaint within sixty days after the expiration of such ninety-day period under an alternative dispute resolution procedure established by the commission.

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- (b) In the case of a refusal to comply with an order of the commission issued pursuant to subdivision (3) or (4) of subsection (a) of this section, the superior court for the judicial district of Hartford, on application of the commission, may issue a further order to comply. Failure to obey such further order may be punished by the court as a contempt thereof.
- Sec. 28. Subsection (d) of section 9-604 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1168 (d) A slate of candidates in a primary for the office of justice of the 1169 peace shall designate a chairperson to form a single political committee 1170 to comply with the requirements of section 9-605, except [(1)] if the 1171 individuals on the slate unanimously consent to have their campaign 1172 financed solely by a town committee and such committee consents to 1173 such financing by filing a statement of consent with [both the Secretary 1174 of the State and] the town clerk of the municipality in which the 1175 primary is to be held. [, or (2) in the case of a primary for convention 1176 delegates to a United States senatorial or congressional district 1177 convention, the candidate on whose behalf the slate is committed has 1178 filed a registration of a committee with the Federal Election 1179 Commission, and that committee is solely financing the primary 1180 campaign for said delegates.]
 - Sec. 29. Subsection (b) of section 9-605 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (b) The statement shall be complete, filed under penalty of false statement and include: (1) The name and address of the committee; (2) a statement of the purpose of the committee; (3) the name, [and] address and telephone number of its campaign treasurer, and deputy campaign treasurer if applicable; (4) the name, address, telephone number and position of its chairman, and other principal officers if applicable, including the individuals who control the committee; (5)

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the name and address of the depository institution for its funds; [(6) 1192 the name of each person, other than an individual, that is a member of 1193 the committee; (7)] (6) the name and party affiliation of each candidate 1194 whom the committee is supporting and the office or position sought by 1195 each candidate; [(8)] (7) if the committee is supporting [the entire ticket 1196 of any party] a slate of candidates, a statement to that effect and the 1197 [name of the party] names of the candidates, the offices or positions sought and their party affiliation; [(9)] (8) if the committee is 1199 supporting or opposing any referendum question, the position taken 1200 by the committee on such question and a brief statement identifying the substance of the question; [(10)] (9) if the committee is established 1202 by a business entity, [or organization] labor union or membership 1203 association, the name and address of the entity [or organization] union 1204 or association; [(11)] (10) if the committee is established by [an 1205 organization] a <u>labor union or other membership organization</u>, 1206 whether it will receive its funds from the union or organization's 1207 treasury or from voluntary contributions; [(12)] (11) if the committee files reports with the Federal Elections Commission or any out-of-state 1208 1209 agency, a statement to that effect including the name of the agency; 1210 [(13)] (12) a statement indicating whether the committee is established for a single primary, election or referendum or for ongoing political 1212 activities; [(14)] (13) if the committee is formed for ongoing political 1213 activities, the types of elections that it is authorized to make 1214 contributions to, or expenditures for; (14) if the committee is 1215 established or controlled by [or on behalf of] a lobbyist or a member of 1216 the immediate family of a lobbyist, a statement to that effect and the 1217 name of the lobbyist; [and] (15) if the committee is established or 1218 controlled by a state contractor, prospective state contractor or 1219 principal of a state contractor, as defined in subdivision (1) of 1220 subsection (g) of section 9-612, a statement to that effect and the name 1221 of the state contractor, prospective state contractor or principal of such 1222 state contractor; (16) if the committee is established by an investment 1223 services firm, as defined in subsection (f) of section 9-612, or a principal 1224 of such an investment services firm, the name of such investment services firm or principal, as applicable; (17) if the committee is

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established or controlled by an elected state-wide official or member of the General Assembly, or an agent of such official or member, a statement to that effect, and the name of the official or member; (18) if the committee is established for a senatorial or assembly district, a statement to that effect; (19) if the committee is a legislative caucus or legislative leadership committee, a statement to that effect and the name of the caucus or legislative leader establishing such committee; and (20) the name and address of the person making the initial contribution or disbursement, if any, to the committee. If no such contribution or disbursement has been made at the time of the filing of such statement, the campaign treasurer of the committee shall, not later than forty-eight hours after receipt of such contribution or disbursement, file a report with the State Elections Enforcement Commission. The report shall be in the same form as statements filed under section 9-608.

Sec. 30. Subsections (e) and (f) of section 9-610 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective from passage*):

(e) For purposes of this subsection and subsection (f) of this section, the exclusions to the term "contribution" in subsection (b) of section 9-601a shall not apply; the term "state office" means the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State; and the term "state officer" means the Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State. Notwithstanding any provision of this chapter to the contrary, during any regular session of the General Assembly, during any special session of the General Assembly held between the adjournment of the regular session in an odd-numbered year and the convening of the regular session in the following even-numbered year or during any reconvened session of the General Assembly held in an odd-numbered year to reconsider vetoed bills, (1) no lobbyist or political committee established by or on behalf of a lobbyist shall make or offer to make a

contribution to or on behalf of, and no lobbyist shall solicit a contribution on behalf of, (A) a candidate or exploratory committee established by a candidate for nomination or election to the General Assembly or a state office or (B) a political committee (i) established for an assembly or senatorial district, (ii) established by a member of the General Assembly or a state officer or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or (iii) controlled by such member, officer or agent, to aid or promote the nomination or election of any candidate or candidates to the General Assembly or a state office, and (2) no such candidate or political committee shall accept such a contribution. The provisions of this subsection shall not apply to a candidate committee established by a member of the General Assembly or a candidate for nomination or election to the General Assembly, at a special election for the General Assembly, from the date on which the candidate or the chairman of the committee files the designation of a campaign treasurer and a depository institution under section 9-602 with the [Secretary of the State] State Elections Enforcement Commission, to the date on which the special election is held, inclusive, or to an exploratory committee established by a member of the General Assembly to promote his candidacy for an office other than the General Assembly.

(f) A political committee established by two or more individuals under subparagraph (B) of subsection (3) of section 9-601, other than a committee established solely for the purpose of aiding or promoting any candidate or candidates for municipal office or the success or defeat of a referendum question, shall be subject to the prohibition on acceptance of lobbyist contributions under subsection (e) of this section unless the campaign treasurer of the committee has filed a certification that the committee is not established for an assembly or senatorial district, or by a member of the General Assembly or a state officer, or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or controlled by such member, officer or agent. The campaign treasurer of

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any political committee established by or on behalf of a lobbyist shall file a certification to that effect. Such certifications shall be filed with the [office of the Secretary of the State] State Elections Enforcement Commission, on forms prescribed by the [secretary] commission, on or before November 15, [1994] 2008, for all such political committees in existence on such date, or upon the registration of the committee, and on or before November fifteenth biennially thereafter. [The secretary shall provide to the State Elections Enforcement Commission on or before December 1, 1994, and biennially thereafter, a political committee registration report. The report shall include a certified copy of each certification filed pursuant to this subsection prior to December first of the reporting year and a certified copy of a list stating the name of each political committee registered pursuant to section 9-605 prior to December first of the reporting year and the name and address of the campaign treasurer of each such committee. In the case of any political committee which registers or files a certification on or after December first of any even-numbered year but prior to November first of the following even-numbered year, the secretary shall provide the commission with a copy of each such registration or certification by the close of the next business day following receipt. Such registration information or certification shall also be included in the biennial political committee registration report of the secretary to the commission.] The commission shall prepare a list of all such committees subject to the prohibitions under subsection (e) of this section, according to the certifications filed, which shall be available prior to the opening of each regular session of the General Assembly, and shall provide a copy of the list to the president pro tempore of the Senate, the speaker of the House of Representatives, the minority leader of the Senate, the minority leader of the House of Representatives and each state officer. During each such regular session, the commission shall prepare a supplemental list of committees which register after November fifteenth and are subject to such prohibitions, and the commission shall provide the supplemental list to such legislative leaders and state officers. The filing of the certification by the campaign treasurer of the committee shall not

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- impair the authority of the commission to act under section 9-7b. Any lobbyist or campaign treasurer who acts in reliance on such lists in good faith shall have an absolute defense in any action brought under subsection (e) and this subsection, subsection (c) of section 9-604, and subsection (f) of section 9-608.
- Sec. 31. Subsections (e) and (f) of section 9-610 of the general statutes, as amended by section 25 of public act 06-137, are repealed and the following is substituted in lieu thereof (*Effective October 1*, 2007):
- 1337 (e) For purposes of this subsection and subsection (f) of this section, 1338 the exclusions to the term "contribution" in subsection (b) of section 9-1339 601a shall not apply; the term "state office" means the office of 1340 Governor, Lieutenant Governor, Attorney General, State Comptroller, 1341 State Treasurer or Secretary of the State; and the term "state officer" 1342 means the Governor, Lieutenant Governor, Attorney General, State 1343 Comptroller, State Treasurer or Secretary of the State. Notwithstanding 1344 any provision of this chapter to the contrary, during any regular 1345 session of the General Assembly, during any special session of the 1346 General Assembly held between the adjournment of the regular 1347 session in an odd-numbered year and the convening of the regular 1348 session in the following even-numbered year or during any 1349 reconvened session of the General Assembly held in an odd-numbered 1350 year to reconsider vetoed bills, (1) no lobbyist or political committee 1351 established by or on behalf of a lobbyist shall make or offer to make a 1352 contribution to or on behalf of, and no lobbyist shall solicit a 1353 contribution on behalf of, (A) a candidate or exploratory committee 1354 established by a candidate for nomination or election to the General 1355 Assembly or a state office or (B) a political committee (i) established for 1356 an assembly or senatorial district, (ii) established by a member of the 1357 General Assembly or a state officer or such member or officer's agent, 1358 or in consultation with, or at the request or suggestion of, any such 1359 member, officer or agent, or (iii) controlled by such member, officer or 1360 agent, to aid or promote the nomination or election of any candidate or

candidates to the General Assembly or a state office, and (2) no such candidate or political committee shall accept such a contribution. The provisions of this subsection shall not apply to a candidate committee established by a member of the General Assembly or a candidate for nomination or election to the General Assembly, at a special election for the General Assembly, from the date on which the candidate or the chairman of the committee files the designation of a campaign treasurer and a depository institution under section 9-602 with the [Secretary of the State] State Elections Enforcement Commission, to the date on which the special election is held, inclusive, or to an exploratory committee established by a member of the General Assembly to promote his candidacy for an office other than the General Assembly.

(f) A political committee established by two or more individuals under subparagraph (B) of subsection (3) of section 9-601, other than a committee established solely for the purpose of aiding or promoting any candidate or candidates for municipal office or the success or defeat of a referendum question, shall be subject to the prohibition on acceptance of lobbyist contributions under subsection (e) of this section unless the campaign treasurer of the committee has filed a certification that the committee is not established for an assembly or senatorial district, or by a member of the General Assembly or a state officer, or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or controlled by such member, officer or agent. The campaign treasurer of any political committee established by or on behalf of a lobbyist shall file a certification to that effect. Such certifications shall be filed with the [office of the Secretary of the State] State Elections Enforcement Commission, on forms prescribed by the [secretary] commission, on or before November 15, [1994] 2008, for all such political committees in existence on such date, or upon the registration of the committee, and on or before November fifteenth biennially thereafter. [The secretary shall provide to the State Elections Enforcement Commission on or before December 1, 1994, and biennially thereafter, a political

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committee registration report. The report shall include a certified copy of each certification filed pursuant to this subsection prior to December first of the reporting year and a certified copy of a list stating the name of each political committee registered pursuant to section 9-605 prior to December first of the reporting year and the name and address of the campaign treasurer of each such committee. In the case of any political committee which registers or files a certification on or after December first of any even-numbered year but prior to November first of the following even-numbered year, the secretary shall provide the commission with a copy of each such registration or certification by the close of the next business day following receipt. Such registration information or certification shall also be included in the biennial political committee registration report of the secretary to the commission.] The commission shall prepare a list of all such committees subject to the prohibitions under subsection (e) of this section, according to the certifications filed, which shall be available prior to the opening of each regular session of the General Assembly, and shall provide a copy of the list to the president pro tempore of the Senate, the speaker of the House of Representatives, the minority leader of the Senate, the minority leader of the House of Representatives and each state officer. During each such regular session, the commission shall prepare a supplemental list of committees which register after November fifteenth and are subject to such prohibitions, and the commission shall provide the supplemental list to such legislative leaders and state officers. The filing of the certification by the campaign treasurer of the committee shall not impair the authority of the commission to act under section 9-7b. Any lobbyist or campaign treasurer who acts in reliance on such lists in good faith shall have an absolute defense in any action brought under subsection (e) and this subsection, subsection (c) of section 9-604, and subsection (f) of section 9-608.

Sec. 32. Section 9-372 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

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- The following terms, as used in this chapter, chapter 157 and sections 9-51 to 9-67, inclusive, 9-169e, 9-217, 9-236 and 9-361, shall have the following meanings:
- (1) "Caucus" means any meeting, at a designated hour and place, or at designated hours and places, of the enrolled members of a political party within a municipality or political subdivision thereof for the purpose of selecting party-endorsed candidates for a primary to be held by such party or for the purpose of transacting other business of such party;
 - (2) "Convention" means a meeting of delegates of a political party held for the purpose of designating the candidate or candidates to be endorsed by such party in a primary of such party for state or district office or for the purpose of transacting other business of such party;
- 1441 (3) "District" means any geographic portion of the state which 1442 crosses the boundary or boundaries between two or more towns;
 - (4) "District office" means an elective office for which only the electors in a district, as defined in subdivision (3) of this section, may vote;
 - (5) "Major party" means (A) a political party or organization whose candidate for Governor at the last-preceding election for Governor received, under the designation of that political party or organization, at least twenty per cent of the whole number of votes cast for all candidates for Governor, or (B) a political party having, at the last-preceding election for Governor, a number of enrolled members on the active registry list equal to at least twenty per cent of the total number of enrolled members of all political parties on the active registry list in the state;
 - (6) "Minor party" means a political party or organization which is not a major party and whose candidate for the office in question received at the last-preceding regular election for such office, under the designation of that political party or organization, at least one per cent

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- of the whole number of votes cast for all candidates for such office at such election;
- 1461 (7) "Municipal office" means an elective office for which only the 1462 electors of a single town, city, borough, or political subdivision, as 1463 defined in subdivision (10) of this section, may vote, including the 1464 office of justice of the peace;
 - (8) "Party designation committee" means an organization, composed of at least twenty-five members who are electors, which has, on or after November 4, 1981, reserved a party designation with the Secretary of the State pursuant to the provisions of this chapter;
 - (9) "Party-endorsed candidate" means (A) in the case of a candidate for state or district office, a person endorsed by the convention of a political party as a candidate in a primary to be held by such party, and (B) in the case of a candidate for municipal office or for member of a town committee, a person endorsed by the town committee, caucus or convention, as the case may be, of a political party as a candidate in a primary to be held by such party;
 - (10) "Political subdivision" means any voting district or combination of voting districts constituting a part of a municipality;
 - (11) "Primary" means a meeting of the enrolled members of a political party and, when applicable under section 9-431, unaffiliated electors, held during consecutive hours at which such members or electors may, without assembling at the same hour, vote by secret ballot for candidates for nomination to office or for town committee members;
 - (12) "Registrar" means the registrar of voters in a municipality who is enrolled with the political party holding a primary and, in each municipality where there are different registrars for different voting districts, means the registrar so enrolled in the voting district in which, at the last-preceding regular election, the presiding officer for the purpose of declaring the result of the vote of the whole municipality

- 1490 was moderator;
- 1491 (13) "Slate" means a group of candidates for nomination by a 1492 political party to the office of justice of the peace of a town, which 1493 group numbers at least a bare majority of the number of justices of the 1494 peace to be nominated by such party for such town;
- (14) "State office" means any office for which all the electors of the state may vote and includes the office of Governor, Lieutenant Governor, Secretary, Treasurer, Comptroller, Attorney General and senator in Congress, but does not include the office of elector of President and Vice-President of the United States;
- (15) "Votes cast for the same office at the last-preceding election" or "votes cast for all candidates for such office at the last-preceding election" means, in the case of multiple openings for the same office, the total number of electors checked as having voted at the last-preceding election at which such office appeared on the ballot label.
- Sec. 33. Subsection (b) of section 9-675 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (b) The campaign treasurer of the candidate committee for each candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, [or] Secretary of the State, state senator or state representative who raises or spends [two hundred fifty] five thousand dollars or more during an election campaign shall file in electronic form all financial disclosure statements required by section 9-608 by either transmitting disks, tapes or other electronic storage media containing the contents of such statements to the State Elections Enforcement Commission or transmitting the statements on-line to said commission. Each such campaign treasurer shall use either (1) a software program created by the commission under subdivision (1) of subsection (a) of this section, for all such statements, or (2) another

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software program which provides for the standard reporting format, and complies with the specifications, which are prescribed by the commission under subdivision (2) of subsection (a) of this section, for all such statements. The commission shall accept any statement that uses any such software program. Once any such candidate committee has raised or spent [two hundred fifty] <u>five</u> thousand dollars or more during an election campaign, all previously filed statements required by said section 9-608, which were not filed in electronic form shall be refiled in such form, using such a software program, not later than the date on which the campaign treasurer of the committee is required to file the next regular statement under said section 9-608.

- Sec. 34. Subsection (b) and (c) of section 9-702 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (b) Any such candidate committee is eligible to receive such grants for a primary campaign, if applicable, and a general election campaign if (1) the candidate certifies as a participating candidate under section 9-703, (2) the candidate's candidate committee receives the required amount of qualifying contributions under section 9-704, (3) the candidate's candidate committee returns, or transmits to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund, all contributions that do not meet the criteria for qualifying contributions under section 9-704, (4) the candidate agrees to limit the campaign expenditures of the candidate's candidate committee in accordance with the provisions of subsection (c) of this section, and (5) the candidate submits an application and the commission approves the application in accordance with the provisions of section 9-706.
 - (c) A candidate participating in the Citizens' Election Program shall limit the expenditures of the candidate's candidate committee (A) before a primary campaign and a general election campaign, to the amount of qualifying contributions permitted in section 9-705 and any personal funds provided by the candidate under subsection (c) of section 9-710, (B) for a primary campaign, to the sum of (i) the amount

of such qualifying contributions and personal funds that have not been spent before the primary campaign, (ii) the amount of the grant for the primary campaign authorized under section 9-705, and (iii) the amount of any additional moneys for the primary campaign authorized under section 9-713 or 9-714, and (C) for a general election campaign, to the sum of (i) the amount of such qualifying contributions and personal funds that have not been spent before the general election campaign, (ii) any unexpended funds from any grant for a primary campaign authorized under section 9-705 or from any additional moneys for a primary campaign authorized under section 9-713 or 9-714, (iii) the amount of the grant for the general election campaign authorized under section 9-705, and (iv) the amount of any additional moneys for the general election campaign authorized under section 9-713 or 9-714. The candidate committee of a minor or petitioning party candidate who has received a general election campaign grant from the fund pursuant to section 9-705 shall be permitted to receive contributions in addition to the qualifying contributions subject to the limitations and restrictions applicable to participating candidates for the same office, provided such minor or petitioning party candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of the qualifying contributions and personal funds, the amount of the general election campaign grant received and the amount raised in additional contributions that is equivalent to the difference between the amount of the applicable general election campaign grant for a major party candidate for such office and the amount of the general election campaign grant received by such minor or petitioning party candidate.

- Sec. 35. Section 9-703 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (a) Each candidate for nomination or election to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, shall file

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an affidavit with the State Elections Enforcement Commission. The affidavit shall include a written certification that the candidate either intends to abide by the expenditure limits under the Citizens' Election Program set forth in subsection (c) of section 9-702, as amended by this act, or does not intend to abide by said limits. If the candidate intends to abide by said limits, the affidavit shall also include written certifications (1) that the campaign treasurer of the candidate committee for said candidate shall expend any moneys received from the Citizens' Election Fund in accordance with the provisions of subsection (g) of section 9-607 and regulations adopted by the State Elections Enforcement Commission under subsection (e) of section 9-706, as amended by this act, (2) that the candidate shall repay to the fund any such moneys that are not expended in accordance with subsection (g) of said section 9-607 and said regulations, (3) that the candidate and the campaign treasurer shall comply with the provisions of subdivision (1) of subsection (a) of section 9-711, and (4) stating the candidate's status as a major party, minor party or petitioning party candidate and, in the case of a major party or minor party candidate, the name of such party. The written certification described in subdivision (3) of this subsection shall be made by both the candidate and the campaign treasurer of the candidate committee for said candidate. A candidate for nomination or election to any such office shall file such affidavit not later than four o'clock p.m. on the [twenty-fifth] fiftieth day before the day of a primary, if applicable, or on the [fortieth] sixtieth day before the day of the election for such office, except that in the case of a special election for the office of state senator or state representative, the candidate shall file such affidavit not later than four o'clock p.m. on the twenty-fifth day before the day of such special election.

(b) A candidate who so certifies the candidate's intent to abide by the expenditure limits under the Citizens' Election Program set forth in subsection (c) of section 9-702, as amended by this act, shall be referred to in sections 9-700 to 9-716, inclusive, as a "participating candidate" and a candidate who so certifies the candidate's intent to not abide by

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- said limits shall be referred to in sections 9-700 to 9-716, inclusive, as a "nonparticipating candidate". The commission shall prepare a list of the participating candidates and a list of the nonparticipating candidates and shall make such lists available for public inspection.
 - (c) A participating candidate may withdraw from participation in the Citizens' Election Program before applying for an initial grant under section 9-706, as amended by this act, by filing an affidavit with the State Elections Enforcement Commission, which includes a written certification of such withdrawal. A candidate who files such an affidavit shall be deemed to be a nonparticipating candidate for the purposes of sections 9-700 to 9-716, inclusive, and shall not be penalized for such withdrawal. No participating candidate shall withdraw from participation in the Citizens' Election Program after applying for an initial grant under section 9-706, as amended by this act.
- Sec. 36. Section 9-704 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) The amount of qualifying contributions that the candidate committee of a candidate shall be required to receive in order to be eligible for grants from the Citizens' Election Fund shall be:
 - (1) In the case of a candidate for nomination or election to the office of Governor, contributions from individuals in the aggregate amount of two hundred fifty thousand dollars, of which two hundred twenty-five thousand dollars or more is contributed by individuals residing in the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return, or transmit to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund, the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by (i) an exploratory committee established by said candidate, or (ii) an exploratory

committee or candidate committee of a candidate for the office of Lieutenant Governor who is deemed to be jointly campaigning with a candidate for nomination or election to the office of Governor under subsection (a) of section 9-709, which meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts; and

(2) In the case of a candidate for nomination or election to the office of Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State, contributions from individuals in the aggregate amount of seventy-five thousand dollars, of which sixtyseven thousand five hundred dollars or more is contributed by individuals residing in the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return, or transmit to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund, the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.

(3) In the case of a candidate for nomination or election to the office of state senator for a district, contributions from individuals in the aggregate amount of fifteen thousand dollars, including contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return, or transmit to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund, the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution

amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in the district shall be counted for the purposes of said requirement.

(4) In the case of a candidate for nomination or election to the office of state representative for a district, contributions from individuals in the aggregate amount of five thousand dollars, including contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return, or transmit to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund, the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this

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- subdivision for contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in the district shall be counted for the purposes of said requirement.
- 1723 (5) Notwithstanding the provisions of subdivisions (3) and (4) of 1724 this subsection, in the case of a special election for the office of state 1725 senator or state representative for a district, (A) the aggregate amount 1726 of qualifying contributions that the candidate committee of a candidate 1727 for such office shall be required to receive in order to be eligible for a 1728 grant from the Citizens' Election Fund shall be seventy-five per cent or 1729 more of the corresponding amount required under the applicable said 1730 subdivision (3) or (4), and (B) the number of contributions required 1731 from individuals residing in municipalities included, in whole or in 1732 part, in said district shall be seventy-five per cent or more of the 1733 corresponding number required under the applicable said subdivision 1734 (3) or (4).
 - (b) Each individual who makes a contribution of more than fifty dollars to a candidate committee established to aid or promote the success of a participating candidate for nomination or election shall include with the contribution a certification that the individual is not a communicator lobbyist, a member of the immediate family of a communicator lobbyist or a principal of a state contractor or prospective state contractor.
 - (c) The following shall not be deemed to be qualifying contributions under subsection (a) of this section and shall be returned by the campaign treasurer of the candidate committee to the contributor or transmitted to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund:
- 1747 (1) A contribution from a communicator lobbyist or a member of the 1748 immediate family of a communicator lobbyist;
- 1749 (2) A contribution from a principal of a state contractor or 1750 prospective state contractor;

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- (3) A contribution [of five dollars or more from an individual who does not provide the full name and complete address of the individual] that is not documented with a signed contribution certification document, as required pursuant to subsection (c) of section 9-706, as amended by this act; and
 - (4) A contribution under subdivision (1) or (2) of subsection (a) of this section from an individual who does not reside in the state, in excess of the applicable limit on contributions from out-of-state individuals in subsection (a) of this section.
 - (d) After a candidate committee receives the applicable aggregate amount of qualifying contributions under subsection (a) of this section, the candidate committee shall transmit any additional contributions that it receives to the State Treasurer for deposit in the Citizens' Election Fund.
 - (e) As used in this section, (1) "communicator lobbyist" has the same meaning as provided in section 1-91, (2) "immediate family" means the spouse or a dependent child of an individual, and (3) "principal of a state contractor or prospective state contractor" has the same meaning as provided in subsection (g) of section 9-612.
- Sec. 37. Section 9-706 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) (1) A participating candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a primary campaign, after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if a primary is required under chapter 153, and (A) said party endorses the candidate for the office

that the candidate is seeking, (B) the candidate is seeking nomination to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, or (C) the candidate circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for (i) the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, pursuant to section 9-400, or (ii) the municipal office of state senator or state representative, pursuant to section 9-406, whichever is applicable. The State Elections Enforcement Commission shall make any such payments to participating candidates in accordance with the provisions of subsection (g) of this section.

- (2) A participating candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign:
- (A) After the close of the state or district convention or municipal caucus, convention or town committee meeting, whichever is applicable, of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if (i) said party endorses said candidate for the office that the candidate is seeking and no other candidate of said party files a candidacy with the Secretary of the State in accordance with the provisions of section 9-400 or 9-406, whichever is applicable, (ii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state

representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, no other candidate for said office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, and no other candidate for said office circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400, (iii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 and no other candidate for said office at the state or district convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, or (iv) the candidate is seeking election to the municipal office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for the office the candidate is seeking pursuant to section 9-406 and no other candidate for said office at the caucus, convention or town committee meeting either receives the party endorsement or files a certification of endorsement with the town clerk in accordance with the provisions of section 9-391;

(B) After any primary held by such party for nomination for said office, if the Secretary of the State declares that the candidate is the party nominee in accordance with the provisions of section 9-440;

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- 1848 (C) In the case of a minor party candidate, after the nomination of 1849 such candidate is certified and filed with the Secretary of the State 1850 pursuant to section 9-452; or
 - (D) In the case of a petitioning party candidate, after approval by the Secretary of the State of such candidate's nominating petition pursuant to section 9-453o.
 - (3) A participating candidate for nomination to the office of state senator or state representative at a special election in 2008, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign after the close of the district convention or municipal caucus, convention or town committee meeting of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking.
 - (4) Notwithstanding the provisions of subdivisions (1) and (2) of this subsection, no participating candidate for nomination or election who changes the candidate's status as a major party, minor party or petitioning party candidate or becomes a candidate of a different party, after filing the affidavit required under section 9-703, shall be eligible to apply for a grant under the Citizens' Election Program for such candidate's primary campaign for such nomination or general election campaign for such election. The provisions of this subdivision shall not apply in the case of a candidate who is nominated by more than one party and does not otherwise change the candidate's status as a major party, minor party or petitioning party candidate.
 - (b) The application shall include a written certification that:
- 1874 (1) The candidate committee has received the required amount of qualifying contributions;
- 1876 (2) The candidate committee has repaid all moneys borrowed on 1877 behalf of the campaign, as required by subsection (b) of section 9-710;

- (3) The candidate committee has <u>either</u> returned any contribution [of five dollars or more from an individual who does not include the individual's name and address with the contribution] <u>that is not documented with a signed contribution certification document, as required by subsection (c) of this section, or has transmitted any such contribution to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund;</u>
 - (4) The candidate committee has <u>either</u> returned all contributions or portions of contributions that do not meet the criteria for qualifying contributions under section 9-704, or has transmitted any such contribution to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund and the candidate committee has transmitted all excess qualifying contributions to the Citizens' Election Fund;
 - (5) The campaign treasurer of the candidate committee will: [comply] (A) Comply with the provisions of [sections 9-700 to 9-716, inclusive] chapters 155 and 157 and any regulation adopted pursuant to said chapters, and (B) maintain and furnish all records required pursuant to chapters 155 and 157;
 - (6) All moneys received from the Citizens' Election Fund will be deposited upon receipt into the depository account of the candidate committee;
- 1900 (7) The campaign treasurer of the candidate committee will expend 1901 all moneys received from the fund in accordance with the provisions of 1902 subsection (g) of section 9-607 and regulations adopted by the State 1903 Elections Enforcement Commission under subsection (e) of this 1904 section; and
 - (8) If the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee of the candidate will return to the commission, for deposit in the fund, all moneys received from the fund pursuant to sections 9-700 to 9-716,

inclusive, which said candidate committee has not spent as of the date of such occurrence.

(c) The application shall be accompanied by (1) a cumulative itemized accounting of all funds received, expenditures made and expenses incurred but not yet paid by the candidate committee as of three days before the [date that the application is signed] applicable application deadline contained in subsection (g) of this section, (2) a signed contribution certification document for each qualifying contribution, and (3) any documentation required by the commission concerning any exploratory committee established by such candidate. Such accounting shall be sworn to under penalty of false statement by the campaign treasurer of the candidate committee. Such contribution certification document shall contain the following information: (A) The name of the contributor, (B) the contributor's signature, (C) the contributor's residential address, including zip code, (D) the contributor's telephone number, (E) the amount of the contribution, (F) the form of the contribution, (G) the date of the contribution, as filledin by the contributor, and (H) the candidate committee's name. Additionally, the following statement shall be placed above the line for the contributor's signature on such contribution certification document: "I hereby affirm that this contribution is being made from my personal funds, is not being reimbursed in any manner, is not being made as a loan, and is not otherwise a prohibited contribution." The commission shall prescribe the form of the application and the cumulative itemized accounting. The form for such accounting shall conform to the requirements of section 9-608. Both the candidate and the campaign treasurer of the candidate committee shall sign the application.

(d) [Not later than three business days following receipt of any such application] In accordance with the provisions of subsection (g) of this section, the commission shall review the application, determine whether (1) the candidate committee for the applicant has received the required qualifying contributions, (2) in the case of an application for a

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grant from the fund for a primary campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such grant and complied with the provisions of subsections (b) and (c) of this section, (3) in the case of an application for a grant from the fund for a general election campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and complied with the provisions of subsections (b) and (c) of this section, and (4) in the case of an application by a minor party or petitioning party candidate for a grant from the fund for a general election campaign, the applicant qualifies as an eligible minor party candidate or an eligible petitioning party candidate, whichever is applicable. If the commission approves an application, the commission shall determine the amount of the grant payable to the candidate committee for the applicant pursuant to section 9-705 from the fund, and notify the State Comptroller and the candidate of such candidate committee, of such amount. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of such amount to the qualified candidate committee from the fund.

- (e) The State Elections Enforcement Commission shall adopt regulations, in accordance with the provisions of chapter 54, on permissible expenditures under subsection (g) of section 9-607 for qualified candidate committees receiving grants from the fund under sections 9-700 to 9-716, inclusive.
- (f) If a nominated participating candidate dies, withdraws the candidate's candidacy or becomes disqualified to hold the office for which the candidate has been nominated after the commission approves the candidate's application for a grant under this section, the candidate committee of the candidate who is nominated to replace said candidate pursuant to section 9-460 shall be eligible to receive grants from the fund without complying with the provisions of section 9-704, if said replacement candidate files an affidavit under section 9-703, as amended by this act, certifying the candidate's intent to abide by the

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expenditure limits set forth in subsection (c) of section 9-702, as amended by this act, and notifies the commission on a form prescribed by the commission.

- (g) Any application submitted pursuant to this section shall be submitted in accordance with the following deadlines: (1) By four o'clock p.m. on the second Friday in May of the year that the primary or election will be held at which such participating candidate will seek nomination or election, or (2) by four o'clock p.m. on any subsequent Friday of such year. No application shall be accepted by the commission after four o'clock p.m. on the second to last Friday prior to the primary or election at which such participating candidate will seek nomination or election. Not later than five business days following any such deadline, the commission shall review any application received by such deadline, in accordance with the provisions of subsection (d) of this section, and determine whether such application shall be approved or disapproved. The State Elections Enforcement Commission may adopt regulations, in accordance with the provisions of chapter 54, to establish application deadlines and payment schedules for participating candidates in a special election.
- Sec. 38. Section 9-751 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1996 Any person, business entity, organization, party committee or 1997 political committee, as such terms are defined in section 9-601, may contribute to the Citizens' Election Fund established in section 9-701. 1998 1999 Any such contribution shall be made by check or money order, 2000 provided any such contribution from a party committee or political 2001 committee shall be made by a check drawn on such committee's 2002 designated checking account. The State Elections Enforcement Commission shall immediately transmit all contributions received 2003 2004 pursuant to this section to the State Treasurer for deposit in the 2005 Citizens' Election Fund.
 - Sec. 39. (Effective July 1, 2007) The sum of five hundred thousand

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dollars is appropriated to the Department of Transportation, from the General Fund, for the fiscal year ending June 30, 2008, for the purpose of providing a grant to the Greater New Haven Transit District for the acquisition and installation of a hydrogen fueling station and maintenance facility in Hamden for use by hydrogen powered vehicles owned by the Greater New Haven Transit District and the town of Hamden.

Sec. 40. (NEW) (Effective from passage) (a) There is established a State Contracting Standards Board that shall consist of thirteen members appointed as follows: Seven members by the Governor, one member by the speaker of the House of Representatives, one member by the president pro tempore of the Senate, one member by the majority leader of the Senate, one member by the minority leader of the Senate, one member by the majority leader of the House of Representatives and one member by the minority leader of the House of Representatives.

- (b) Each member shall be appointed in accordance with the provisions of section 4-7 of the general statutes and have demonstrated sufficient knowledge by education, training or experience in several of the following enumerated areas: (1) Procurement; (2) contract negotiation, selection and drafting; (3) contract risk assessment; (4) competitive bidding and proposal procedures; (5) real estate transactions, including the purchase, sale and lease of real estate and buildings; (6) building construction and architecture; (7) business insurance and bonding; (8) ethics in public contracting; (9) federal and state statutes, procurement policies and regulations; (10) outsourcing and privatization analysis; (11) small and minority business enterprise development; (12) engineering and information technologies; and (13) personnel and labor relations, provided such education, training or experience was acquired over not less than a continuous five-year period within the ten-year period preceding such appointment.
- (c) The chairperson of the board shall be appointed by the members of the board. The terms of the members shall be coterminous with the

- terms of the appointing authority for each member. If any vacancy occurs on the board, the appointing authority having the power to make the appointment under the provisions of this section shall appoint a person in accordance with the provisions of this section.
 - (d) The State Contracting Standards Board shall be an independent body within the Executive Department.
 - (e) The chairperson of the board and other members of the board shall be compensated two hundred dollars per diem. No person shall serve on the board who is a full-time state or municipal employee. No board member or any spouse, child, stepchild, parent or sibling of such board member shall be directly involved in any enterprise that does business with the state.
 - (f) The Governor shall appoint an executive director of the board who shall serve as an ex-officio, nonvoting member of the board. The executive director shall be appointed in accordance with the provisions of section 4-7 of the general statutes and may be removed from office for reasonable cause, in accordance with chapter 67 of the general statutes. The board shall, annually, conduct a performance evaluation of such executive director. The executive director shall report to the chairperson of the board and, in consultation with the Chief Procurement Officer, (1) conduct comprehensive planning with respect to the administrative functions of the board; (2) coordinate the budget and personnel activities of the board; (3) cause the administrative organization of the board to be examined with a view to promoting economy and efficiency; (4) act as the external liaison for the board; and, (5) execute such other duties as may be assigned by the chairperson of the board. The executive director may enter into such contractual agreements as may be necessary for the discharge of the director's duties.
 - (g) The board shall appoint a Chief Procurement Officer for a term not to exceed six years, unless reappointed pursuant to the provisions of this subsection. The Chief Procurement Officer shall be supervised

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- by the chairperson and annually evaluated by, and serve at the pleasure of the board.
- 2074 (1) The Chief Procurement Officer shall be responsible for carrying 2075 out the policies of the board including, but not limited to, oversight, 2076 investigation, auditing, agency procurement certification and 2077 procurement and project management training and enforcement of 2078 said policies as well as the application of such policies to the screening 2079 and evaluation of current and prospective contractors. The Chief 2080 Procurement Officer may enter into such contractual agreements as 2081 may be necessary for the discharge of the duties as set forth in this 2082 subsection and by the board, including, but not limited to, 2083 recommending best practices and providing operational and 2084 administrative assistance to state agencies determined, by the board, to 2085 be in violation of this act.
 - (2) In addition to the duties set forth by the board, the Chief Procurement Officer shall (A) oversee state contracting agency compliance with the provisions of the uniform procurement code; (B) monitor and assess the procurement duties of each Agency Procurement Officer; (C) administer the certification system and monitor the level of agency compliance with the requirements of the uniform procurement code, including, but not limited to, the education and training, performance and qualifications of agency contract officers; (D) review and monitor the procurement processes of each state contracting agency, quasi-public agencies and institutions of higher education; and (E) serve as chairperson of the Contracting Standards Advisory Council and an ex-officio member of the Vendor and Citizen Advisory Panel.
 - (h) The board may contract with consultants and professionals on a temporary or project by project basis and may employ, subject to the provisions of chapter 67 of the general statutes, such employees as may be necessary to carry out the provisions of this section.
- 2103 (i) The reasonable expenses of the State Contracting Standards

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- Board and its employees shall be paid from the budget of the board, upon the approval of the board.
- 2106 (i) No employee of the State Contracting Standards Board shall hold 2107 another state or full-time municipal position. No nonclerical employee 2108 of the board or any spouse, child, stepchild, parent or sibling of such 2109 employee shall be directly involved in any enterprise that does 2110 business with the state. Each member and employee of the State 2111 Contracting Standards Board shall file, with the board and with the 2112 Office of State Ethics, a financial statement indicating all sources of 2113 business income of such person in excess of one thousand dollars, and 2114 the name of any business with which such member or employee is 2115 associated, as defined in subsection (b) of section 1-79 of the general 2116 statutes. Such statement shall be a public record. Financial statements 2117 for the preceding calendar year shall be filed with the board, as 2118 required by law, if such employee or member held such a position 2119 during the preceding calendar year.
 - (k) Any violation of the provisions of subsection (j) of this section shall constitute a violation of part I of chapter 10 of the general statutes and may be the subject of a complaint and investigation filed and conducted in accordance with the provisions of section 1-82 of the general statutes.
 - (l) The board shall adopt such rules as it deems necessary for the conduct of its internal affairs, in accordance with section 4-167 of the general statutes, including, but not limited to, rules of procedure for any audit undertaken pursuant to section 44 of this act.
- 2129 (m) Seven members of the board shall constitute a quorum which 2130 shall be required for the transaction of business by the board.
- Sec. 41. (NEW) (*Effective from passage*) (a) All rights, powers, duties, and authority relating to the procurement policies of the state, vested in, or exercised by, any state contracting agency are hereby transferred to the board, and include the following:

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- 2135 (1) Acquisition of supplies, services, and construction, and the 2136 management, control, warehousing, sale, and disposal of supplies, 2137 services, and construction;
- 2138 (2) Any state contracting and procurement processes, including, but 2139 not limited to, leasing and property transfers, purchasing or leasing of 2140 supplies, materials or equipment, consultant or consultant services, 2141 purchase of service agreements or privatization contracts as defined in 2142 this act; and
- 2143 (3) Contracts for the construction, reconstruction, alteration, 2144 remodeling, repair or demolition of any public building.
 - (b) Upon request by the board, each state contracting agency shall provide the board, in a timely manner, with such procurement information as the board deems necessary. The board shall have access to all information, files and records related to any state contracting agency in furtherance of this purpose. Nothing in this section shall be construed to require the board's disclosure of documents that are exempt from disclosure pursuant to chapter 14 of the general statutes or that may be protected from disclosure under claim of an attorney-client privilege.
- (c) Nothing in this section shall be construed to require the application of uniform procurement code procedures when such procurement involves the expenditure of federal assistance or contract funds and federal law provides applicable procurement procedures to the extent such procedures are inconsistent with the uniform procurement code.
- Sec. 42. (NEW) (*Effective from passage*) Except as otherwise provided in the general statutes, the board shall have the following authority and responsibilities:
- 2163 (a) Recommend the repeal of repetitive, conflicting or obsolete 2164 statutes concerning state procurement;

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- (b) Review proposed legislation and regulations concerning procurement, as well as, institute policies governing the procurement, management, control, and disposal of any and all supplies, services, and construction to be procured by the state, including, but not limited to:
- 2170 (1) Conditions and procedures for delegation of procurement 2171 authority;
- 2172 (2) Prequalification, suspension, debarment and reinstatement of prospective bidders and contractors;
- 2174 (3) Small purchase procedures;
- 2175 (4) Conditions and procedures for the procurement of perishables 2176 and items for resale;
- 2177 (5) Conditions and procedures for the use of source selection 2178 methods authorized by the uniform procurement code;
- 2179 (6) Conditions and procedures for the use of emergency 2180 procurements;
- (7) Conditions and procedures for the selection of contractors by processes or methods that restrict full and open competition;
- 2183 (8) The opening or rejection of bids and offers, and waiver of errors 2184 in bids and offers;
- 2185 (9) Confidentiality of technical data and trade secrets submitted by actual or prospective bidders;
- 2187 (10) Partial, progressive, and multiple awards;
- 2188 (11) Supervision of storerooms and inventories, including 2189 determination of appropriate stock levels and the management, 2190 transfer, sale, or other disposal of publicly-owned supplies;

- (12) Definitions and classes of contractual services and procedures
 for acquiring such services;
 (13) Regulations providing for conducting cost and price analysis;

(14) Use of payment and performance bonds;

- 2195 (15) Guidelines for use of cost principles in negotiations, 2196 adjustments, and settlements; and
- 2197 (16) Identification of procurement best practices;
- (c) Adopt regulations, pursuant to chapter 54 of the general statutes, to carry out the provisions of the uniform procurement code, in order to facilitate consistent application of the law and require the implementation of procurement best practices;
- 2202 (d) Develop, acquire, implement, provide oversight and 2203 management of information systems for state procurement including, 2204 but not limited to data element and design and the state contracting 2205 portal;
- 2206 (e) Develop, publish and maintain the uniform procurement code 2207 for all state contracting agencies;
- (f) Assist state contracting agencies in complying with the uniform procurement code by providing guidance, models, advice and practical assistance to state contracting agency staff relating to: (1) Buying the best service at the best price, (2) properly selecting contractors, and (3) drafting contracts that achieve state goals of accountability, transparency and results based outcomes and to protect taxpayers' interest;
- 2215 (g) Coordinate the Agency Procurement Officers of each state 2216 contracting agency and any contracting officers thereunder;
- 2217 (1) The head of each state contracting agency shall appoint a senior 2218 official as the Agency Procurement Officer. Such officer shall serve as

- the liaison between the agency and the Chief Procurement Officer on
- 2220 all matters relating to the agency's procurement activity; including, but
- 2221 not limited to, implementation and compliance with the provisions of
- the uniform procurement code and any policies or regulations adopted
- by the board, coordination of the training and education of agency
- 2224 procurement employees and any person serving on the Contract
- 2225 Standards Advisory Council;
- 2226 (2) The Agency Procurement Officer shall be responsible for
- 2227 assuring that contractors are properly screened prior to the award of a
- 2228 contract, evaluating contractor performance during and at the
- 2229 conclusion of a contract, submitting written evaluations to a central
- 2230 data repository to be designated by the board and creating a project
- 2231 management plan for the agency with annual reports to the board
- 2232 pertaining to procurement projects within the agency;
- 2233 (h) Review and certify, on or after July 1, 2008, that a state
- 2234 contracting agency's procurement processes are in compliance with the
- 2235 uniform procurement code by:
- 2236 (1) Establishing procurement and project management education
- 2237 and training criteria and certification procedures for Agency
- 2238 Procurement Officers and contracting officers. All Agency
- 2239 Procurement Officers and contracting officers designated under this
- 2240 provision shall be required to maintain the certification in good
- standing at all times while performing procurement functions;
- 2242 (2) Approving an ethics training course, including, but not limited
- 2243 to, state employees involved in procurement and for state contractors
- 2244 and substantial subcontractors who are prequalified. Such ethics
- training course may be developed and provided by the Office of State
- 2246 Ethics or by any person, firm or corporation provided such course is
- 2247 approved by the State Contracting Standards Board;
- 2248 (i) Recertify each state contracting agency's procurement processes,
- 2249 triennially, and provide agencies with notice of any certification

- deficiency and exercise authority if a determination of noncompliance is made;
- 2252 (j) Define the contract data reporting requirements to the board for 2253 state agencies concerning information on: (1) The number and type of 2254 state contracts currently in effect state-wide; (2) the term and dollar 2255 value of such contracts; (3) a list of client agencies; (4) a description of 2256 services purchased under such contracts; (5) contractor names; (6) an 2257 evaluation of contractor performance, including, but not limited to 2258 records pertaining to the suspension or disqualification of contractors, 2259 and assuring such information is available on the state contracting 2260 portal; and (7) a list of contracts and contractors awarded without full 2261 and open competition stating the reasons for and identifying the 2262 approving authority; and
 - (k) Provide the Governor and the joint standing committee of the General Assembly having cognizance of matters relating to government administration with recommendations concerning the uniform procurement code.
 - Sec. 43. (NEW) (Effective from passage) (a) The State Contracting Standards Board, with the advice and assistance of the Commissioner of Administrative Services, shall develop a standardized state procurement and project management education and training program. Such education and training program shall develop education, training and professional development opportunities for employees of state contracting agencies charged with procurement responsibilities. The program shall educate such employees in general business acumen and on proper purchasing procedures as established in the uniform procurement code with an emphasis on ethics, fairness, consistency and project management. Participation in the program shall be required of any supervisory and nonsupervisory state employees in state contracting agencies with responsibility for buying, purchasing, renting, leasing or otherwise acquiring any supplies, service or construction, including the preparation of the description of requirements, selection and solicitation of sources, preparation and

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2283 award of contracts and all phases of contract administration.

- (b) The program shall include, but shall not be limited to (1) training and education concerning federal, state and municipal procurement processes, including the state procurement code and principals of project management; (2) training and education courses developed in cooperation with the Office of State Ethics, the Freedom of Information Commission, the State Elections Enforcement Commission, the Commission on Human Rights and Opportunities, the office of the Attorney General and any other state agency the board determines is necessary in carrying out the purposes of the uniform procurement code; (3) providing technical assistance to state contracting agencies and municipalities for implementing the uniform procurement code, regulations, policies and standards developed by the board; (4) training to current and prospective contractors and vendors and others seeking to do business with the state; and (5) training and education of state employees in the area of best procurement practices in state purchasing with the goal of achieving the level of acumen necessary to achieve the objectives of the uniform procurement code.
- (c) Any employee that completes the program established under subsection (a) of this section shall be issued documentation by the board acknowledging such employee's participation in the program. The board shall submit an annual report to the Governor and the General Assembly on the status of such program.
- (d) The board shall adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, to develop and implement the training and education program established under subsection (a) of this section.
- Sec. 44. (NEW) (*Effective from passage*) (a) The board shall conduct audits of state contracting agencies, triennially, to ensure compliance with the uniform procurement code. In conducting such audit, the board shall have access to all contracting and procurement records, may interview any and all personnel responsible for contracting,

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contract negotiations or procurement and may enter into an agreement with the Auditors of Public Accounts to effectuate such audit.

(b) Upon completion of any such audit, the board shall prepare and issue a compliance report for such state contracting agency. Such report shall identify any process or procedure that is inconsistent with the uniform procurement code and indicate those corrective measures the board deems necessary to comply with uniform procurement code requirements. Such report shall be issued and delivered not later than thirty days after completion of such audit and shall be a public record.

Sec. 45. (NEW) (Effective from passage) (a) For cause, the State Contracting Standards Board may review, terminate or recommend termination of any contract or procurement agreement undertaken by any state contracting agency after providing fifteen days notice to the state contracting agency and the applicable contractor, and consulting with the Attorney General. Such termination of a contract or procurement agreement by the board may occur only after (1) the board has consulted with the contracting agency to determine the impact of an immediate termination of the contract, (2) a determination has been made jointly by the board and the contracting agency that an immediate termination of the contract will not create imminent peril to the public health, safety or welfare, and (3) a vote of two-thirds of the members of the board present and voting for that purpose. Such action shall be accompanied by notice to the state contracting agency and any other affected party. For the purpose of this section, "for cause" means: (A) A violation of section 1-84 or 1-86e of the general statutes; (B) wanton or reckless disregard of any state contracting and procurement process by any person substantially involved in such contract or state contracting agency; or (C) notification from the Attorney General to the state contracting agency that an investigation pursuant to section 4-61dd of the general statutes has concluded that the process by which such contract was awarded was compromised by fraud, collusion or other serious ethical improprieties.

(b) Following consultation with the state contracting agency and

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upon providing fifteen days' notice and the opportunity for a hearing, the State Contracting Standards Board may restrict or terminate the authority of any state contracting agency to enter into any contract or procurement agreement if: (1) The board, upon a vote of two-thirds of the members of the board present and voting for such purpose, determines that such state contracting agency failed to comply with statutory contracting and procurement requirements and evidenced a reckless disregard for applicable procedures and policy; and (2) such limitation, restriction or termination of authority is in the state's best interest, provided the board has made arrangements for the exercise of the contracting power of such agency during the period of limitation, restriction or termination. Such limitation, restriction or termination of authority shall remain in effect until such time as the board determines that such state contracting agency has implemented corrective measures and demonstrated compliance with uniform procurement code requirements.

(c) Following consultation with the state contracting agency, and thereafter upon providing fifteen days' notice and the opportunity for a hearing, the State Contracting Standards Board may require a state contracting agency to take appropriate action to restrict or terminate the authority of an employee or agent to enter into any contract or procurement agreement if the board, upon a vote of two-thirds of the members of the board present and voting for such purpose, determines that such employee or agent failed to comply with statutory contracting and procurement requirements, and evidenced a reckless disregard for applicable procedures and policy. Such limitation, restriction or termination of authority shall remain in effect until such time as the board determines that such state contracting agency has implemented corrective measures and demonstrated compliance with uniform procurement code requirements.

Sec. 46. (NEW) (Effective from passage) There is established a Contracting Standards Advisory Council, which shall consist of representatives from the Office of Policy and Management,

Departments of Administrative Services, Transportation, Public Works and Information Technology and representatives of at least three additional contracting agencies designated by the Governor. The Chief Procurement Officer shall be a member of the council and serve as chairperson. The advisory council shall meet at least four times per year to discuss state procurement issues of the council and to make recommendations for improvement of the procurement processes to the State Contracting Standards Board. The advisory council may conduct studies, research and analyses and make reports and recommendations with respect to subjects or matters within the jurisdiction of the State Contracting Standards Board.

Sec. 47. (NEW) (Effective from passage) (a) There shall be a Vendor and Citizen Advisory Panel, comprised of fifteen members appointed, as follows: Three members by the Governor, two members by each of the following: The speaker of the House of Representatives, the majority and minority leaders of the House of Representatives, the president pro tempore of the Senate and the majority and minority leaders of the Senate. There shall be no more than six vendors with state procurement experience on the panel and the remaining citizen members shall have demonstrated sufficient knowledge by education, training or experience in several of the following enumerated areas: (1) Government procurement; (2) contract negotiation, drafting and management; (3) contract risk assessment; (4) preparing requests for proposals, invitations to bid and other procurement solicitations; (5) evaluating proposals, bids and quotations; (6) real property transactions; (7) business insurance and bonding; (8) the state code of ethics; (9) federal and state statutes, policies and regulations; (10) outsourcing and privatization proposal analysis; (11) governmental taxation and finance; and (12) small and minority business enterprise development, provided such education, training or experience shall have been acquired over not less than a continuous five-year period and within the ten-year period preceding such appointment. The chairperson of the panel shall be the Chief Procurement Officer, who shall be an ex-officio member.

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- 2415 (b) The panel shall make recommendations to the board regarding 2416 best practices in state procurement processes and project management 2417 as well as other issues pertaining to stakeholders in the system.
- 2418 Sec. 48. (NEW) (Effective from passage) (a) On and after October 1, 2419 2009, the powers, duties, obligations and other governmental functions 2420 of the State Properties Review Board, established under subsection (a) 2421 of section 4b-3 of the general statutes, shall transfer to the State 2422 Contracting Standards Board. The powers, duties, obligations and 2423 other governmental functions of the State Properties Review Board, 2424 shall thereafter vest in the State Contracting Standards Board, in 2425 accordance with the provisions of sections 4-38d and 4-39 of the 2426 general statutes.
- 2427 (b) On or before October 1, 2009, the State Contracting Standards 2428 Board shall establish a three-member subcommittee of the board to be 2429 known as the state properties review subcommittee to perform the 2430 duties described under subsection (a) of this section. The 2431 subcommittee shall perform the duties established under subsection 2432 (a) of this section in accordance with rules and procedures established 2433 by the board. The State Contracting Standards Board shall constitute a 2434 successor department to the State Properties Review Board in 2435 accordance with the provisions of sections 4-38d and 4-39 of the 2436 general statutes.
- Sec. 49. (NEW) (*Effective from passage*) (a) The board shall be available to provide assistance to the Secretary of the State, Comptroller, Treasurer and Attorney General to develop best procurement practices specific to the constitutional responsibilities of each office and consistent with the provisions of the uniform procurement code.
- 2443 (b) Each of the above-referenced officers shall adopt a code of procurement practices on or before July 1, 2009.
- Sec. 50. (NEW) (Effective from passage) (a) On or before January 1,

2009, the Judicial Branch and the Legislative Branch shall prepare a procurement code applicable to contracting expenditures, including, but not limited to, expenditures: (1) Involving contracting and procurement processes for purchasing or leasing of supplies, materials or equipment, consultant or consultant services, personal service agreements or purchase of service agreements; and (2) relating to contracts for the renovation, alteration or repair of any Judicial Branch and the Legislative Branch facility in accordance with section 4b-1 of the general statutes.

- (b) The procurement code described in subsection (a) of this section shall be designed to: (1) Establish uniform contracting standards and practices; (2) simplify and clarify contracting standards and procurement policies and practices, including, but not limited to, procedures for competitive sealed bids, competitive sealed proposals, small purchases, sole source procurements, emergency procurements and special procurements; (3) ensure the fair and equitable treatment of all businesses and persons who deal with the procurement system; (4) include a process to maximize the use of small contractors and minority business enterprises; (5) provide increased economy in procurement activities and maximize purchasing value to the fullest extent possible; (6) ensure that the procurement of supplies, materials, equipment, services, real property and construction is obtained in a cost-effective and responsive manner; (7) include a process to ensure contractor and Judicial Branch accountability; and (8) provide a process for competitive sealed bids, competitive sealed proposals, small purchases, sole source procurements, emergency procurements, special procurements, best value selection, qualification based selection and the conditions for their use.
- (c) On or before February 1, 2009, the Judicial Branch shall submit such procurement code for review and approval to the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary.
 - (d) On or before February 1, 2009, the Joint Committee on

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- 2479 Legislative Management shall develop such procurement code.
- Sec. 51. (NEW) (Effective from passage) (a) The Department of Administrative Services, in consultation with the State Contracting Standards Board, shall establish and maintain a single electronic portal available on the World Wide Web and located on the Department of Administrative Services' web site for purposes of posting all contracting opportunities with state agencies in the executive branch, the constituent units of the state system of higher education and quasi-public agencies. Such electronic portal shall be know as the State Contracting Portal.
 - (b) The State Contracting Portal shall, among other things, include: (1) All requests for bids or proposals, and other solicitations regardless of the method of source selection, related materials and all resulting contracts and agreements by state agencies; (2) a searchable database for locating information; (3) personal services agreements purchase of service agreements; (4) a state procurement and contract manual or other similar information designated by the Department of Administrative Services as describing approved contracting processes and procedures; and (5) prominent features to encourage the active recruitment and participation of small businesses and women and minority owned enterprises in the state contracting process.
 - (c) All state agencies in the executive branch, the constituent units of the state system of higher education and quasi-public agencies shall post all bids, requests for proposals and all resulting contracts and agreements on the State Contracting Portal and shall, with the assistance of the Department of Administrative Services and the Department of Information Technology as needed, develop the infrastructure and capability to electronically communicate with the State Contracting Portal.
 - (d) All state agencies in the executive, the constituent units of the state system of higher education and quasi-public agencies shall develop written policies and procedures to ensure that information

- posted to the State Contracting Portal is done in a timely, complete and accurate manner consistent with the highest legal and ethical standards of state government.
- 2514 (e) The Department of Administrative Services shall periodically 2515 report to the Governor and the State Contracting Standards Board on 2516 the progress of all state agencies in the executive branch, the 2517 constituent units of the state system higher education and quasi-public 2518 agencies, in developing the capacity, infrastructure, policies and 2519 procedures to electronically communicate with the State Contracting 2520 Portal as well as the Department of Administrative Services' progress 2521 toward establishment and maintenance of the State Contracting Portal.
- Sec. 52. (NEW) (*Effective July 1, 2008*) (a) Sections 53 to 112, inclusive, and section 116 of this act shall be construed to promote the underlying purposes and objectives pertaining to the uniform procurement code.
- 2526 (b) The underlying purposes and objectives of the uniform 2527 procurement code are to:
 - (1) Establish uniform contracting standards and practices among the various state contracting agencies in order to foster effective broadbased competitive values and protocols;
 - (2) Simplify and clarify the state's laws governing contracting standards and the state's procurement policies and practices, including, but not limited to, procedures for competitive sealed bids, competitive sealed proposals, special procurements, best value selection, qualification-based selection and the conditions for their use;
 - (3) Permit the continued development of the best procurement practices by the state and to provide for increased public confidence in the procedures followed in public procurement by the state by providing safeguards for the maintenance of a procurement system of quality and integrity;

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- 2541 (4) Ensure the fair and equitable treatment of all businesses and persons who deal with the procurement system of the state;
- 2543 (5) Include a process to maximize the use of small contractors and 2544 minority business enterprises;
- 2545 (6) Ensure that the procurement of supplies, materials, equipment, 2546 services, real property and construction required by any state agency is 2547 obtained in a cost-effective and responsive manner;
- (7) Provide increased economy in state procurement activities and to maximize, to the fullest extent practicable, the purchasing value of public funds expended by the state, taking into account, where applicable, performance and cost of contracts and purchase orders;
- 2552 (8) Preserve and maintain the existing contracting, procurement, 2553 disqualification and termination authority and discretion of any state 2554 contracting agency when such contracting and procurement 2555 procedures represent best practices;
- 2556 (9) Include a process to improve contractor and state contracting agency accountability; and
- 2558 (10) Establish standards for leases and lease-purchase agreements 2559 and for the purchase and sale of real estate.
- Sec. 53. (NEW) (*Effective July 1, 2008*) All parties involved in the negotiation, performance or administration of state contracts shall act in good faith.
- Sec. 54. (NEW) (*Effective July 1, 2008*) (a) The provisions of sections 53 to 112, inclusive, of this act shall be known as the uniform procurement code and shall apply to contracts solicited or entered into after the effective date of this section.
- 2567 (b) Except as otherwise provided, the uniform procurement code 2568 shall apply to every expenditure of public funds regardless of their

- source, involving any state contracting and procurement processes, including, but not limited to, leasing and property transfers, purchasing or leasing of supplies, materials or equipment, consultant or consultant services, personal service agreements, purchase of service agreements or privatization contracts, and relating to contracts for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building.
 - (c) Nothing in this section shall be construed to require the application of uniform procurement code procedures when such procurement involves the expenditure of federal assistance or contract funds and federal law provides applicable procurement procedures to the extent such procedures are inconsistent with the uniform procurement code.
 - (d) The provisions of the uniform procurement code shall apply to quasi-public agencies and the constituent units of the state system of higher education on and after July 1, 2009.
- (e) On and after July 1, 2010, the provisions of the uniform procurement code shall apply to municipalities, political subdivisions and municipal district commissions procurement processes that utilize state funds.
 - (f) All political subdivisions and other local public agencies of the state may adopt all or any part of the uniform procurement code and its accompanying regulations.
- Sec. 55. (NEW) (*Effective July 1, 2008*) For the purposes of sections 53 to 112, inclusive, of this act:
- (1) "Award authority" means the authority granted to a state contracting agency either by the Commissioner of Administrative Services, the Chief Information Officer or as otherwise provided by law to permit state contracting agencies to make direct purchases of the supplies, materials, equipment or contractual services, including procurement for infrastructure facilities and services, listed from the

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- sources specified without prior approval of the Commissioner or the Chief Information Officer;
- 2602 (2) "Best value selection" means a contract selection process in which 2603 the award of a contract is based on a combination of quality, cost and 2604 other factors;
- 2605 (3) "Bid" means an offer, submitted in response to an invitation to 2606 bid, to furnish supplies, materials, equipment, construction or 2607 contractual services to the state under certain prescribed conditions at 2608 a stated price;
- 2609 (4) "Bidder" means a person, firm or corporation submitting a competitive bid in response to a solicitation;
- 2611 (5) "Business" means any individual or sole proprietorship, 2612 partnership, firm, corporation, trust, limited liability company, limited 2613 liability partnership, joint stock company, joint venture or other legal 2614 entity through which business for profit or not-for-profit is conducted;
- 2615 (6) "Change order" means a written order signed by the designated 2616 official, assigned by the department head, directing the contractor to 2617 make changes which the changes clause of the contract authorizes the 2618 designated officer to order without the consent of the contractor;
 - (7) "Competitive bidding" means the submission of prices by persons, firms or corporations competing for a contract to provide supplies, materials, equipment or contractual services, under a procedure in which the contracting authority does not negotiate prices, as set forth in the uniform procurement code;
 - (8) "Competitive negotiation" means a procedure for contracting for supplies, materials, equipment, contractual services and personal service contractors, in which (A) proposals are solicited from qualified suppliers by a request for proposals, and (B) changes may be negotiated in proposals and prices after being submitted;

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- (9) "Consultant" means (A) any architect, professional engineer, landscape architect, land surveyor, accountant, interior designer, environmental professional or construction administrator, who is registered or licensed to practice such person's profession in accordance with the applicable provisions of the general statutes, or (B) any planner or any environmental, management or financial specialist;
- (10) "Consultant services" means those professional services rendered by architects, professional engineers, landscape architects, land surveyors, accountants, interior designers, environmental professionals, construction administrators, planners or environmental, management or financial specialists, as well as incidental services that members of these professions and those in their employ are authorized to perform;
 - (11) "Construction" means the process of building, altering, repairing, improving, or demolishing any public infrastructure facility, including any public structure, public building, or other public improvements of any kind to state property or other property or space in which the state has an interest. "Construction" does not include the routine operation, routine repair or routine maintenance of any existing public infrastructure facility, including structures, buildings or real property;
 - (12) "Construction item" means commodities or services involved in the process of building, designing, altering or repairing a public structure or building, or other improvements to any state property. "Construction item" does not include routine operation, routine repair or routine maintenance of existing structures, buildings or property;
 - (l3) "Contract" or "state contract" means an agreement or a combination or series of agreements between a state agency or quasi-public agency and a person, firm or corporation for:
- 2659 (A) A project for the construction, reconstruction, alteration,

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- remodeling, repair or demolition of any public building or public work;
- 2662 (B) Services, including, but not limited to, consulting and 2663 professional services;
- 2664 (C) The acquiring or disposing of all manner of real and personal property;
- 2666 (D) Goods and services, including, but not limited to, using purchase of services contracts and personal service agreements;
- 2668 (E) Transactions involving information technology, state agency 2669 information system or telecommunication system facilities, equipment 2670 or services, that is awarded pursuant to the uniform procurement code 2671 or subsection (e) of section 1-205 of the general statutes, subsection (c) 2672 of section 1-211 of the general statutes, subsection (b) of section 1-212 2673 of the general statutes, section 4-5 of the general statutes, section 42 of 2674 this act, 10a-151b of the general statutes, subsection (a) of section 19a-2675 110, of the general statutes, or subsection (b) of section 32-6i of the 2676 general statutes;
- 2677 (F) A lease; or
- 2678 (G) A licensing agreement, and includes all government functions 2679 that relate to such activities;
- "Contract" or "state contract" does not include a contract between a state agency or a quasi-public agency and a political subdivision of the state;
- 2683 (14) "Term contract" means the agreement reached when the state 2684 accepts a bid or proposal to furnish supplies, materials, equipment or 2685 contractual services at a stated price for a specific period of time in 2686 response to an invitation to bid;
- 2687 (15) "Public works contract" means any agreement between any

- individual, firm or corporation and the state or any political subdivision of the state other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the state, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees;
 - (16) "Contract modification" means any written alteration in specifications, delivery point, rate of delivery, period of performance, price, quantity or other provisions of any contract accomplished by mutual action of the parties to the contract, other than change orders, which were previously defined;
 - (17) "Contract risk assessment" means (A) the identification and evaluation of loss exposures and risks, including, but not limited to, business and legal risks associated with the contracting process and the contracted goods and services, and (B) the identification, evaluation and implementation of measures available to minimize potential loss exposures and risks;
 - (18) "Contractor" means any person or business entity who is awarded, or participating as a subcontractor under, a contract or an amendment to a contract with the state under the procedure set forth in the uniform procurement code, including, but not limited to, a small contractor, minority business enterprise, organization providing products and services by persons with disabilities, and an individual with a disability;
 - (19) "Contractual services" or "services" means (A) the furnishing of labor, time, or effort by a contractor, not involving the delivery of a specific end product other than reports, which are merely incidental to the required performance; and (B) any and all laundry and cleaning service, pest control service, janitorial service, security service, the rental and repair, or maintenance, of equipment, machinery and other state-owned personal property, advertising and photostating,

- 2720 mimeographing, and other service arrangements where the services 2721 are provided by persons other than state employees. "Contractual 2722 services" includes the design, development and implementation of 2723 technology, communications or telecommunications systems or the 2724 infrastructure pertaining thereto, including hardware and software 2725 and services for which a contractor is conferred a benefit by the state, 2726 whether or not compensated by the state. "Contractual services" does 2727 not include employment agreements, collective bargaining agreements 2728 or professional services;
 - (29) "Cost reimbursement contract" means a contract under which a contractor is reimbursed for costs which are allowable and allocable in accordance with the contract terms and the uniform procurement code and a fee, if any;
- 2733 (21) "Data" means recorded information, regardless of form or 2734 characteristic;
- 2735 (22) "Designee" means a duly authorized representative of a person 2736 holding a superior position;
- (23) "Design-bid-build" means a project delivery method in which the state sequentially awards separate contracts, the first for architectural and engineering services to design the project and the second for construction of the project according to the design;
- 2741 (24) "Design-build" means a project delivery method in which the 2742 state enters into a single contract for design and construction of an 2743 infrastructure facility;
 - (25) "Design requirements" means the written description of the infrastructure facility or service to be procured under this part B including: (A) Required features, functions, characteristics, qualities, and properties that are required by the state; (B) the anticipated schedule, including start, duration, and completion; (C) estimated budgets as applicable to the specific procurement for design, construction, operation and maintenance. The design requirements

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- 2751 may, but need not, include drawings and other documents illustrating
- 2752 the scale and relationship of the features, functions and characteristics
- 2753 of the project;
- 2754 (26) "Electronic" means electrical, digital, magnetic, optical,
- 2755 electromagnetic, or any other similar technology;
- 2756 (27) "Emergency procurement" means procurement by a state
- 2757 contracting agency, quasi-public agency, as defined in section 1-120 of
- 2758 the general statutes, judicial department or constituent unit of higher
- 2759 education that is made necessary by a sudden, unexpected occurrence
- 2760 that poses a clear and imminent danger to public safety or requires
- 2761 immediate action to prevent or mitigate the loss or impairment of life,
- 2762 health, property or essential public services or in response to a court
- order, settlement agreement or other similar legal judgment;
- 2764 (28) "Equipment" means personal property of a durable nature that
- 2765 retains its identity throughout its useful life;
- 2766 (29) "Established catalogue price" means the price included in a
- 2767 catalogue, price list, schedule or other form that:
- 2768 (A) Is regularly maintained by a manufacturer or contractor;
- 2769 (B) Is either published or otherwise available for inspection by
- 2770 customers; and
- 2771 (C) States prices at which sales are currently or were last made to a
- significant number of any category of buyers or buyers constituting the
- 2773 general buying public for the supplies or services involved;
- 2774 (30) "Excess supplies" means any supplies other than expendable
- 2775 supplies having a remaining useful life but which are no longer
- 2776 required by the using agency in possession of the supplies;
- 2777 (31) "Expendable supplies" means all tangible supplies other than
- 2778 nonexpendable supplies;

- (32) "Firm" means any individual, partnership, corporation, joint venture, association or other legal entity authorized by law to practice the profession of architecture, landscape architecture, engineering, land surveying, accounting, planning or environmental, management or financial specialization;
 - (33) "Governmental body" means any department, commission, council, board, bureau, committee, institution, legislative body, agency, government corporation, or other establishment or official of the executive, legislative or judicial branch of the state;
 - (34) "Grant" or "loan" means the furnishing by the state of assistance, whether financial or otherwise, to any person to support a program authorized by law. "Grant" or "loan" does not include an award whose primary purpose is to procure an end product, whether in the form of supplies, services or construction;
 - (35) "Highest scoring bidder in a multiple criteria bid" means the bidder whose bid receives the highest score for a combination of attributes, including, but not limited to, price, skill, ability and integrity necessary for the faithful performance of the work, based on multiple criteria considering quality of product, warranty, life-cycle cost, past performance, financial responsibility and other objective criteria that are established in the bid solicitation for the contract;
 - (36) "Independent peer reviewer services" means additional architectural and engineering services provided to the state;
 - (37) "Infrastructure facility" means a building; structure; or networks of buildings, structures, pipes, controls and equipment that provide transportation, utilities, public education or public safety services. Included are government office buildings, public schools; jails; water treatment plants, distribution systems and pumping stations; waste water treatment plant, collections systems, and pumping stations; solid waste disposal plants, incinerators, landfills, and related facilities; public roads and streets; highways; public

- parking facilities; public transportation systems, terminals, and rolling stock; rail, air and water port structures, terminals and equipment;
- 2812 (38) "Invitation for bids" means all documents, whether attached or incorporated by reference, utilized for soliciting bids;
- 2814 (39) "Lowest responsible qualified bidder" means the bidder whose 2815 bid is the lowest of those bidders possessing the skill, ability and 2816 integrity necessary to faithful performance of the work based on 2817 objective criteria considering past performance and financial 2818 responsibility;
- 2819 (40) "Materials" means items required to perform a function or used 2820 in a manufacturing process, particularly those incorporated into an 2821 end product or consumed in its manufacture;
- (41) "Minor irregularities" means informalities that are matters of form rather than substance evident from the bid document, or insignificant mistakes that can be waived or corrected without prejudice to other bidders; that is, the effect on price, quantity, quality, delivery, or contractual conditions is negligible;
- (42) "Multistep competitive sealed bidding" means a competitive process calling for separate submissions of proposals or responses following the issuance of a request for information, request for qualifications or other solicitation prior to the issuance of an invitation to bid:
- 2832 (43) "Nonexpendable supplies" means all tangible supplies having 2833 an original acquisition cost per unit, as determined, from time to time, 2834 by the Commissioner of Administrative Services, and a probable 2835 useful life of more than one year;
- 2836 (44) "Nonprofit agency" means any organization that is not a for-2837 profit business and provides services contracted for by (A) the state, or 2838 (B) a nonstate entity;

- (45) "Operations and maintenance" means a project delivery method whereby the state enters into a single contract for the routine operation, routine repair, and routine maintenance of an infrastructure facility;
 - (46) "Personal service agreement" means a written agreement between the state and a personal services contractor for services rendered to the state which are infrequent or unique, which defines the services or end product to be delivered by a personal service contractor to a state agency, excluding any agreement with a personal service contractor that the state accounting manual does not require to be submitted to the Comptroller;
 - (47) "Personal service contractor" means any person, firm or corporation not employed by the state, who is hired by a state agency for a fee to provide services to the agency. "Personal service contractor" does not include (A) a person, firm or corporation providing contractual services, as defined in this section, to the state, (B) a consultant, as defined in this section, (C) a consultant providing services to the Department of Transportation, (D) an agency of the federal government, of the state or of a political subdivision of the state, or (E) a person, firm or corporation providing consultant services for information and telecommunications systems;
 - (48) "Professional services" means any type of service to the public that requires that members of a profession rendering such service obtain a license or other legal authorization as a condition precedent to the rendition thereof, limited to the professional services of architects, professional engineers, or jointly by architects and professional engineers, landscape architects, certified public accountants and public accountants, land surveyors, attorneys-at-law, psychologists, licensed marital and family therapists, licensed professional counselors and licensed clinical social workers as well as such other professional services described in section 33-182a of the general statutes;
 - (49) "Privatization contract" means an agreement or series of

agreements between a state contracting agency and a person or entity, in which such person or entity agrees to provide services valued at one million dollars or more over the life of the contract that are substantially similar to and in lieu of services provided, in whole or in part, by employees of such agency or by employees of another state agency and that results in the layoff of any full time state employee who is a member of a collective bargaining unit. "Privatization contract" does not include the renewal, modification or extension of a contract in effect on or before the effective date of this section;

- (50) "Procurement" means contracting for, buying, purchasing, renting, leasing or otherwise acquiring or disposing of, any supplies, services, including but not limited to, contracts for purchase of services and personal service agreements, interest in real property, or construction, and includes all government functions that relate to such activities, including best value selection and qualification based selection;
- (51) "Proposal development documents" means drawings and other design related documents that are sufficient to fix and describe the size and character of an infrastructure facility as to architectural, structural, mechanical and electrical systems, materials, and such other elements as may be appropriate to the applicable project delivery method;
- (52) "Proposer" means a person, firm or corporation submitting a proposal in response to a request for proposals or other competitive sealed proposal;
- (53) "Public record" means a public record, as defined in section 1-200 of the general statutes, and also includes any recorded data or information relating to the conduct of the public's business prepared, owned, used, received or retained by a contractor or subcontractor for work under a contract, subcontract or amendment to a contract or subcontract, whether such data or information be handwritten, typed, tape-recorded, printed, photostated, photographed or recorded by any other method;

- 2903 (54) "Purchase description" means the words used in a solicitation to 2904 describe the supplies, services, or construction to be purchased, and 2905 includes specifications attached to, or made a part of the solicitation;
- 2906 (55) "Qualification based selection" means a contract selection 2907 process in which the award of a contract is primarily based on an 2908 assessment of contractor qualifications and on the negotiation of a fair 2909 and reasonable price;
- 2910 (56) "Quasi-public agency" or "quasi public" means quasi-public 2911 agency, as defined in subdivision (1) of section 1-120 of the general 2912 statutes;
- 2913 (57) "Regulation" means regulation, as defined in section 4-166 of 2914 the general statutes;
- 2915 (58) "Request for proposals" means all documents, whether attached or incorporated by reference, utilized for soliciting proposals;
- (59) "Responsible bidder" or "responsible proposer" means a person who has the capability in all respects to perform fully the contract requirements, and the integrity and reliability which will assure good faith performance;
- 2921 (60) "Signature" means signature, as defined in section 1-274 of the general statutes;
- (61) "Specification" means any description of the physical or functional characteristics, or of the nature of a supply, service or construction item and includes a description of any requirement for inspecting, testing or preparing a supply, service or construction item for delivery;
- 2928 (62) "State contracting agency", "state agency" or "agency" means 2929 any executive branch agency, each state board, commission, 2930 department, office, institution or council, including but not limited to 2931 constituent units of the state system of higher education; political

- subdivisions of the state; and, quasi-public agencies that receive state funds, that are authorized by law to enter into contracts for goods or services itself or through its head. "State contracting agency" does not include the office of the Secretary of the State, the office of the State Treasurer, the office of the State Comptroller, the office of the Attorney General or the judicial or legislative branches of the state;
- 2938 (63) "Subcontractor" means a subcontractor of a contractor for work under a contract or an amendment to a contract;
- (64) "Supplies" means any and all articles of personal property, including, but not limited to, equipment, materials, printing, insurance and leases of real property, excluding land or a permanent interest in land furnished to or used by any state agency, including all printing, binding, publication of laws, stationery, forms and reports; and
 - (65) "Surplus supplies" means any supplies other than expendable supplies no longer having any use to the state including obsolete supplies, scrap materials and nonexpendable supplies that have completed their useful life cycle.
 - Sec. 56. (NEW) (*Effective from passage*) At the initiation of the purchase of supplies, materials, equipment or contractual services, including infrastructure facilities and services, each state contracting agency shall establish a requisition system, subject to the approval of the Commissioner of Administrative Services.
 - Sec. 57. (NEW) (Effective from passage) (a) Except for such emergency purchases as are made by a budgeted agency under regulations adopted by the Commissioner of Administrative Services, no budgeted agency or any agent of such agency shall incur any obligation, by order, contract or otherwise, except by the issue of a purchase order or any other documentation approved by the Comptroller, necessary to process the transaction transmitted by the budgeted agency or its agents to the commissioner and the Comptroller, provided the amount to be charged against the appropriation for a budgeted agency in any

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year for a purchase order for a current expenditure shall be the amount anticipated to be spent in such year. The amount to be charged against the appropriation for any budgeted agency in any year for a capital expenditure, including an installment purchase, shall be the state's total cost for such capital expenditure unless otherwise authorized by the General Assembly or approved by the Finance Advisory Committee. Upon the receipt of any such purchase order or any other documentation approved by the Comptroller necessary to process the transaction, the Comptroller shall immediately charge the same to the specific appropriation of the budgeted agency issuing the same and certify on the face of the purchase order or approve such other documentation that the purchase is approved and recorded, if the proposed purchase is within the applicable specific appropriation and the budgeted agency has unencumbered funds sufficient to defray such expenditure. In transactions requiring purchase orders, the Comptroller shall promptly transmit such certified purchase order to the vendor named in the purchase order.

- (b) Notwithstanding the provisions of subsection (a) of this section, the Comptroller may delegate to any budgeted agency the certification and transmission requirements of purchase orders using authorized electronic methods, provided such agency transmits the information contained in such purchase orders to the Comptroller. Upon receipt of any such electronic transmission, the Comptroller shall immediately charge the same to the specific appropriation of the budgeted agency issuing the same and shall electronically certify that the purchase is approved and recorded, if the proposed purchase is within the applicable specific appropriation and the budgeted agency has unencumbered funds sufficient to defray such expenditure. Upon receipt of the Comptroller's certification, the budgeted agency shall transmit the purchase order to the vendor named in the purchase order.
- 2994 (c) Notwithstanding the provisions of subsection (a) or (b) of this 2995 section, the Comptroller may allow budgeted agencies to use

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- purchasing cards for purchases of ten thousand dollars or less. No budgeted agency, or any official, employee or agent of a budgeted agency, shall incur any obligation using such a card, except in accordance with procedures established by the Comptroller.
- Sec. 58. (NEW) (*Effective upon passage*) All purchases of, and contracts for, supplies, materials, equipment and contractual services, except purchases and contracts made pursuant to the provisions of the uniform procurement code and public utility services shall be awarded by one of the following methods, unless otherwise authorized by law:
- 3005 (a) Competitive sealed bidding as set forth in the uniform 3006 procurement code.
- 3007 (b) Competitive sealed proposals as set forth in the uniform 3008 procurement code.
- 3009 (c) Small purchases as set forth in the uniform procurement code.
- 3010 (d) Sole source procurement as set forth in the uniform procurement 3011 code.
- 3012 (e) Emergency procurements as set forth in the uniform 3013 procurement code.
- 3014 (f) Waiver of bid or proposal requirement for extraordinary 3015 conditions as set forth in the uniform procurement code.
- 3016 (g) Waiver pertaining to the purchase of alternative fuel vehicles 3017 and certain public utility services as set forth in the uniform 3018 procurement code.
- 3019 (h) Special procurements as set forth in the uniform procurement 3020 code.
- Sec. 59. (NEW) (*Effective July 1, 2008*) (a) Contracts and purchase orders, in an amount in excess of fifty thousand dollars, shall be awarded by competitive sealed bidding unless the Commissioner of

- Administrative Services or other appropriate award authority determines that an alternate method of source selection, as set forth in the uniform procurement code.
- 3027 (b) An invitation for bids shall be issued and shall include a 3028 purchase description, and all contractual terms and conditions applicable to the procurement.
- (c) Adequate public notice of the invitation for bids shall be given by providing notice of the planned purchase in a form and manner that the Commission of Administrative Services determines, in accordance with regulations, will promote competition and maximize public participation, including participation by small contractors, as defined in the uniform procurement code.
 - (1) In the case of an expenditure which is estimated to exceed fifty thousand dollars, such notice shall be inserted, at least five calendar days before the final date of submitting bids, in two or more publications, at least one of which shall be a major daily newspaper published in the state or shall be posted on the Internet.
- 3041 (2) Each notice of a planned purchase under this subsection shall indicate the following:
 - (A) The type of goods and services to be purchased and the estimated value of the contract award; and
- 3045 (B) The state contract requirements concerning nondiscrimination and affirmative action pursuant to the uniform procurement code and, when applicable, requirements concerning the awarding of contracts to small contractors, minority business enterprises, individuals with a disability and nonprofit corporations pursuant to the uniform procurement code.
 - (d) Bids shall be opened publicly in the presence of one or more witnesses at the time and place designated in the invitation for bids. The amount of each bid, and such other relevant information as may

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- be specified by regulation, together with the name of each bidder shall be recorded; the record and each bid shall be open to public inspection. Each bid shall be kept sealed or secured until opened publicly at the time stated in the notice soliciting such bid.
 - (e) Bids shall be unconditionally accepted without alteration or correction, except as authorized in this act and the regulations hereunder. The invitation for bid may set forth the evaluation criteria to be used. No criteria may be used in a bid evaluation that are not set forth in the invitation for bids. In the event there is no specific evaluation criterion set forth in the invitation for bids, evaluation will be based on a determination of the lowest responsible, qualified and responsive bidder as set forth in the uniform procurement code.
 - (1) Bids shall be evaluated by the state contracting agency or consultants if so designated by the Commissioner of Administrative Services, based on the requirements set forth in the invitation for bids, which may include criteria to determine acceptability such as inspection, testing, quality, workmanship, delivery and suitability for a particular purpose; and
 - (2) Those criteria that will affect the bid price and be considered in evaluation for award shall be objectively measurable, such as discounts, transportation costs and total or life cycle costs.
 - (f) Correction or withdrawal of inadvertently erroneous bids before or after award, or cancellation of awards of contracts or purchase orders based on such bid mistakes, shall be permitted in accordance with regulations proposed by the Commissioner of Administrative Services. Said regulations shall take into consideration preservation of the integrity of the competitive sealed bidding process under this chapter.
 - (1) After bid opening, no changes in bid prices or other provisions of bids prejudicial to the interest of the state or fair competition shall be permitted.

- 3085 (2) Except as otherwise provided by regulation, all decisions to 3086 permit the correction or withdrawal of bids, or cancel awards of 3087 contracts or purchase orders based on bid mistakes shall be supported 3088 by a written determination made by the Commissioner of 3089 Administrative Services.
 - (g) (1) The contract shall be awarded with reasonable promptness by written notice to the lowest responsible, qualified bidder whose bid meets the requirements and evaluation criteria set forth in the invitation for bids, taking into consideration the following: The qualities of the articles to be supplied, their conformity with the specifications, their suitability to the requirements of the state government and the delivery terms being taken into consideration and, at the discretion of the Commissioner of Administrative Services, life-cycle costs and trade-in or resale value of the articles may be considered where it appears to be in the best interest of the state.
 - (A) In considering past performance of a bidder for the purpose of determining the "lowest responsible qualified bidder" or the "highest scoring bidder in a multiple criteria bid", the commissioner shall evaluate the skill, ability and integrity of the bidder in terms of the bidder's fulfillment of past contract obligations and the bidder's experience or lack of experience in delivering supplies, materials, equipment or contractual services of the size or amount for which bids have been solicited.
 - (B) In determining the lowest responsible qualified bidder for the purposes of this section, the commissioner may give a price preference of up to ten per cent for:
 - (i) The purchase of goods made with recycled materials or the purchase of recyclable or remanufactured products if the commissioner determines that such preference would promote recycling or remanufacturing. As used in this subsection, "recyclable" means able to be collected, separated or otherwise recovered from the solid waste stream for reuse, or for use in the manufacture or assembly

- of another package or product, by means of a recycling program which is reasonably available to at least seventy-five per cent of the state's population, "remanufactured" means restored to its original function and thereby diverted from the solid waste stream by retaining the bulk of components that have been used at least once and by replacing consumable components and "remanufacturing" means any process by which a product is remanufactured;
- 3124 (ii) The purchase of motor vehicles powered by a clean alternative 3125 fuel; or
 - (iii) The purchase of motor vehicles powered by fuel other than a clean alternative fuel and conversion equipment to convert such motor vehicles allowing the vehicles to be powered by either the exclusive use of clean alternative fuel or dual use of a clean alternative fuel and a fuel other than a clean alternative fuel. As used in this subsection, "clean alternative fuel" shall mean natural gas or electricity when used as a motor vehicle fuel.
 - (C) All other factors being equal, preference shall be given to supplies, materials and equipment produced, assembled or manufactured in the state and services originating and provided in the state.
- 3137 (2) Unless otherwise prohibited by federal or state law, regulation or 3138 agency requirement, with respect to construction projects only, the 3139 Commissioner of relevant state contracting agency, subject to approval 3140 by the State Contracting Standards Board, is authorized to negotiate an 3141 adjustment of the bid price, including changes in the bid requirements, 3142 with the low responsible and responsive bidder, in order to bring the 3143 bid within the amount of available funds, in the event:
- 3144 (A) All bids for a construction project exceed available funds as certified by the head of the state contracting agency;
- 3146 (B) The low responsible and responsive bid does not exceed such 3147 funds by more than five per cent; and

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- 3148 (C) The time or economic considerations preclude resolicitation of 3149 work of a reduced scope.
- (3) If any such bidder refuses to accept, within ten days, a contract awarded to such bidder, such contract may be awarded to the next lowest responsible qualified bidder or the next highest scoring bidder in a multiple criteria bid, whichever is applicable, and so on until such contract is awarded and accepted.
 - (4) A contract valued at one million dollars or more shall be awarded to a bidder other than the lowest responsible qualified bidder or the highest scoring bidder in a multiple criteria bid, whichever is applicable, only with written approval signed by the Commissioner of Administrative Services and by the Comptroller.
 - (h) When it is considered impractical to initially issue an invitation for bid, the Commissioner of Administrative Services may issue a request for information or request for proposals or request for qualifications as the first step in the process, to be followed by an invitation for bids which may be limited to those bidders who have been qualified under the criteria set forth in the first solicitation.
 - (i) The Commissioner of Administrative Services may issue a request for information for a multiple criteria bid. The contract shall be awarded to the highest scoring bidder in a multiple criteria bid, in accordance with the criteria set forth in the bid solicitation for the contract. The Commissioner of Administrative Services shall adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, indicating the types of objective criteria that the commissioner may use in determining the highest scoring bidder in a multiple criteria bid under this section. Said commissioner shall submit a report on such date, concerning the status of the adoption of said regulations by the commissioner, to the joint standing committee of the General Assembly having cognizance of matters relating to government administration.

- Sec. 60. (NEW) (Effective July 1, 2008) (a) (1) A contract may be entered into by competitive sealed proposals when the Commissioner of Administrative Services or other appropriate award authority determines in writing, pursuant to regulations, that the use of competitive sealed bidding is either not practicable or not advantageous to the state.
- 3185 (2) The Commissioner of Administrative Services may adopt 3186 regulations, in accordance with chapter 54 of the general statutes, that 3187 establish the criteria in determining when competitive sealed bidding 3188 to procure specified types of supplies, services or construction that is 3189 either not practicable or not advantageous to the state.
 - (3) Contracts for the project delivery methods specified in the uniform procurement code shall be entered into by competitive sealed proposals, except as otherwise provided in the uniform procurement code.
 - (b) Proposals shall be solicited through a request for proposals, as required by the Commissioner of Administrative Services, a request for information, request for quotation or request for qualifications or other forms of solicitation may be utilized to ascertain information or to establish qualifications for the request for proposals. The solicitations shall also contain, among other things, a description of the projected scope of services or system requirements, a notice of mandatory state contractual provisions or terms and conditions required by this chapter or federal agencies. Services shall be selected on the basis of a request for proposals. Each request for proposals for "design plus" contract:
- 3205 (1) Shall include design requirements;
- 3206 (2) Shall solicit proposal development documents; and
- 3207 (3) May, when the relevant state contracting agency determines that 3208 the cost of procuring proposals is high in view of the size, estimated 3209 price and complexity of the procurement:

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- 3210 (A) Prequalify proposers by issuing a request for qualifications in advance of the request for proposals; and
- 3212 (B) Select a short list of responsible proposers prior to discussions 3213 and evaluations under this act, provided that the number of proposals 3214 short listed is stated in the request for proposals and prompt public 3215 notice is given to all proposers as to which proposals are short listed.
 - (c) Adequate public notice of the request for proposals, request for information or request for qualifications shall be given in the same manner as provided for in the uniform procurement code.
 - (d) Proposals shall be opened so as to avoid disclosure of contents to competing proposers during the process of negotiation. A register of proposals shall be prepared in accordance with regulations and shall be opened for public inspection after contract award, with the exception of confidential trade and business information withheld in accordance with the general statutes.
 - (e) The request for proposals shall state the relative importance of price and other factors and subfactors, if any.
 - (f) As provided in the request for proposals, and under regulations, discussions may be conducted with responsible proposers who submit proposals determined to be reasonably susceptible of being selected for award for the purpose of clarification to assure full understanding of, and responsiveness to, the solicitation requirements.
 - (1) Proposers shall be accorded fair and equal treatment with respect to any opportunity for discussion and revision of proposals, and such revisions may be permitted after submission and prior to award for the purpose of obtaining best and final offers.
 - (2) In conducting discussions, there shall be no disclosure of any information derived from proposals submitted by competing proposers, except for such information which may be disclosed by law.

- (3) Proposals shall be evaluated only on the basis of evaluation factors stated in the request for proposals. The following factors may be appropriate to use in conducting the evaluation. The relative importance of these and other factors will vary according to the type of supplies, materials, equipment or contractual services being procured. Notwithstanding any provision of the general statutes to the contrary, each state contracting agency awarding a contract through competitive negotiation shall include price as an explicit factor in the criteria in the request for proposals and for the contract award.
- (4) All proposals submitted as provided in the uniform procurement code shall be based on such standard specifications as may be adopted by the Commissioner of Administrative Services, the commissioner's designee or such other head of a state contracting agency as may be authorized by the uniform procurement code. Proposers shall submit with their responses essential information concerning their qualifications, in such form as the commissioner may require by specification in the request documents. The commissioner may, after adopting the regulations required by the uniform procurement code, waive minor irregularities in proposals if the commissioner determines that such a waiver would be in the best interest of the state. The commissioner shall state the reasons for any such waiver in writing and include such statement in the contract file.
- (g) Notwithstanding any provision of the general statutes, a constituent unit of the state system of higher education or an institution of the Connecticut State University system, may purchase, by negotiation, supplies, materials, equipment and contractual services, as defined in section 55 of this act, for the constituent unit or institution, as appropriate, when the supplies, materials, equipment or contractual services (1) are required to implement a grant, contract or financial agreement between the constituent unit or institution, as appropriate, and the donor of funds or other things of value which are given with an obligation for service primarily to the donor by the constituent unit or institution, as appropriate, and (2) are specified in

- 3272 such grant, contract or financial agreement.
- 3273 (h) (1) Award shall be made to the responsible proposer whose 3274 proposal is deemed by the commissioner, designee or such other head 3275 of a state contracting agency as may be authorized by this act or 3276 designated by the Commissioner of Administrative Services, to be the 3277 most advantageous to the state, in accordance with the criteria set forth 3278 in the request for proposals, including price and evaluation factors and 3279 conforms to the solicitation and is determined in writing to be the most 3280 advantageous to the state taking into consideration price and the 3281 evaluation factors set forth in the request for proposals. No other 3282 factors or criteria shall be used in the evaluation. The contract file shall 3283 contain the basis on which the award is made. Written notice of the 3284 award of a contract to the successful proposer shall be promptly given 3285 to all proposers. If any such proposer refuses to accept, within ten 3286 days, a contract awarded to such proposer, such contract shall be 3287 awarded to the next most advantageous proposer, and so on until the 3288 contract is awarded and accepted.
- 3289 (2) No other factors or criteria, not included in the request for proposals, shall be used in the evaluation.
- 3291 (3) The contract or purchase order files shall contain the basis on which the award is made.
 - (4) Written notice of the award of a contract or purchase order to the successful proposer shall be promptly given to all proposers. The contracting officials are authorized to provide debriefings that furnish the basis for the source selection decision and contract award.
- Sec. 61. (NEW) (*Effective July 1, 2008*) (a) Any procurement not exceeding fifty thousand dollars may be made in accordance with small purchase procedures, provided procurement requirements shall not be artificially divided so as to constitute a small purchase under this section.
- 3302 (1) The Commissioner of Administrative Services or other

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- appropriate award authority shall award the contract to the lowest responsible bidder. If the contract is not given to the lowest responsible bidder, a written explanation shall be made by the commissioner and be filed as a public record with the other documents pertinent to the transaction.
- (2) The Commissioner of Administrative Services has the authority to determine that a state contracting agency has artificially divided procurement requirements so as to constitute a small purchase under this section and thereby prohibit the state contracting agency from utilizing the small purchase procedures.
- (b) The Commissioner of Administrative Services or other appropriate award authority may, at his or her discretion, waive the requirement of competitive bidding or competitive negotiation in the case of minor, non-recurring and emergency purchases of ten thousand dollars or less in amount.
- Sec. 62. (NEW) (*Effective July 1, 2008*) (a) Notwithstanding any other provision of the uniform procurement code, the Commissioner of Administrative Services, or other appropriate award authority, may make emergency procurements when there exists a threat to public health, welfare or safety under emergency conditions as defined in regulations, provided such emergency procurements shall be made with such competition as is practicable under the circumstances.
- (b) A written determination of the basis for the emergency and for the selection of the particular contractor shall be included in the contract file and transmitted to the Governor, the president pro tempore of the Senate, the majority and minority leaders of the Senate, the speaker of the House of Representatives and the majority and minority leaders of the House of Representatives.
- (c) Such determination shall be based upon need and shall not be utilized in order to satisfy preferences or convenience of the state contracting agency, for preventing funds from lapsing at the end of a

- fiscal year or for any reason that would circumvent the procurement methods set forth in the uniform procurement code.
- Sec. 63. (NEW) (*Effective July 1, 2008*) (a) There shall be a Standardization Committee that shall consist of the Commissioner of Administrative Services, the Comptroller or the Comptroller's designee, the Treasurer or the Treasurer's designee, and such administrative heads of state departments or their authorized agents as designated by the Governor.
- (b) Whenever an emergency exists by reason of extraordinary 3342 3343 conditions or contingencies that could not reasonably be foreseen and 3344 guarded against, or because of unusual trade or market conditions, the 3345 Commissioner of Administrative Services or, in the case of purchases, 3346 leases and contracts for information systems, information technology 3347 personal property and telecommunications systems, the Chief 3348 Information Officer may, upon a determination that it is in the best 3349 interest of the state, waive the competitive bid or proposal 3350 requirements of the uniform procurement code. If any such procurement is estimated to cost fifty thousand dollars or more, such 3351 3352 waiver shall be subject to the approval of the Standardization 3353 Committee. A statement of all purchases made under the provisions of 3354 this section shall be contained in the annual report of the 3355 Commissioner of Administrative Services.
 - (c) Any determination described in subsection (b) of this section shall be based upon need and shall not be utilized in order to satisfy preferences or convenience of the state contracting agency, for preventing funds from lapsing at the end of a fiscal year or for any reason that would circumvent the procurement methods set forth in the uniform procurement code.
 - (d) The Commissioner of Administrative Services, the Commissioner of Transportation, the Commissioner of Public Works or the Chief Information Officer may, at his or her discretion, waive the requirement of competitive bidding or competitive negotiation in

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the case of minor, nonrecurring and emergency purchases of ten thousand dollars or less.

Sec. 64. (NEW) (Effective July 1, 2008) Notwithstanding any other provision of the uniform procurement code, the Commissioner of Administrative Services may, with prior public notice, initiate a procurement above the small purchase amount specified in the uniform procurement code whenever the commissioner determines, with the concurrence of the State Contracting Standards Board, that an unusual or unique situation exists that makes the application of all requirements of competitive sealed bidding or competitive sealed proposals contrary to the public interest. Any special procurement under this section shall be made with such level of competition as is practicable under the circumstances. A written determination of the basis for the procurement and for the selection of the particular contractor shall be included by the commissioner in the contract file, and a report shall be made publicly available annually describing all such determinations made subsequent to the prior report.

Sec. 65. (NEW) (*Effective July 1, 2008*) (a) Any invitation for bids, any request for proposals, or other solicitation may be cancelled, or any or all bids or proposals may be rejected in whole or in part as may be specified in the solicitation, when, in the opinion of the Commissioner of Administrative Services, the best interests of the state will be served. The reasons for such cancellation shall be made part of the contract file and shall be sent to the State Contracting Standards Board.

- (b) If all such bids or proposals are so rejected, the commissioner shall advertise again for bids or proposals and such bids or proposals shall be opened, awarded and approved in like manner as provided in the uniform procurement code.
- (c) If all such bids or proposals received on a pending contract are for the same unit price or total amount and no distinction can be made in favor of supplies, materials and equipment produced, assembled or manufactured in the state or services originating and provided in the

- state, the commissioner shall have authority to order the rejection of all bids or proposals and to order the purchase of the required supplies, materials, equipment or contractual services in the open market, provided the price paid in the open market shall not exceed the bid or proposal price.
- (d) The commissioner may reserve the right to award by item, or part thereof, groups of items, or parts thereof, or all items of the bid, to reject any and all bids in whole or in part, to waive minor irregularities and omissions and permit the bidder or responder to correct them if, in the commissioner's judgment, the best interest of the state will be served.
- Sec. 66. (NEW) (*Effective July 1, 2008*) (a) A written determination of nonresponsibility of a bidder or proposer shall be made in accordance with regulations adopted by the board. The unreasonable failure of a bidder or proposer to promptly supply information in connection with an inquiry with respect to responsibility may be grounds for a determination of nonresponsibility with respect to such bidder or proposer.
 - (1) For the purpose of indicating the types of objective criteria in determining the lowest responsible qualified bidder, as defined in the uniform procurement code, or the best proposer, the invitation to bid or request for proposals shall state the evaluation factors, including price, and their relative importance. Past performance and financial responsibility shall always be factors in making such determination.
 - (2) There shall be a written evaluation made of each bid and proposal. This evaluation shall identify the vendors and their respective costs and prices, document the reason why any vendor is deemed to be nonresponsive and recommend a vendor for award.
 - (b) Confidential information furnished by a bidder or offer or pursuant to this section shall not be disclosed outside of the Department of Administrative Services, the State Contracting Agency

- or State Contracting Standards Board without prior written consent by the bidder or proposer.
- Sec. 67. (NEW) (*Effective July 1, 2008*) Prospective suppliers may be prequalified for particular types of supplies, services, and construction. The method of submitting prequalification information and the information required in order to be prequalified shall be determined by the Commissioner of Administrative Services following consultation with the heads of all affected state contracting agencies.
- Sec. 68. (NEW) (*Effective July 1, 2008*) (a) Any contract for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building for work by the state, which is estimated to cost more than five hundred thousand dollars, except:
- (1) A contract awarded by the Commissioner of Public Works for (A) a community court project; (B) the downtown Hartford higher education center project; (C) a correctional facility project; (D) a juvenile detention center project; or (E) a student residential facility for the Connecticut State University system that is a priority higher education facility project, as defined in the uniform procurement code; or
 - (2) A project, as defined in subdivision (16) of section 10a-109c of the general statutes, undertaken and controlled by The University of Connecticut in accordance with section 10a-109n of the general statutes, shall be awarded to the lowest responsible and qualified general bidder who is prequalified pursuant the uniform procurement code on the basis of competitive bids in accordance with the procedures set forth in the uniform procurement code, after the Commissioner of Public Works or, in the case of a contract for the construction of or work on a building under the supervision and control of the Joint Committee or, in the case of a contract for the construction of or work on a building under the supervision and control of one of the constituent units of the state system of higher

3461 the constituent unit, has invited such bids by education, 3462 advertisements inserted at least once in one or more newspapers 3463 having a circulation in each county in the state. The Commissioner of 3464 Public Works, the joint committee or the constituent unit, as the case 3465 may be, shall indicate the prequalification classification required for 3466 the contract in such advertisement. As used in this section, 3467 "prequalification classification" means the prequalification 3468 classifications established by the Commissioner of Administrative 3469 Services pursuant to the uniform procurement code.

(b) The Commissioner of Public Works, the joint committee or the constituent unit, as the case may be, shall determine the manner of submission and the conditions and requirements of such bids, and the time within which the bids shall be submitted, consistent with the provisions of sections 4b-91 to 4b-96, inclusive, of the general statutes subject to approval by the State Contracting Standards Board. Such award shall be made not later than sixty days after the opening of such bids. If the general bidder selected as the general contractor fails to perform the general contractor's agreement to execute a contract in accordance with the terms of the general contractor's general bid and furnish a performance bond and also a labor and materials or payment bond to the amount specified in the general bid form, an award shall be made to the next lowest responsible and qualified general bidder. No employee of the Department of Public Works, the joint committee or a constituent unit with decision-making authority concerning the award of a contract and no public official, as defined in section 1-79 of the general statutes, may communicate with any bidder prior to the award of the contract if the communication results in the bidder receiving information about the contract that is not available to other bidders, except that if the lowest responsible and qualified bidder's price submitted is in excess of funds available to make an award, the Commissioner of Public Works, the Joint Committee on Legislative Management or the constituent unit, as the case may be, may negotiate with such bidder and award the contract on the basis of the funds available, without change in the contract specifications, plans and

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other requirements. If the award of a contract on said basis is refused by such bidder, the Commissioner of Public Works, the Joint Committee on Legislative Management or the constituent unit, as the case may be, may negotiate with other contractors who submitted bids in ascending order of bid prices without change in the contract, specifications, plans and other requirements. In the event of negotiation with general bidders as provided in this section, the general bidder involved may negotiate with subcontractors on the same basis, provided such general bidder shall negotiate only with subcontractors named on such general bidder's general bid form.

- (c) No person may bid on a contract or perform work pursuant to a contract, except for a project described in subdivision (2) of subsection (a) of this section, for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building for work by the state or a municipality, which is estimated to cost more than five hundred thousand dollars and is paid for, in whole or in part, with state funds, unless the person is prequalified in accordance with the uniform procurement code.
- (d) Each bid submitted for a contract described in subsection (c) of this section shall include a copy of a prequalification certificate issued by the Commissioner of Administrative Services. The bid shall also be accompanied by an update bid statement in such form as the Commissioner of Administrative Services prescribes. The form for such update bid statement shall provide space for information regarding all bonded projects completed by the bidder since the date the bidder's prequalification certificate was issued or renewed, all bonded projects the bidder currently has under contract, including the percentage of work on such projects not completed, the names and qualifications of the personnel who will have supervisory responsibility for the performance of the contract, any significant changes in the bidder's financial position or corporate structure since the date the certificate was issued or renewed, any change in the contractor's qualification status as determined by the provisions of the

- uniform procurement code and such other relevant information as the Commissioner of Administrative Services prescribes. Any bid submitted without a copy of the prequalification certificate and an update statement shall be invalid. Any public agency that awards a contract to a bidder who failed to submit a copy of such prequalification certificate and an update bid statement, as required by this section, shall be ineligible for the receipt of any state funds related to such bid.
 - (e) Any person who bids on a contract described in subsection (c) of this section shall certify under penalty of false statement at the conclusion of the bidding process that the information in the bid is true, that there has been no substantial change in the bidder's financial position or corporate structure since the bidder's most recent prequalification certificate was issued or renewed, other than those changes noted in the update bid statement, and that the bid was made without fraud or collusion with any person.
 - (f) Any person who receives information from a state employee or public official that is not available to the general public concerning any construction, reconstruction, alteration, remodeling, repair or demolition project on a public building prior to the date that an advertisement for bids on the project is published shall be disqualified from bidding on the project.
 - (g) Notwithstanding the provisions of this section regarding competitive bidding procedures, the Commissioner of Administrative Services may select and interview at least three responsible and qualified general contractors who are prequalified pursuant to the uniform procurement code and submit the three selected contractors to the construction services award panel's process described in the uniform procurement code and any regulation adopted by the commissioner. The commissioner may negotiate with the successful bidder a contract which is both fair and reasonable to the state for a community court project; the downtown Hartford higher education center project; a correctional facility project; a juvenile detention center

project; or a student residential facility for the Connecticut State University system that is a priority higher education facility project, as defined in the uniform procurement code. The Commissioner of Public Works, prior to entering any such contract or performing any work on such project, shall submit such contract to the State Properties Review Board for review and approval or disapproval by the board, pursuant to subsection (i) of this section. Any general contractor awarded a contract pursuant to this subsection shall be subject to the same requirements concerning the furnishing of bonds as a contractor awarded a contract pursuant to subsection (b) of this section.

- (h) Any agency that seeks to have a project awarded without being subject to competitive bidding procedures shall certify to the joint committee of the General Assembly having cognizance of matters relating to government administration that the project is of such an emergency nature that an exception to the competitive bidding procedures of this section is required. Such certification shall include input from all affected agencies, detail the need for the exception and include any relevant documentation.
- (i) The General Assembly may approve legislation authorizing an exception to the competitive bidding process for a project, provided such legislation is approved, in whole, by a two-thirds vote of the members of each house of the General Assembly. If rejected, the legislation proposing an exception for such project shall not be valid and shall not be implemented. The legislation shall be deemed rejected if the General Assembly fails to vote to approve or reject the legislation (1) prior to the adjournment of the regular session of the General Assembly during which the legislation is filed, (2) prior to the adjournment of the next regular session of the General Assembly is not in regular session on such date, or (3) prior to the adjournment of a special session convened before the next regular session of the General Assembly for the purpose of considering the legislation if the General Assembly is not in regular session on the date

on which the legislation is filed. However, if the legislation is filed less than thirty days before the end of a regular session, the General Assembly may vote to approve or reject the legislation (A) not later than thirty days after the first day of a special session convened before the next regular session of the General Assembly for the purpose of considering the legislation, or (B) not later than thirty days after the first day of the next regular session of the General Assembly. In the event that the General Assembly approves legislation authorizing an exception to the competitive bidding process for a project, the State Properties Review Board shall complete a review of the contract for such project and approve or disapprove such contract no later than thirty days after the Commissioner of Public Works submits such contract to the board. Such review shall be conducted in accordance with the provisions of section 4b-3 of the general statutes. On and after October 1, 2008, such review shall be conducted by the applicable subcommittee of the State Contracting Standards Board. In the event that such review does not occur within the thirty-day period prescribed by this subsection, such contract shall be deemed to be approved.

(j) On and after July 1, 2007, no person whose subcontract exceeds five hundred thousand dollars in value may perform work as a subcontractor, except for a project described in subdivision (2) of subsection (a) of this section, for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building for work by the state or a municipality, which is estimated to cost more than five hundred thousand dollars and is paid for, in whole or in part, with state funds, unless the person is prequalified in accordance with the uniform procurement code.

Sec. 69. (NEW) (*Effective July 1, 2008*) As used in the uniform procurement code and except as otherwise provided, the words "lowest responsible and qualified bidder" shall mean the bidder who is prequalified pursuant the uniform procurement code, and whose bid is the lowest of those bidders possessing the skill, ability and integrity

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necessary to faithful performance of the work based on objective criteria considering past performance and information contained in the update statement submitted pursuant to the uniform procurement code. Essential information in regard to such qualifications shall be submitted with the bid in such form as the awarding authority may require by specification in the bid documents and on the bid form. Every general bid shall be accompanied by a bid bond or a certified check in an amount which shall be ten per cent of the bid, provided no such bid bond or certified check shall be required in relation to any general bid in which the total estimated cost of labor and materials under the contract with respect to which such general bid is submitted is less than fifty thousand dollars. Failure to execute a contract awarded as specified and bid shall result in the forfeiture of such bid bond or certified check. In considering past performance the awarding authority shall evaluate the skill, ability and integrity of bidders in terms of the bidders' fulfillment of contract obligations and of the bidders' experience or lack of experience with projects of the nature and scope of the project for which the bids are submitted.

Sec. 70. (NEW) (Effective July 1, 2008) (a) Every contract subject to the uniform procurement code shall include plans and specifications detailing all labor and materials to be furnished thereunder. Such specifications shall have a separate section for each of the following classes of work if, in the estimate of the awarding authority, the class of work will exceed twenty-five thousand dollars: (1) Masonry work; (2) electrical work; (3) mechanical work other than heating, ventilating and air conditioning work; and (4) heating, ventilating and air conditioning work. Such specifications shall also have a separate section for each other class of work for which the awarding authority deems it necessary or convenient.

(b) Each separate section in the specifications provided for by this section shall specify by number each sheet of plans showing work to be done by the subcontractor under this section, and shall require the subcontractor to install all materials to be furnished under this section

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other than materials which, in the opinion of the awarding authority, it is not customary under current trade practices for such subcontractor to install and the installation of which is expressly required by another section of the specifications. Each class of work set forth in a separate section of the specifications pursuant to this section shall be a sub trade designated in the general bid form and shall be the matter of a subcontract made in accordance with the procedure set forth in the uniform procurement code.

(c) Whenever the awarding authority has designated a separate section for a class of work, under subsection (a) of this section, the general contractor shall, when applicable, state as part of its application for partial payment that it considers the work required to be done under any such separate section to be fully completed in accordance with the terms of the contract. The awarding authority shall thereupon conduct an inspection of the work in such class, and if it finds that such work has been fully completed in accordance with the terms of the contract, it shall issue a statement certifying that such work is accepted as fully completed, and shall pay the general contractor in full for such work.

Sec. 71. (NEW) (Effective July 1, 2008) (a) As used in this section: (1) "Prequalification" means prequalification issued by the Commissioner of Administrative Services to bid on a contract or perform work pursuant to a contract for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building by the state or a municipality or to perform work under such a contract as a substantial subcontractor; (2) "subcontractor" means a person who performs work with a value in excess of twenty-five thousand dollars for a contractor pursuant to a contract for work for the state or a municipality which is estimated to cost more than five hundred thousand dollars; (3) "principals and key personnel" includes officers, directors, shareholders, members, partners and managerial employees; (4) "aggregate work capacity rating" means the maximum amount of work an applicant is capable of undertaking for any and all projects;

- 3693 (5) "single project limit" means the highest estimated cost of a single 3694 project that an applicant is capable of undertaking; (6) "contract" 3695 means an agreement for work for the state or a municipality that is 3696 estimated to cost more than five hundred thousand dollars and is 3697 funded, in whole or in part, by state funds; (7) "public building" means 3698 a structure, paid for in whole or in part with state funds, with a roof 3699 and exterior walls or fire walls and includes, but is not limited to, 3700 sewage treatment plants, water treatment plants, sewer or drainage 3701 systems, pump houses and other utility systems, and (8) "substantial 3702 subcontractor" means a person who performs work with a value in 3703 excess of five hundred thousand dollars for a contractor pursuant to a 3704 contract for work for the state or a municipality which is estimated to 3705 cost more than five hundred thousand dollars.
- (b) (1) Any person may apply for prequalification to the Department of Administrative Services. Such application shall be made on such form as the Commissioner of Administrative Services prescribes and shall be accompanied by a nonrefundable application fee as set forth in subdivision (2) of this subsection. The application shall be signed under penalty of false statement.
- 3712 (2) The application fee shall be as follows:
- 3713 Aggregate Work Capacity Rating Fee
- 3714 \$ 5,000,000. 00 or less \$ 600. 00
- 3715 \$ 5,000,000. 01 \$ 8,000,000. 00 \$ 750. 00
- 3716 \$ 8,000,000. 01 \$ 10,000,000. 00 \$ 850. 00
- \$10,000,000. 01 \$15,000,000. 00 \$1,000. 00
- 3718 \$ 15,000,000. 01 \$ 20,000,000. 00 \$ 1,500. 00
- 3719 \$ 20,000,000. 01 \$ 40,000,000.00 \$ 2,000.00
- 3720 \$ 40,000,000.01 or more \$ 2,500.00

- 3721 (c) The application form shall, at a minimum, require the applicant to supply information concerning:
- 3723 (1) The applicant's form of organization;
- 3724 (2) The applicant's principals and key personnel and any names 3725 under which the applicant, principals or key personnel conducted 3726 business during the past five years;
- 3727 (3) Any legal or administrative proceedings pending or concluded 3728 adversely against the applicant or any of the applicant's principals or 3729 key personnel within the past five years which relate to the 3730 procurement or performance of any public or private construction 3731 contract and whether the applicant is aware of any investigation 3732 pending against the applicant or any principal or key personnel;
 - (4) The nature of any financial, personal or familial relationship between the applicant and any public or private construction project owner listed on the application as constituting construction experience;
 - (5) A statement of whether (A) the applicant has been disqualified pursuant to section 4b-95 of the general statutes, this section or the uniform procurement code, (B) the applicant is on the list distributed by the Labor Commissioner pursuant to the uniform procurement code, (C) the applicant is disqualified or prohibited from being awarded a contract pursuant to the uniform procurement code, (D) the applicant has been disqualified by another state, (E) the applicant has been disqualified by a federal agency or pursuant to federal law, (F) the applicant's registration has been suspended or revoked by the Department of Consumer Protection pursuant to section 20-341gg of the general statutes, (G) the applicant has been disqualified by a municipality, and (H) the matters that gave rise to any such disqualification, suspension or revocation have been eliminated or remedied; and
 - (6) Other information as the commissioner deems relevant to the determination of the applicant's qualifications and responsibilities.

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- (d) The applicant shall include a statement of financial condition prepared by a certified public accountant which includes information concerning the applicant's assets and liabilities, plant and equipment, bank and credit references, bonding company and maximum bonding capacity, and other information as the commissioner deems relevant to an evaluation of the applicant's financial capacity and responsibility.
- (e) Information contained in the application shall be current as of the time of filing except that the statement of financial condition shall pertain to the applicant's most recently-completed fiscal year.
- (f) The commissioner shall determine whether to prequalify an applicant on the basis of the application and on relevant past performance according to procedures and criteria set forth in regulations which the commissioner shall adopt, in accordance with chapter 54 of the general statutes. Such criteria shall include, at a minimum, the record of the applicant's performance, including, but not limited to, written evaluations of the applicant's performance on public or private projects the applicant's past experience on projects of various size and type, the skill, ability and integrity of the applicant and any subcontractors used by the applicant, the experience and qualifications of supervisory personnel employed by the applicant, the maximum amount of work the applicant is capable of undertaking as demonstrated by the applicant's financial condition, bonding capacity, size of past projects and present and anticipated work commitments, and any other relevant criteria that the commissioner prescribes. Such regulations shall also (1) provide that the criteria considered shall be assigned separate designated numerical values and weights and that the applicant shall be assigned an overall numerical rating on the basis of all criteria, and (2) establish prequalification classifications, aggregate work capacity ratings and single project limits. Such prequalification classifications shall be used to establish the types of work a contractor or substantial subcontractor is qualified to perform and the aggregate work capacity ratings shall be used to establish the maximum amount of work a contractor or substantial subcontractor is

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- (g) (1) The applicant shall indicate the prequalification classifications, aggregate work capacity ratings and single project limits that are sought. The commissioner may issue a certificate of prequalification to any applicant who meets the requirements of this section. Such certificate shall be effective for one year from the date issued and shall indicate the contractor's or substantial subcontractor's prequalification classifications, aggregate work capacity ratings and single project limits. The commissioner may cause the initial certificate of prequalification to be effective for a period not to exceed two years and may require the applicant to remit payment of the application fee, as set forth in subsection (b) of this section, for the first twelve months of certification as well as a prorated application fee, as described in subdivision (3) of this subsection, for any additional period of certification beyond the first twelve months.
 - (2) A prequalified contractor or substantial subcontractor may apply at any time for additional prequalification classifications, aggregate work capacity ratings or single project limits by submitting the applicable increase in fee, a completed update statement and other information the commissioner requires.
 - (3) The commissioner may renew a prequalification certificate upon receipt of a completed update statement, any other material the commissioner requires and a nonrefundable fee in an amount not less than one-half of the application fee for the applicable aggregate work capacity rating as set forth in subsection (b) of this section.
 - (h) Not later than sixty days after receiving a completed application, the commissioner shall mail or send by electronic mail a notice to the applicant concerning the commissioner's preliminary determination regarding the conditions of the prequalification certification, a denial of certification, a reduction in the level of certification sought or nonrenewal of certification. Any applicant aggrieved by the commissioner's preliminary determination may request copies of the

information upon which the commissioner relied in making the preliminary determination, provided such request is made not later than ten days after the date the notice was mailed or sent by electronic mail to the applicant. Not later than twenty days after the date the notice was mailed or sent by electronic mail, the applicant may submit additional information to the commissioner with a request for reconsideration. The commissioner shall issue a final determination regarding the application not later than ninety days after the date the commissioner mailed or sent by electronic mail the notice of the preliminary determination, which ninety-day period may be extended for an additional period not to exceed ninety days if (1) the commissioner gives written notice to the applicant that the commissioner requires additional time, and (2) such notice is mailed or sent by electronic mail during the initial ninety-day period.

- (i) The commissioner may not issue or renew a prequalification certificate to any contractor or substantial subcontractor who (1) is disqualified pursuant to the uniform procurement code, or (2) has a principal or key personnel who, within the past five years, has a conviction or has entered a plea of guilty or nolo contendere for or has admitted to commission of an act or omission that reasonably could have resulted in disqualification pursuant to any provision of the uniform procurement code, as determined by the commissioner.
- (j) The commissioner may revoke a contractor's or substantial subcontractor's prequalification or reduce the contractor's or substantial subcontractor's prequalification classification or aggregate work capacity ratings, after an opportunity for a hearing, if the commissioner receives additional information that supports such revocation or reduction or if such contractor is suspended from bidding on a state contract pursuant to the uniform procurement code. Prior to the initiation of such hearing or during the course of such hearing, the commissioner may suspend a contractor's prequalification certificate if the commissioner determines that there is probable cause to believe that such contractor engaged in conduct that significantly

undermines the skill, ability or integrity of such contractor. Any such suspension shall not exceed a period of three months and shall be accompanied by a written decision of the commissioner that sets forth the reasons for and duration of such suspension. The commissioner shall send notification of any such suspension to such contractor by certified mail, return receipt requested.

- (k) (1) Any substantial evidence indicating fraud in obtaining or maintaining prequalification or any materially false statement in the application or any update statement or any update bid statement may, in the discretion of the awarding authority, result in termination of any contract awarded the applicant by the awarding authority. The awarding authority shall provide written notice to the commissioner of such false statement not later than thirty days after discovering such false statement. The commissioner shall provide written notice of such false statement to the Commissioner of Public Works, the Commissioner of Consumer Protection and the chair of the construction management oversight committee of The University of Connecticut not later than thirty days after discovering such false statement or receiving such notice.
- (2) The commissioner shall deny or revoke the prequalification of any person if the commissioner finds that the person has included any materially false statement in such application, update statement, or update bid statement has been convicted of a crime related to the procurement or performance of any public or private construction contract has been disqualified by the State Contracting Standards Board from bidding on state contracts pursuant to the uniform procurement code or, within the past five years, has otherwise engaged in fraud in obtaining or maintaining prequalification. Any revocation made pursuant to this subsection shall be made only after an opportunity for a hearing. Any person whose prequalification has been revoked pursuant to this subsection shall be disqualified for a period of two years after which the person may reapply for prequalification, except that a person whose prequalification has been

3883 revoked on the basis of conviction of a crime or engaging in fraud shall 3884 be disqualified for a period of five years after which the person may 3885 reapply for prequalification and a person whose prequalification has 3886 been revoked on the basis of disqualification by the State Contracting 3887 Standards Board shall be disqualified for the same length of time as the 3888 disqualification period imposed by the State Contracting Standards 3889 Board pursuant to the uniform procurement code. The commissioner 3890 shall not prequalify a person whose prequalification has been revoked 3891 pursuant to this subdivision until the expiration of said suspension or 3892 other applicable disqualification period and the commissioner is 3893 satisfied that the matters that gave rise to the revocation have been 3894 eliminated or remedied.

- (l) The commissioner shall provide written notice of any revocation, disqualification, reduction in classification or capacity rating or reinstated prequalification to the Commissioner of Public Works, the Commissioner of Consumer Protection and the chair of the construction management oversight committee of The University of Connecticut not later than thirty days after any final determination.
- (m) The provisions of this section shall not apply to subcontractors.
- (n) The commissioner shall establish an update statement for use by bidders and substantial subcontractors for purposes of renewing or upgrading a prequalification certificate and an update bid statement for purposes of submitting a bid.
- (o) Any applicant aggrieved by the commissioner's final determination concerning a preliminary determination, a denial of certification, a reduction in prequalification classification or aggregate work capacity rating or a revocation or nonrenewal of certification may appeal to the Superior Court in accordance with section 4-183 of the general statutes.
- 3912 (p) Not later than one hundred twenty days after becoming 3913 prequalified, any contractor or substantial subcontractor prequalified

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- under the provisions of this section shall participate in an ethics training course approved by the State Contracting Standards Board.
- 3916 (q) The commissioner shall, with the approval of the State 3917 Contracting Standards Board, adopt regulations, in accordance with 3918 chapter 54 of the general statutes, to establish a schedule of application 3919 fees for substantial subcontractors.
- Sec. 72. (NEW) (*Effective July 1, 2008*) (a) The Commissioner of Administrative Services shall, in consultation with the State Contracting Standards Board, adopt regulations, in accordance with chapter 54 of the general statutes, to establish a standard contractor evaluation form. Such form shall include, at a minimum, the following evaluation criteria:
- 3926 (1) Timeliness of performance;
- 3927 (2) Quality of performance;
- 3928 (3) Cost containment, including, but not limited to, the contractor's ability to work within the contract's allotted cost, the accuracy of the contractor's billing, and the number and cause of change orders and the manner in which the contractor determined the price on the change orders;
- 3933 (4) Safety;
- 3934 (5) The quality of the contractor's working relationship with the agency and the quality of the contractor's supervision of the work area;
- 3936 (6) Communication with the agency;
- 3937 (7) The quality of the contractor's required documentation;
- 3938 (8) The performance of the contractor's subcontractors and 3939 substantial subcontractors, to the extent known by the official who 3940 completes the evaluation; and

- (9) The contractor's and any subcontractor's compliance with part III of chapter 557 of the general statutes, or chapter 558 of the general statutes, or the provisions of the federal Davis-Bacon Act, 40 USC, Sections 276a to 276a-5, inclusive, as from time to time amended, to the extent known by the official who completes the evaluation.
- (b) Each state contracting agency shall compile evaluation information during the performance of the contract and complete and submit the evaluation form to the commissioner after completion of a building project under the agency's control if the building project is funded, in whole or in part, by state funds. Such evaluation information shall be filed with the Commissioner of Administrative Services and the State Contracting Standards Board and made available to any state contracting agency for purposes of assessing the responsibility of the contractor during a bid selection and evaluation process. The Agency Procurement Officer shall certify that the information contained in the evaluation form represents, to the best of the certifying officer's knowledge, a true and accurate analysis of the contractor's performance record on the contract. The commissioner shall include the evaluation in the contractor's pregualification file. The official shall mail a copy of the completed evaluation form to the contractor. Any contractor who wishes to contest any information contained in the evaluation form may submit a written response to the commissioner not later than thirty days after the date the form was mailed as indicated by the postmark on the envelope. Such response shall set forth any additional information concerning the building project or the oversight of the contract by the state contracting agency that may be relevant in the evaluation of the contractor's performance on the project. The commissioner shall include any such response in the contractor's prequalification file.
- (c) As used in this section, "state contracting agency" means a state contracting agency, as defined in the uniform procurement code, but does not include The University of Connecticut with respect to any project, as defined in subdivision (16) of section 10a-109c of the general

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- statutes, that is undertaken and controlled by the university, "contract" means an agreement for work for the state or a municipality that is estimated to cost more than five hundred thousand dollars and is funded in whole or in part by state funds, and "subcontractor" means a person who performs work with a value in excess of twenty-five thousand dollars for a contractor pursuant to a contract, and "substantial subcontractor" means a substantial contractor, as defined in the uniform procurement code.
 - (d) Upon fifty per cent completion of any building project under a state contracting agency's control, the agency shall advise the contractor in writing of the agency's preliminary evaluation of the contractor's performance on the project.
 - (e) No state contracting agency, employee of a state contracting agency or an Agency Procurement Officer shall be held liable to any contractor for any loss or injury sustained by such contractor as the result of the completion of an evaluation form, as required by this section, unless such agency, employee or official is found by a court of competent jurisdiction to have acted in a wilful, wanton or reckless manner.
 - (f) Any state contracting agency that fails to submit a completed evaluation form to the commissioner and the State Contracting Standards Board, as required by this section, not later than seventy days after the completion of a project, shall be ineligible for the receipt of any public funds disbursed by the state for the purposes of the construction, reconstruction, alteration, remodeling, repair or demolition of any public building or any public works project until such completed evaluation form is submitted.
 - (g) Notwithstanding the provisions of subsection (a) of this section, any political subdivision of the state, when evaluating the performance of a contractor's subcontractors or substantial subcontractors, to the extent known, may rely on an evaluation of such subcontractors or substantial subcontractors that is conducted by the contractor.

- Sec. 73. (NEW) (*Effective July 1, 2008*) The commissioner of the state contracting agency may request factual information reasonably available to the bidder or proposer to substantiate that the price or cost offered, or some portion of it, is reasonable, if:
- 4010 (1) The price is not:
- 4011 (A) Based on adequate price competition;
- 4012 (B) Based on established catalogue or market prices; or
- 4013 (C) Set by law or regulation; and
- 4014 (2) The price or cost exceeds an amount established by regulation.
- Sec. 74. (NEW) (Effective July 1, 2008) The Commissioner of
- 4016 Administrative Services shall adopt regulations, in accordance with the
- 4017 provisions of chapter 54 of the general statutes, in consultation with
- 4018 the State Contracting Standards Board, establishing standards for the
- 4019 preparation, maintenance, and content of specifications for supplies,
- 4020 services, and construction required by the state.
- Sec. 75. (NEW) (Effective July 1, 2008) The State Contracting
- 4022 Standards Board shall monitor the use of specifications for supplies,
- 4023 services and construction required by each state contracting agency.
- 4024 Sec. 76. (NEW) (Effective July 1, 2008) The Department of
- 4025 Administrative Services shall obtain expert advice and assistance from
- 4026 personnel of state contracting agencies in the development of
- 4027 specifications and may delegate, in writing, to a state contracting
- agency the authority to prepare and utilize its own specifications.
- Sec. 77. (NEW) (Effective July 1, 2008) All specifications shall seek to
- 4030 promote overall economy for the purposes intended and encourage
- 4031 competition in satisfying the state's needs, and shall not be unduly
- 4032 restrictive.
- Sec. 78. (NEW) (Effective July 1, 2008) The requirements of the

- uniform procurement code regarding the purposes and nonrestrictiveness of specifications shall apply to all specifications prepared other than by state personnel, including, but not limited to, those prepared by architects, engineers and designers.
- 4038 Sec. 79. (NEW) (Effective July 1, 2008) (a) Subject to the limitations of 4039 this section, any type of contract that will promote the best interests of 4040 the state may be used, provided the use of a cost-plus-a-percentage-of-4041 cost contract shall be prohibited. A cost-reimbursement contract may 4042 be used only when a determination is made in writing that such 4043 contract is likely to be less costly to the state than any other type or 4044 that it is impracticable to obtain the supplies, services or construction 4045 required except under such a contract.
 - (b) Each bid or proposal, with the name of the bidder, or proposer, shall be entered on a record, and each record, with the successful bid or proposal indicated thereon, shall, after the award of the order or contract, be open to public inspection.
 - (c) All contracts shall be approved as to form by the Attorney General and a copy of each contract shall be filed with the Comptroller and the State Contracting Standards Board.
- Sec. 80. (NEW) (*Effective July 1, 2008*) The State Contracting Standards Board shall adopt regulations, in accordance with chapter 54 of the general statutes, requiring that contractors submit appropriate documentation prior to the award of contracts in which the state agrees to reimburse costs, confirming that:
- 4058 (1) The proposed contractor's accounting system will permit timely 4059 development of all necessary cost data in the form required by the 4060 specific contract type contemplated; and
- 4061 (2) The proposed contractor's accounting system is adequate to 4062 allocate costs in accordance with generally accepted accounting 4063 principles.

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- 4064 Sec. 81. (NEW) (Effective July 1, 2008) (a) Unless otherwise provided 4065 by law, a contract for supplies or services may be entered into for any 4066 period of time deemed to be in the best interests of the state provided 4067 the term of the contract and conditions of renewal or extension, if any, 4068 are included in the solicitation and funds are available for the first 4069 fiscal period at the time of contracting. Payment and performance 4070 obligations for succeeding fiscal periods shall be subject to the 4071 availability and appropriation of funds therefor.
- 4072 (b) A multiyear contract is authorized where:
- 4073 (1) Estimated requirements cover the period of the contract and are firm and continuing; and
 - (2) Such a contract will serve the best interests of the state by encouraging effective competition or otherwise promoting economies in procurement.
- (c) When funds are not appropriated or otherwise made available to support continuation of performance in a subsequent fiscal period, the contract shall be cancelled and the contractor shall be reimbursed for the reasonable value of any non-recurring costs incurred but not amortized in the price of the supplies or services delivered under the contract. The cost of cancellation may be paid from any appropriations available for such purposes.
 - Sec. 82. (NEW) (*Effective July 1, 2008*) A state contracting agency may, at reasonable times, inspect the part of the plant or place of business of a contractor or any subcontractor that is related to the performance of any contract awarded or to be awarded by the state.
 - Sec. 83. (NEW) (*Effective July 1, 2008*) (a) A state contracting agency may, at reasonable times and places, audit the books and records of any person who has submitted data in substantiation of offered prices to the extent that such books and records relate to that data. Any person who receives a contract, change order, or contract modification for which such data is required, shall maintain such books and records

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- that relate to such cost or pricing data for three years from the expiration of the contract, unless a shorter period is otherwise authorized in writing.
- 4098 (b) A state contracting agency shall be entitled to audit the books 4099 and records of a contractor or any subcontractor under any negotiated 4100 contract or subcontract to the extent that such books and records relate 4101 to the performance of such contract or subcontract. Such books and 4102 records shall be maintained by the contractor for a period of three 4103 years from the date of final payment under the prime contract and by 4104 the subcontractor for a period of three years from the expiration of the 4105 subcontract, unless a shorter period is otherwise authorized in writing.
- Sec. 84. (NEW) (*Effective July 1, 2008*) When for any reason collusion or other anticompetitive practices are suspected among any bidders or proposers, a notice of the relevant facts shall be transmitted to the Attorney General.
- Sec. 85. (NEW) (*Effective July 1, 2008*) All procurement records shall be retained and disposed of in accordance with records retention guidelines and schedules approved by the Public Records Administrator.
- Sec. 86. (NEW) (*Effective July 1, 2008*) The Agency Procurement Officer shall maintain a record listing all contracts made under the uniform procurement code for a minimum of five years. The record shall contain:
- 4118 (1) Each contractor's name;
- 4119 (2) The amount and type of each contract; and
- 4120 (3) A listing of the supplies, services, or construction procured 4121 under each contract.
- Sec. 87. (NEW) (*Effective July 1, 2008*) The department head of each state contracting agency shall submit to the State Contracting

- 4124 Standards Board, the joint standing committee of the General
- 4125 Assembly having cognizance of matters relating to government
- 4126 administration, the State Auditors and the Comptroller, an annual
- 4127 report of all awards made pursuant to the provisions of the uniform
- 4128 procurement code.
- Sec. 88. (NEW) (Effective from passage) (a) (1) Whenever a state
- 4130 contracting agency seeks to find the best value for the citizens of the
- state, the state contracting agency shall evaluate whether delivering
- 4132 services or activities effectively and efficiently is best done using
- 4133 internal state resources or contracted resources with a person or entity
- 4134 not a part of state service. Such an evaluation should be done using
- both quantitative and qualitative analysis through the development of
- a business case. Whenever it appears that a person or entity not a part
- 4137 of state service can more effectively and efficiently provide services
- 4138 that are currently being provided by state employees the state
- 4139 contracting agency shall first develop a business case to evaluate
- 4140 feasibility, cost-effectiveness and efficiency.
- 4141 (2) Upon the completion of such business case in accordance with
- subsection (c) of this section, the state contracting agency shall submit
- such proposal to the State Contracting Standards Board.
- 4144 (3) This section shall not apply to a procurement of contractual
- services that are obtained with federal funds.
- 4146 (b) (1) Upon receipt of the business case the State Contracting
- 4147 Standards Board shall immediately refer the proposal to the
- 4148 privatization contract committee of the board.
- 4149 (2) There shall be a privatization contract committee of the State
- 4150 Contracting Standards Board that shall review, evaluate, issue
- 4151 advisory reports and make recommendations on business cases
- submitted to the State Contracting Standards Board for its approval.
- 4153 The privatization contract committee shall consist of five members of
- 4154 the State Contracting Standards Board who represent both the majority

- and minority parties and who are appointed by the chairperson. The
- 4156 chairperson of the board shall serve as the chairperson of the
- 4157 privatization contract committee.
- 4158 (3) The privatization contract committee shall employ a standard
- 4159 process for reviewing, evaluating and recommending business cases
- 4160 for privatization proposals requested by the proposing state
- 4161 contracting agency.
- 4162 (4) Each state contracting agency shall submit to the State
- 4163 Contracting Standards Board all information, documents, or other
- 4164 materials required by the board, the privatization contract committee
- 4165 or this chapter.
- 4166 (c) (1) For any proposed privatization contract, the state contracting
- agency shall develop a business case that includes, but is not limited
- 4168 to:
- 4169 (A) A detailed description of the service or activity for which the
- 4170 privatization contract is proposed;
- 4171 (B) A description and analysis of the state agency's current
- 4172 performance of the service or activity;
- 4173 (C) The goals desired to be achieved through the proposed
- 4174 privatization and the rationale for such goals;
- 4175 (D) A description of available options for achieving the goals;
- 4176 (E) An analysis of the advantages and disadvantages of each option,
- 4177 including, at a minimum, potential performance improvements and
- 4178 risks;
- 4179 (F) A description of the current market for the contractual services
- 4180 that are under consideration for privatization;
- 4181 (G) A cost-benefit analysis documenting the direct and indirect
- 4182 specific costs, savings, and qualitative and quantitative benefits

4183 involved in or resulting from the implementation of the recommended 4184 option or options. Such analysis shall specify the schedule that, at a 4185 minimum, shall be adhered to in order to achieve the estimated 4186 savings. All elements of cost shall be clearly identified in the cost-4187 benefit analysis, described in the business case, and supported by 4188 applicable records and reports. The state agency head shall attest that, 4189 based on the data and information underlying the business case, all 4190 projected costs, savings, and benefits are valid and achievable. As used 4191 in this section, the term "cost," means the reasonable, relevant, and 4192 verifiable cost, which may include, but is not limited to, elements such 4193 as salary, fringe benefits, materials and supplies, services, equipment, 4194 capital depreciation, rent, maintenance and repairs, utilities, insurance, 4195 personnel travel, overhead, and interim and final payments. The 4196 appropriate elements shall depend on the nature of the specific 4197 initiative. As used in this section, the term "savings" means the 4198 difference between the direct and indirect actual annual baseline costs 4199 compared to the projected annual cost for the contracted functions or 4200 responsibilities in any succeeding state fiscal year during the term of 4201 the proposed contract;

- (H) A description of the specific performance standards that shall, at a minimum, be met to ensure adequate performance by any party performing the service or activity;
- 4205 (I) The projected timeframe for key events from the beginning of the 4206 procurement process through the expiration of a contract;
 - (J) A specific and feasible contingency plan addressing contractor nonperformance and a description of the tasks involved in and costs required for its implementation; and
 - (K) A transition plan for addressing changes in the number of agency personnel, affected business processes, employee transition issues, and communication with affected stakeholders, such as agency clients and the public. The transition plan shall contain a reemployment and retraining assistance plan for employees who are

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- 4215 not retained by the state or employed by the contractor.
- 4216 (2) The Department of Administrative Services, in consultation with 4217 the board, shall:
- 4218 (A) Recommend and implement standards and processes for state 4219 agencies to develop business cases to privatize, including templates for 4220 use by state agencies in submitting business cases to the privatization 4221 contract committee, policies and procedures to guide agencies to 4222 complete business case for privatization contracts, and any other 4223 assistance necessary as determined by the Commissioner of 4224 Administrative Services;
- 4225 (B) Recommend incorporation of any lessons learned from 4226 privatization contracting functions, services, and activities into 4227 business case standards, procedures, and guidelines, and as 4228 appropriate, regarding best practices in privatization contracting 4229 efforts; and
- 4230 (C) Develop guidelines and procedures for assisting state employees whose jobs are eliminated as a result of a privatization contract.
 - (3) The Office of Policy and Management, in consultation with the board shall develop policies and procedures, including templates for use by state agencies in submitting business cases to the privatization contract committee, to assist agencies in developing a cost benefit analysis for a business case and shall review with each agency the budgetary impact of such a contract and any need to request budget adjustments.
 - (d) (1) To privatize a service or activity that has a projected cost exceeding one million dollars over the life of the contract, the state contracting agency shall conduct a complete business case analysis prior to publishing any notice soliciting bids for such privatization contract. Such business case shall be submitted to the Governor, the president pro tempore of the Senate, the speaker of the House of Representatives, the State Contracting Standards Board and any

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- collective bargaining unit affected by the proposal. The privatization contract committee shall evaluate the business case and submit its evaluation to the State Contracting Standards Board for review and approval.
 - (2) The State Contracting Standards Board shall, not later than sixty days after the receipt of the business case, provide to the agency conducting the procurement, the Governor, the president pro tempore of the Senate, and the speaker of the House of Representatives and any collective bargaining unit affected by the proposal a report detailing its review, evaluation and disposition regarding such business case. The report shall contain the business case, the evaluation of the business case by the privatization contract committee, reasons for approval or disapproval, any relevant recommendations, and information to assist the state contracting agency proposing to privatize in determining if additional steps are necessary to move forward with the publication of a notice to solicit bids for a privatization contract.
- 4263 (3) Only after the board approves the business case may the 4264 requesting state contacting agency publish any notice soliciting bids 4265 for a privatization contract.
 - (4) Each state contracting agency shall notify, in writing, the State Contracting Standards Board, of any changes to a board approved business case, as a proposed amendment to the business case, for review and approval by the board. The board may approve or disapprove of any such proposed amendment to a business case within thirty days of the receipt of such proposed amendment.
 - (e) (1) The privatization contract requirements, as defined in subsection (d) of this section, shall apply to quasi-public agencies, constituent units of higher education and the judicial and legislative branches of state government in accordance with the implementation dates contained in the uniform procurement code.

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- 4277 (2) The board shall conduct a study of the privatization policies and 4278 practices of quasi-public agencies and the constituent units of higher 4279 education by January 1, 2008.
 - (f) Notwithstanding the provisions of this section, a state contracting agency may enter into a privatization contract without prior completion or submission of a business case in accordance with subsection (c) and without prior approval of the State Contracting Standards Board if (1) the agency finds that a privatization contract is required (A) due to an imminent peril to the public health, safety or welfare, and (B) the agency states in writing its reasons for such finding, and (2) the Governor approves such finding in writing.
 - Sec. 89. (NEW) (*Effective July 1, 2008*) The State Contracting Standards Board may, in its discretion, establish, by regulation adopted in accordance with the provisions of chapter 54 of the general statutes, a procedure for resolving protested solicitations or awards.
 - Sec. 90. (NEW) (Effective July 1, 2008) (a) After reasonable notice, a hearing and consultation with the relevant state contracting agency and the Attorney General, the State Contracting Standards Board, acting through a subcommittee of three members appointed by the chairperson, may disqualify any contractor, bidder or proposer, for a period of not more than five years, from bidding on, applying for, or participating as a contractor or subcontractor under, contracts with the state. Such disqualification shall be upon the vote of two-thirds of the members of the board subcommittee present and voting for that purpose. Such hearing shall be conducted in accordance with chapter 54 of the general statutes. The board subcommittee shall issue a written decision not later than ninety days after the conclusion of such hearing and state in the decision the reasons for the action taken and, if the contractor, bidder or proposer is being disqualified, the period of such disqualification. The existence of a cause for disqualification, as described in subsection (b) of this section, may not be the sole factor to be considered by the board subcommittee in determining whether the contractor, bidder or proposer shall be disqualified. In determining

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- whether to disqualify a contractor, bidder or proposer, the board shall consider the seriousness of the acts or omissions of the contractor, bidder or proposer and any mitigating factors. The board subcommittee shall send the decision to the contractor, bidder or proposer by certified mail, return receipt requested. The written decision shall be a final decision for purposes of sections 4-180 and 4-183 of the general statutes.
- (b) Causes for such disqualification shall include the following:
 - (1) Conviction of, or entry of a plea of guilty or nolo contendere or admission to, the commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of such contract or subcontract;
 - (2) Conviction of, or entry of a plea of guilty or nolo contendere or admission to, the violation of any state or federal law for embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property or any other offense indicating a lack of business integrity or business honesty which affects responsibility as a state contractor, bidder or proposer;
- 4328 (3) Conviction of, or entry of a plea of guilty or nolo contendere or 4329 admission to, a violation of any state or federal antitrust, collusion or 4330 conspiracy law arising out of the submission of bids or proposals on a 4331 public or private contract or subcontract;
- 4332 (4) Accumulation of two or more suspensions pursuant to the uniform procurement code within a twenty-four-month period;
- 4334 (5) A wilful, negligent or reckless failure to perform in accordance 4335 with the terms of one or more contracts or subcontracts, agreements or 4336 transactions with state contracting agencies;
 - (6) A history of failure to perform or of unsatisfactory performance on one or more public contracts, agreements or transactions with state contracting agencies;

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- 4340 (7) A wilful violation of a statutory or regulatory provision or 4341 requirement applicable to a contract, agreement of transaction with 4342 state contracting agencies;
- 4343 (8) A wilful or egregious violation of the ethical standards set forth 4344 in the uniform procurement code; or
- 4345 (9) Any other cause or conduct the board determines to be so 4346 serious and compelling as to affect responsibility as a state contractor, 4347 bidder or proposer including, but not limited to:
 - (A) Disqualification by another state for cause;
 - (B) The fraudulent, criminal or seriously improper conduct of any officer, director, shareholder, partner, employee or other individual associated with a contractor, bidder or proposer of such contractor, bidder or proposer, provided such conduct occurred in connection with the individual's performance of duties for or on behalf of such contractor, bidder or proposer and such contractor, bidder or proposer knew or had reason to know of such conduct. The term "other seriously improper conduct" shall not include advice from an attorney, accountant or other paid consultant if it was reasonable for the contractor to rely on such advice;
 - (C) The fraudulent, criminal or other seriously improper conduct of a contractor may be imputed to any officer, director, shareholder, partner, employee or other individual associated with the contractor, bidder or proposer who participated in, knew of or had reason to know of the conduct of the contractor, bidder or proposer;
 - (D) The fraudulent, criminal or other seriously improper conduct of one contractor, bidder or proposer participating in a joint venture or similar arrangement may be imputed to other participating contractors, bidders or proposers if the conduct occurred for or on behalf of the joint venture or similar arrangement and these contractors, bidders or proposers knew of or had reason to know of such conduct; and

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- 4371 (E) The existence of an informal or formal business relationship with 4372 a contractor who has been disqualified from bidding or proposing on 4373 state contracts.
- (c) Upon written request by the affected state contractor, bidder or proposer, the State Contracting Standards Board may reduce the period or extent of disqualification for a contractor, bidder or proposer if documentation supporting any of the following reasons for modification is provided to the board by the contractor, bidder or proposer:
- 4380 (1) Newly discovered material evidence;
- 4381 (2) Reversal of the conviction upon which the disqualification was 4382 based;
- 4383 (3) Bona fide change in ownership or management; or
- 4384 (4) Elimination of other causes for which the disqualification was imposed.
 - (d) Disqualification of a contractor, bidder or proposer is a serious action that shall be used only in the public interest and for the state government's protection and not for purposes of punishment or in lieu of other applicable enforcement or compliance procedures. The causes for and consequences of disqualification under this section shall be separate from and in addition to causes for and consequences of disqualification under other sections of the general statutes. The Commissioners of Administrative Services, Public Works and Transportation, the chief executive of each constituent unit of the state system of higher education and other heads of state contracting agencies shall conduct reviews of contractors and shall file reports pertaining to any of the reasons set forth in this section of this act that may be the basis for disqualification. Each of the foregoing may file complaints with the State Contracting Standards Board.
 - (e) The State Contracting Standards Board may grant an exception

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permitting a disqualified contractor to participate in a particular contract or subcontract upon a written determination by the head of the contract awarding agency that there is good cause, in the interest of the public, for such action.

Sec. 91. (NEW) (Effective July 1, 2008) (a) After reasonable notice and a hearing, conducted in accordance with the provisions of chapter 54 of the general statutes, the department head of any state contracting agency may suspend any contractor, bidder or proposer for a period of not more than six months from bidding on, applying for or performing work as a contractor or subcontractor under, contracts with the state. The department head shall issue a written decision not later than ninety days after the conclusion of such hearing and state in the decision the reasons for the action taken and, if the contractor, bidder or proposer is being suspended, the period of such suspension. The existence of a cause for suspension, as described in subsection (b) of this section, may not be the sole factor to be considered by the board subcommittee in determining whether the contractor, bidder or proposer shall be suspended. In determining whether to suspend a contractor, bidder or proposer, the department head shall consider the seriousness of the acts or omissions of the contractor, bidder or proposer and any mitigating factors. The department head shall send such decision to the contractor and the State Contracting Standards Board by certified mail, return receipt requested. Such decision shall be a final decision for purposes of sections 4-180 and 4-183 of the general statutes.

- (b) Causes for such suspension shall include the following:
- (1) Failure without good cause to perform in accordance with specifications or within the time limits provided in the contract;
 - (2) A record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more contracts, provided failure to perform or unsatisfactory performance caused by acts beyond the control of the contractor, bidder or proposer shall not be considered to

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- 434 (3) Any cause the complainant state contracting agency determines 4435 to be so serious and compelling as to affect the responsibility of a state 4436 contractor, bidder or proposer including suspension by another state 4437 contracting agency for cause; or
- 4438 (4) A violation of the ethical standards set forth in the uniform 4439 procurement code.
 - (c) The State Contracting Standards Board may grant an exception permitting a suspended contractor to participate in a particular contract or subcontract upon a written determination by the board that there is good cause for such exception and that such exception is in the best interest of the state.
- (d) The departments of each state contracting agency shall conduct reviews of contractors and shall file reports pertaining to any of the reasons set forth in this section of this act that may be the basis for disqualification.
 - Sec. 92. (NEW) (*Effective July 1, 2008*) (a) Any bidder or proposer on a state contract may contest the solicitation or award of a contract to a subcommittee of the State Contracting Standards Board, appointed by the chairperson. Such contest shall be submitted, in writing, not later than fourteen days after such bidder or proposer knew or should have known of the facts giving rise to such contest and shall be limited to the procedural elements of the solicitation or award process, or claims of an unauthorized or unwarranted, noncompetitive selection process.
 - (b) The assigned subcommittee of the State Contracting Standards Board, shall have the authority to settle and resolve any such contest.
 - (c) In the event such contest is not resolved by mutual agreement, the assigned subcommittee of the State Contracting Standards Board, shall issue a decision, in writing, not later than thirty days after receipt of any such contest. Such decision shall:

- 4463 (1) Describe the procedure used by such agency in soliciting and 4464 awarding such contract;
- 4465 (2) Indicate such agency's finding as to the merits of such bidder or 4466 proposers contest; and
- 4467 (3) Inform such bidder or proposer of the right to review, as 4468 provided under the uniform procurement code.
- (d) A copy of such decision shall be provided to such bidder or proposer.
- Sec. 93. (NEW) (*Effective July 1, 2008*) (a) Any contractor, bidder or proposer may appeal a decision issued by the board subcommittee, concerning the solicitation or award of a contract, to the full State Contracting Standards Board.
- 4475 (b) Any such request for review shall be filed with the board not 4476 later than fourteen days after such contractor's, bidder's or proposer's 4477 receipt of a decision issued pursuant to section 92 of this act. Such 4478 bidder or proposer shall set forth the facts supporting its claim in 4479 sufficient detail for the State Contracting Standards Board to determine 4480 whether the procedural elements of the solicitation or award failed to 4481 comply with the uniform procurement code or whether an 4482 unauthorized or unwarranted, noncompetitive selection process was 4483 utilized.
 - (c) Any appeal filed pursuant to subsection (b) of this section shall not be deemed to prohibit the award or execution of any such contested contract.
 - (d) The State Contracting Standards Board shall create a threemember appeals review subcommittee, not including any members of the subcommittee that first heard such contest, which shall review any request filed pursuant to subsection (b) of this section and decide whether such solicitation or award was in compliance with the code, and whether allegations of an unauthorized or unwarranted,

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- noncompetitive selection process have been demonstrated. A unanimous vote of such appeals review subcommittee shall be dispositive of any such appeal. A split vote of such appeals review subcommittee shall result in a review of the appeal by the full membership of the board which, by a vote of two-thirds of its members present and voting for such purpose, shall decide whether the solicitation or award of such contract was in compliance with the code and whether allegations of an unauthorized or unwarranted, noncompetitive selection process have been demonstrated.
 - (e) Such appeals review subcommittee shall issue a written decision or take other appropriate action on each appeal not later than ninety days after the filing of such appeal. A written copy of any such decision shall be provided to such bidder or proposer.
 - (f) In the event of an appeal review by the full board, the board shall issue a written decision or take other appropriate action on such appeal not later than ninety days after receipt of the appeal from the appeals review subcommittee. A written copy of any such decision shall be provided to such bidder or proposer.
 - (g) In the event that the appeals review subcommittee or the board determines that a procedural violation occurred, or that allegations of an unauthorized or unwarranted, noncompetitive selection process have been substantiated, the board shall direct the state contracting agency to take corrective action not later than thirty days after the date of the subcommittee's or board's decision, as applicable.
 - (h) In the event such appeal is found to be frivolous by the appeals review subcommittee or the full board, such frivolous appeal may serve as a basis for disqualification pursuant to the uniform procurement code.
- (i) Any three members of the board may request a full board review of any contract deliberation or award process of a state contracting agency.

- (j) A decision issued by the board or appeals review subcommittee under this section shall be final and not subject to appeal under sections 4-180 and 4-183 of the general statutes.
- Sec. 94. (NEW) (*Effective July 1, 2008*) Acting by one or more of its members, the State Contracting Standards Board shall issue a decision in writing or take other appropriate action on each appeal submitted pursuit to section 93 of this act. A copy of any board decision on such appeal shall be provided to all parties, the department head of the state contracting agency and the Chief Procurement Officer.
- Sec. 95. (NEW) (*Effective July 1, 2008*) The provisions of sections 96 to 98, inclusive, of this act shall apply when it is determined administratively, or upon administrative or judicial review, that a solicitation or award of a contract is in violation of law.
- Sec. 96. (NEW) (*Effective July 1, 2008*) If, prior to award, it is determined that a solicitation or proposed award of a contract is in violation of law, then the solicitation or proposed award shall be:
- 4540 (1) Cancelled; or
- 4541 (2) Revised to comply with the law.
- Sec. 97. (NEW) (*Effective July 1, 2008*) (a) If after an award it is determined that a solicitation or award of a contract is in violation of law, then:
- 4545 (1) If the person awarded the contract has not acted fraudulently or 4546 in bad faith:
- (A) The contract may be ratified and affirmed, provided it is determined that doing so is in the best interests of the state; or
- (B) The contract may be terminated and the person awarded the contract shall be compensated for the actual expenses reasonably incurred under the contract, plus a reasonable profit, prior to the

- termination.

 (2) If the person awarded the contract has acted fraudulently or in bad faith:
- 4556 (B) The contract may be ratified and affirmed if such action is in the 4557 best interests of the state, as determined by the State Contracting

(A) The contract may be declared null and void; or

- 4558 Standards Board, in writing, without prejudice to the state's rights to
- 4559 such damages as may be appropriate.

- Sec. 98. (NEW) (*Effective July 1, 2008*) Interest on amounts ultimately determined under section 96 or 97 of this act to be due to a contractor or the state shall be payable at the statutory rate applicable to judgments from the date the claim arose through the date of decision or judgment, whichever is later.
- Sec. 99. (NEW) (*Effective July 1, 2008*) (a) The following project delivery methods are authorized for procurements relating to infrastructure facilities and services in this state:
- 4568 (1) Design-bid-build, including construction management at-risk;
- 4569 (2) Operations and maintenance;
- 4570 (3) Design-build; and
- 4571 (4) Related methods, as may be set forth in the regulations of the award authority or state contracting agency.
- (b) Participation in a report or study that is subsequently used in the preparation of design requirements for a project shall not disqualify a firm from participating as a member of a proposing team in a design-build or related procurement unless such participation would provide the firm with a substantial competitive advantage, as determined by the state contracting agency.

- Sec. 100. (NEW) (*Effective July 1, 2008*) (a) The source selection methods applicable to procurements for the project delivery methods are as identified in section 99 of this act, except as provided in sections 59 through 102, 103 and 107 of this act.
- 4583 (b) Design-bid-build:

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- (1) The qualifications-based selection process set forth in the uniform procurement code shall be used to procure architectural and engineering services in design-bid-build procurements.
- (2) Competitive sealed bidding, as set forth in the uniform procurement code, shall be used to procure construction in design-bid-build procurements, except where regulations authorize the use of competitive sealed proposals, as set forth in the uniform procurement code, for contracts for construction management at-risk.
- (3) Contracts for operations and maintenance shall be procured as set forth in section 99 of this act.
- (4) Contracts for design-build and related methods shall be procured by competitive sealed proposals, as set forth in the uniform procurement code, except that regulations adopted by the board, in accordance with chapter 54 of the general statutes, may describe the circumstances under which particular design-build procurements will not require the submission of proposal development documents as required under the uniform procurement code.
- Sec. 101. (NEW) (*Effective July 1, 2008*) The Departments of Administrative Services, Public Works and Transportation shall adopt regulations, in accordance with chapter 54 of the general statutes, and in consultation with the State Contracting Standards Board, describing the project delivery methods listed in the uniform procurement code. Such regulations shall:
- 4607 (1) Set forth criteria to be used in determining which project delivery method is to be used for a particular project;

- 4609 (2) Grant to the head of the state contracting agency or designee, 4610 responsible for carrying out the project, the discretion to select an 4611 appropriate project delivery method for a particular project;
- 4612 (3) Describe the bond, insurance and other security provisions contained in the uniform procurement code that apply to each project;
- 4614 (4) Describe the appropriate contract clauses and fiscal 4615 responsibility requirements contained in this act that apply to each 4616 project; and
- (5) Require the procurement officer to execute and include in the contract file a written statement setting forth the facts which led to the selection of a particular project delivery method for each project.
- Sec. 102. (NEW) (*Effective July 1, 2008*) (a) In addition to any other requirements of the uniform procurement code, the procedures in this section shall apply to procurements for design-build or any related method.
 - (b) Each request for proposals for design-build or any related method: (1) Shall include design requirements; (2) shall solicit proposal development documents; and (3) may, when the state contracting agency determines that the cost of preparing proposals is high in view of the size, estimated price and complexity of the procurement: (A) Prequalify proposers by issuing a request for qualifications in advance of the request for proposals; and (B) select a short list of responsible proposers prior to discussions and evaluations under the uniform procurement code, provided that the number of proposals that will be short-listed is stated in the request for proposals and prompt public notice is given to all proposers as to which proposals have been short-listed; or (C) pay stipends to unsuccessful proposers, provided that the amount of such stipends and the terms under which stipends shall be paid are stated in the request for proposals.
 - (c) Each request for proposals for design-build or related methods authorized by regulation:

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- (1) Shall state the relative importance of (A) demonstrated compliance with the design requirements; (B) proposer qualifications; (C) financial capacity; (D) project schedule; (E) price or life-cycle price for design-build related methods procurements; and (F) other factors, if any; and
 - (2) Shall require each proposer, when the contract price is estimated to exceed ten million dollars or in circumstances established by regulation, to identify an independent peer reviewer whose competence and qualifications to provide such services shall be an additional evaluation factor in the award of the contract.
 - Sec. 103. (NEW) (*Effective July 1, 2008*) (a) The state shall publicly announce all requirements for architectural and engineering services and negotiate contracts for architectural and engineering services on the basis of demonstrated competence and qualification for the type of services required, and at fair and reasonable prices.
 - (b) In the procurement of architectural and engineering services, the Commissioner of Administrative Services shall encourage firms engaged in the lawful practice of their profession to submit annually a statement of qualifications and performance data. The Commissioner of Administrative Services or the commissioner's designee, the Agency Procurement Officer or the officer's designee and the project manager for the project in question shall comprise an architect-engineer selection committee for each architectural and engineering services contract with a value of fifty thousand dollars or more. The selection committee for architectural and engineering services contracts under such amount shall be established in accordance with regulations adopted in accordance with chapter 54 of the general statutes by the State Contracting Standards Board. The selection committee shall evaluate current statements of qualifications and performance data on file with the state, together with those that may be submitted by other firms regarding the proposed contract. The selection committee shall conduct discussions with no less than three firms regarding the contract and the relative utility of alternative methods of approach for

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furnishing the required services, and then shall select therefrom, in order of preference, based upon criteria established and published by the selection committee, no less than three of the firms deemed to be the most highly qualified to provide the services required.

(c) The department head of the state contracting agency or designee shall negotiate a contract with the highest qualified firm for architectural and engineering services at compensation which the agency head determines, in writing, to be fair and reasonable to the state. In making this decision, the agency head shall take into account the estimated value, the scope, the complexity, and the professional nature of the services to be rendered. Should the agency head be unable to negotiate a satisfactory contract with the firm considered to be the most qualified, at a price the agency head determines to be fair and reasonable to the state, negotiations with that firm shall be formally terminated. The agency head shall then undertake negotiations with the second most qualified firm. Failing accord with the second most qualified firm, the agency head shall formally terminate negotiations. The agency head shall then undertake negotiations with the third most qualified firm. Should the agency head be unable to negotiate a contract at a fair and reasonable price with any of the selected firms, the agency head shall select additional firms in order of their competence and qualifications, and the agency shall continue negotiations in accordance with this section until an agreement is reached.

Sec. 104. (NEW) (Effective July 1, 2008) (a) Bid security shall be required for all competitive sealed bidding for construction contracts in a design-bid-build procurement when the price is estimated by the state contracting agency to exceed an amount established by regulations pertaining to the agency. Bid security shall be a bond provided by a surety company authorized to do business in this state, or the equivalent in cash, or otherwise supplied in a form satisfactory to the state. Nothing in this section shall be construed to prevent the requirement of such bonds on such contracts under the amount set by

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- 4706 regulation when the circumstances warrant.
- (b) Bid security shall be in an amount equal to at least five per cent of the amount of the bid.
- (c) When the invitation for bids requires security, noncompliance requires that the bid be rejected unless, pursuant to regulations, it is determined that the bid fails to comply in a non-substantial manner with the security requirements.
- (d) After such bids are opened, they shall be irrevocable for the period specified in the invitation for bids. If a bidder is permitted to withdraw its bid before award, or is excluded from the competition before award, no action shall be had against the bidder or the bid security.
- Sec. 105. (NEW) (*Effective July 1, 2008*) (a) When a construction, design-build or related methods contract is awarded in excess of the amount, set forth in regulation, the following bonds or security shall be delivered to the state and shall become binding on the parties upon the execution of the contract:
 - (1) A performance bond satisfactory to the state, executed by a surety company authorized to do business in this state or otherwise secured in a manner satisfactory to the state, in an amount equal to one hundred per cent of the portion of the contract price that does not include the cost of operation, maintenance, and finance; and
 - (2) A payment bond satisfactory to the state, executed by a surety company authorized to do business in this state or otherwise secured in a manner satisfactory to the state, for the protection of all persons supplying labor and material to the contractor or its subcontractors for the performance of the construction work provided for in the contract. The bond shall be in an amount equal to one hundred per cent of the portion of the contract price that does not include the cost of operation, maintenance and finance.

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- (b) Regulations may authorize the State Contracting Standards
 Board to reduce the amount of performance and payment bonds to
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 section.
- (c) Nothing in this section shall be construed to limit the authority of the state to require a performance bond or other security in addition to such bonds, or in circumstances other than specified in subsection (a) of this section.
- Sec. 106. (NEW) (*Effective July 1, 2008*) (a) The Commissioner of Administrative Services shall adopt regulations, in accordance with chapter 54 of the general statutes, with the advice of the State Contracting Standards Board, concerning the form of the bonds required by the uniform procurement code.
- (b) Any person may request and obtain from the state a certified copy of a bond upon payment of the cost of reproduction of the bond and postage, if any. A certified copy of a bond shall be prima facie evidence of the contents, execution, and delivery of the original.
- 4753 Sec. 107. (NEW) (Effective July 1, 2008) The Commissioner of 4754 Administrative Services shall adopt regulations, in accordance with 4755 chapter 54 of the general statutes, with the advice of the state 4756 Contracting Standards Board, that specify when the state contracting 4757 agency shall require proposers to provide appropriate errors and 4758 omissions insurance to cover architectural and engineering services under the project delivery methods set forth in the uniform 4759 4760 procurement code.
 - Sec. 108. (NEW) (*Effective July 1, 2008*) (a) With respect to infrastructure facilities and services, the State Contracting Standards Board, in consultation with the state contracting agencies, shall adopt regulations, in accordance with chapter 54 of the general statutes, requiring the inclusion in state contracts issued under the uniform procurement code of clauses providing for adjustments in prices, time

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4767 of performance, or other contract provisions, as appropriate, and 4768 covering the following subjects: 4769 (1) The unilateral right of the state to order in writing: 4770 (A) Changes in the work within the scope of the contract; and 4771 (B) Changes in the time of performance of the contract that do not 4772 alter the scope of the contract work; 4773 (2) Variations occurring between estimated quantities of work in a 4774 contract and actual quantities; 4775 (3) Suspension of work ordered by the state; and 4776 (4) Site conditions differing from those indicated in the contract, or 4777 ordinarily encountered, except that differing site conditions clauses 4778 promulgated by the board need not be included in a contract: 4779 (A) When the contract is negotiated; 4780 (B) When the contractor provides the site or design; or 4781 (C) When the parties have otherwise agreed with respect to the risk 4782 of differing site conditions. 4783 (b) (1) Adjustments in price pursuant to clauses promulgated under 4784 subsection (a) of this section shall be computed in one or more of the 4785 following ways: 4786 (A) By agreement fixed-price adjustment before on 4787 commencement of the pertinent performance or as soon thereafter as 4788 practicable; 4789 (B) By unit prices specified in the contract or subsequently agreed 4790 upon;

clauses with adjustment of profit or fee, all as specified in the contract

(C) By the costs attributable to the events or situations under such

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- 4793 or subsequently agreed upon;
- 4794 (D) In such other manner as the contracting parties may mutually 4795 agree; or
- (E) In the absence of agreement by the parties, by a unilateral determination by the state of the costs attributable to the events or situations under such clauses with adjustment of profit or fee, all as computed by the state in accordance with applicable sections of regulations adopted in accordance with chapter 54 of the general statutes.
- 4802 (2) A contractor shall be required to submit cost or pricing data if 4803 any adjustment in contract price is subject to the provisions of the 4804 uniform procurement code.
- (c) Regulations shall be adopted, in accordance with chapter 54 of the general statutes, requiring the inclusion in state construction contracts of clauses providing for appropriate remedies and covering the following subjects:
- 4809 (1) Liquidated damages as appropriate;
- 4810 (2) Specified excuses for delay or nonperformance;
- 4811 (3) Termination of the contract for default; and
- 4812 (4) Termination of the contract in whole or in part for the 4813 convenience of the state.
- Sec. 109. (NEW) (*Effective July 1, 2008*) Every contract modification, change order, or contract price adjustment under a construction contract with the state in excess of fifty thousand dollars shall be subject to prior written certification by the fiscal officer of the state contracting agency or other agency responsible for funding the project or the contract, or other official responsible for monitoring and reporting upon the status of the costs of the total project budget or

contract budget, as to the effect of the contract modification, change order or adjustment in contract price on the total project budget or the total contract budget. In the event that the certification of the fiscal officer or other responsible official discloses a resulting increase in the total project budget or the total contract budget, the Agency Procurement Officer shall not execute or make such contract modification, change order or adjustment in contract price unless sufficient funds are available therefor, or the scope of the project or contract is adjusted so as to permit the degree of completion that is feasible within the total project budget or total contract budget as it existed prior to the contract modification, change order or adjustment in contract price under consideration, provided with respect to the validity as to the contractor, of any executed contract modification, change order, or adjustment in contract price which the contractor has reasonably relied upon, it shall be presumed that there has been compliance with the provisions of this section.

Sec. 110. (NEW) (*Effective July 1, 2008*) (a) With respect to contracts for supplies and services, the State Contracting Standards Board, in consultation with state contracting agencies may adopt regulations in accordance with chapter 54 of the general statutes permitting or requiring the inclusion of clauses providing for adjustments in prices, time of performance, or other contract provisions as appropriate covering the following subjects:

- (1) The unilateral right of the state to order in writing:
- (A) Changes in the work within the scope of the contract; and
- 4846 (B) Temporary stopping of the work or delaying performance; and
- 4847 (2) Variations occurring between estimated quantities of work in a contract and actual quantities.
- (b) (1) Adjustments in price pursuant to clauses adopted under subsection (a) of this section shall be computed in one or more of the following ways:

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- 4852 (A) By agreement on a fixed-price adjustment before 4853 commencement of the pertinent performance or as soon thereafter as 4854 practicable;
- 4855 (B) By unit prices specified in the contract or subsequently agreed 4856 upon;
- 4857 (C) By the costs attributable to the events or situations under such clauses with adjustment of profit or fee, all as specified in the contract or subsequently agreed upon;
- 4860 (D) In such other manner as the contracting parties may mutually 4861 agree; or
 - (E) In the absence of agreement by the parties, by a unilateral determination by the state of the costs attributable to the events or situations under such clauses with adjustment of profit or fee, all as computed by the state in accordance with applicable sections of the regulations adopted under the uniform procurement code.
- (c) The Commissioner of Administrative Services may adopt regulations, in accordance with chapter 54 of the general statutes, following consultation with the State Contracting Standards Board, permitting or requiring the inclusion in state contracts of clauses providing for appropriate remedies and covering the following subjects:
- 4873 (1) Liquidated damages as appropriate;
- 4874 (2) Specified excuses for delay or nonperformance;
- 4875 (3) Termination of the contract for default; and
- 4876 (4) Termination of the contract in whole or in part for the 4877 convenience of the state.
- Sec. 111. (NEW) (*Effective July 1, 2008*) The Commissioner of Administrative Services may adopt regulations, in accordance with

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- chapter 54 of the general statutes, with the advice of the State Contracting Standards Board, governing:
- 4882 (1) The management of supplies during their entire life cycle;
- (2) The sale, lease, or disposal of surplus supplies by public auction, competitive sealed bidding, or other appropriate method designated by regulation, provided that no employee of the owning or disposing agency shall be entitled to purchase any such supplies; and
- 4887 (3) Transfer of excess supplies.
- Sec. 112. (NEW) (*Effective July 1, 2008*) Unless otherwise provided by law, the Commissioner of Administrative Services shall be empowered to allocate proceeds from the sale, lease or disposal of surplus supplies.
- Sec. 113. (NEW) (*Effective from passage*) For purposes of sections 114 and 115 of this act:
- (1) "Procurement" means contracting for, buying, purchasing, renting, leasing or otherwise acquiring or disposing of, any supplies, services, including but not limited to, contracts for purchase of services and personal service agreements, interest in real property, or construction, and includes all government functions that relate to such activities, including best value selection and qualification based selection.
 - (2) "Emergency procurement" means procurement by a state agency that is made necessary by a sudden, unexpected occurrence that poses a clear and imminent danger to public safety or requires immediate action to prevent or mitigate the loss or impairment of life, health, property or essential public services or in response to a court order, settlement agreement or other similar legal judgment.
- 4906 (3) "Best value selection" means a contract selection process in which 4907 the award of a contract is based on a combination of quality and cost 4908 considerations.

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- (4) "Qualification based selection" means a contract selection process in which the award of a contract is primarily based on an assessment of contractor qualifications and on the negotiation of a fair and reasonable price.
 - (5) "State contracting agency" means any state agency or political subdivision of the state, other than the State Contracting Standards Board, that is authorized by law to enter into contracts, including, but not limited to, any quasi-public agency, as defined in section 1-120 of the general statutes, and any state agency, as defined in section 4a-50 of the general statutes, that receives state funds. State contracting agency does not include the Judicial Department or the Joint Committee on Legislative Management.
 - (6) "Contractor" means any person or entity bidding on, submitting a proposal for, applying for or participating as a subcontractor for, a transaction, procurement or contract including, but not limited to, a small contractor, minority business enterprise, and an individual with a disability, as defined in section 4a-60g of the general statutes.
 - (7) "Contract risk assessment" means (A) the identification and evaluation of loss exposures and risks, including, but not limited to, business and legal risks associated with the contracting process and the contracted goods and services, and (B) the identification, evaluation and implementation of measures available to minimize potential loss exposures and risks.
 - (8) "Privatization contract" means an agreement or series of agreements between a state contracting agency and a person, in which such person agrees to provide services valued at five hundred thousand dollars or more over the life of the contract that are substantially similar to and in lieu of services provided, in whole or in part, by employees of such agency or by employees of another state agency for such state agency. "Privatization contract" does not include an agreement to only provide legal services, litigation support or management or financial consulting.

- (9) "Comparative costs" means a comparison of the costs of entering into a privatization contract to the costs of the state providing the services that are the subject of the privatization contract, using a comparative costs analysis.
 - (10) "Comparative costs analysis" means an examination of all direct and indirect costs or savings to the state and an examination of the effect of a proposed privatization contract on the public health and safety of residents of the state who may utilize such privatized service.
 - (11) "Purchase of service agreement" means any contract between a state agency and a nonprofit agency, partnership or corporation for the purchase by the state of ongoing and routine health and human services for clients of the Departments of Social Services, Children and Families, Mental Retardation, Mental Health and Addiction Services, Public Health and Correction which is overseen by the Office of Policy and Management.
 - (12) "Rebidding" means a state contracting agency's requesting of proposals or qualifications for a contract to provide goods or services that are specific to an existing facility or program provided such goods or services are being provided under a contract in effect as of the effective date of this section.
 - (13) "Established wage rate" means (A) for for-profit contractors a minimum wage rate for employee positions with duties that are substantially similar to the duties performed by a regular agency, which rate shall be the lesser of step one of the grade or classification under which the comparable regular agency employee is paid, or the standard private sector wage rate for said position, as determined by the Labor Commissioner in accordance with section 31-57f of the general statutes and shall include a percentage representing the normal costs of health care and pension benefits for comparable state employees hired at the time of the contract; (B) for non-profit providers, a rate no lesser than step one of the grade or classification under which the most comparable regular agency employee is paid

and shall include a percentage representing the normal costs of health care and pension benefits for comparable state employees hired at the time of the contract; and (C) for nonprofit providers receiving less than ninety per cent of their funding for personal services from the state, the established rate may be lowered, provided that all additional funding for wage and benefits required by section 115 of this act is used in a manner designed to assure that all similarly situated workers are paid as closely as possible in accordance with section 115 and is used to provide wage or benefit increases to employees covered by the state contract and to other employees who are providing the services or performing functions comparable to those covered by the state contract.

(14) "Wage" shall include wages and salaries.

Sec. 114. (NEW) (Effective January 1, 2008) (a) On or before January 1, 2007, the State Contracting Standards Board shall prepare a uniform procurement code applicable to state contracting agency expenditures, including, but not limited to, expenditures: (1) By municipalities that receive state funds, (2) involving any state contracting and procurement processes, including, but not limited to, leasing and property transfers, purchasing or leasing of supplies, materials or equipment, as defined in section 4a-50 of the general statutes, consultant or consultant services, as defined in section 4b-55 of the general statutes, personal service agreements, as defined in section 4-212 of the general statutes, purchase of service agreements or privatization contracts, and (3) relating to contracts for the construction, reconstruction, alteration, remodeling, repair demolition of any public building. Nothing in this section shall be construed to require the application of uniform procurement code procedures when such procurement involves the expenditure of federal assistance or contract funds and federal law provides applicable procurement procedures to the extent such procedures are inconsistent with the uniform procurement code.

(b) The uniform procurement code described in subsection (a) of

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this section shall be designed to: (1) Establish uniform contracting standards and practices among the various state contracting agencies; (2) simplify and clarify the state's laws governing contracting standards and procurement policies and practices, including, but not limited to, procedures for competitive sealed bids, competitive sealed proposals, small purchases, sole source procurements, emergency procurements and special procurements; (3) ensure the fair and equitable treatment of all businesses and persons who deal with the procurement system of the state; (4) include a process to maximize the use of small contractors and minority business enterprises, as defined in section 4a-60g of the general statutes; (5) provide increased economy in state procurement activities and maximize purchasing value to the fullest extent possible; (6) ensure that the procurement of supplies, materials, equipment, services, real property and construction required by any state contracting agency is obtained in a cost-effective and responsive manner; (7) preserve and maintain the existing contracting, procurement, disqualification and termination authority and discretion of any state contracting agency when such contracting and procurement procedures represent best practices; (8) include a process to improve contractor and state contracting agency accountability; (9) include standards by which state contracting agencies must evaluate proposals to privatize state or quasi-public agency services and privatization contract bid proposals. Such standards shall, at a minimum, include: (A) A requirement for a comparative costs analysis to be completed prior to any state or quasi-public agency decision to privatize services, (B) adequate notification requirements to affected employees and, where applicable, certified bargaining agents, (C) a requirement for the preparation of an employee impact statement including measures that may be taken by the bidder to retain qualified state and quasi-public agency employees, (D) a provision for any privatization contract that would require the layoff, transfer or reassignment of one hundred or more state employees, to require state agencies and quasi-public agencies to provide adequate information to their employees for the purpose of encouraging and assisting such state or quasi-public employees to organize and submit a bid to

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provide the services that are the subject of the privatization contract, (E) a requirement that bidders disclose all relevant information pertaining to past performance, pending or concluded legal or regulatory proceedings or complaints, including, but not limited to, compliance with fair employment practices and nondiscrimination standards, as described in section 46a-60 of the general statutes, and compliance with federal fair employment and nondiscrimination standards, (F) a requirement that where any applicable collective bargaining agreement allows layoffs resulting from privatization, any for-profit contractor will offer available employee positions pursuant to the contract to qualified regular employees of the agency whose state employment is terminated because of such privatization contract provided such employees satisfy the hiring criteria of the contractor, and (G) provisions for a fair wage according to objective standards, such as the established wage rate defined in section 1 of this act; (10) provide that the renewal, modification, extension or rebidding of a privatization agreement in effect on or before the effective date of this section, or reentered into after the effective date of this section, shall be subject to the procurement code on and after January 1, 2010; (11) establish standards for leases and lease-purchase agreements and for the purchase and sale of real estate; and (12) provide a process for competitive sealed bids, competitive sealed proposals, small purchases, sole source procurements, emergency procurements, special procurements, best value selection, qualification based selection and the conditions for their use.

- (c) In preparing the uniform procurement code described in subsection (a) of this section, the State Contracting Standards Board shall conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices and shall utilize existing procurement procedures and guidelines that the board deems appropriate.
- (d) Upon request by the State Contracting Standards Board, each state contracting agency engaged in procurement shall provide the

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- board, in a timely manner, with such procurement information as the board deems necessary. The board shall have access to all information, files and records related to any state contracting agency in furtherance of this purpose. Nothing in this section shall be construed to require the board's disclosure of documents that are exempt from disclosure pursuant to chapter 14 of the general statutes or that may be protected from disclosure under claim of an attorney-client privilege.
 - (e) Such uniform procurement code shall be submitted to the General Assembly for its approval. The board shall file such code with the clerks of the House of Representatives and the Senate not later than January 15, 2008, and not later than January 20, 2008, the speaker of the House of Representatives and the president pro tempore of the Senate shall submit such code to the joint standing committee of the General Assembly having cognizance of matters relating to government administration and elections. Said committee shall hold a public hearing on such code and shall report its recommendations, including any changes thereto, to the House of Representatives and the Senate concerning the approval or rejection of the code. The General Assembly shall take a vote on such code not later than the end of the 2008 regular session.
 - Sec. 115. (NEW) (*Effective from passage*) (a) From the effective date of this section, until the passage and signing into law of a uniform procurement code, no state agency may enter into a privatization contract other than an emergency procurement, unless each of the following conditions have been met:
 - (1) Such contract is cost effective and fiscally prudent taking into consideration comparative costs including all direct and indirect costs to the state and the impact of such privatization contract on the public health and safety and the residents of Connecticut who use the services that are the subject of the privatization contract.
 - (2) Such agency has complied with the provisions of subsection (b) of this section.

(3) Prior to any state agency's solicitation of bids for a privatization contract, such agency shall prepare an analysis of the costs and benefits to the agency of (A) privatizing services, and (B) continuing to provide such services using state employees of the state agency. Such analysis shall include, but not be limited to: (i) An examination of all direct and indirect costs to the state, not including wages and benefits, but including, unemployment compensation costs of state employees terminated as a result of the privatization contract, gain or loss of income tax and sales tax revenue to the state, and (ii) an examination of the effect of such proposed privatization on the quality of service, the public health and safety and residents of the state who may utilize such privatized service. Additionally, the state agency shall include in such cost analysis any costs or penalties the state may incur if such contract is terminated by the state prior to the termination date contained in such contract. Each state agency shall transmit such analysis to the Secretary of the State who shall maintain copies of each such proposed contract and analysis as public records and to the Auditors of Public Accounts who may review and comment on such analysis.

(4) At least sixty days prior to publishing any notice soliciting bids for a privatization contract, a state agency shall notify each collective bargaining organization representing employees of the agency of such planned solicitation. Where the contract would result in the layoff, transfer or reassignment of one hundred or more state or quasi-public agency employees, after consulting with the potentially affected bargaining units, if any, the agency shall provide adequate resources for the purpose of encouraging and assisting present agency employees to organize and submit a bid to provide the services that are the subject of the privatization contract. In determining what resources are adequate for this purpose, the agency shall refer to an existing collective bargaining agreement of a similar employee organization whose members perform the subject services, if available, which agreement provides similar resources in the same or other agencies. If no such collective bargaining agreement exists, the agency

- 5140 shall refer to any existing collective bargaining agreements providing 5141 such resources, and shall provide such resources at the minimum level 5142 of assistance provided in such agreements. The state agency shall also 5143 provide to the state employees its analysis and any report of the 5144 Auditors of Public Accounts prepared in accordance with this act. The 5145 agency shall consider any such employee bid on the same basis as all 5146 other bids. An employee bid may be made as a joint venture with other 5147 persons.
- 5148 (b) The state agency soliciting bids for a privatization contract shall require the bidders to include the following information in their bid submission:
 - (1) The wage rate or annual salary for each employee as required by the established wage rate or, if not known, each position covered by the privatization contract;
 - (2) An agreement by any for-profit bidder or contractor to offer available positions qualified regular employees of the state agency whose state employment is terminated because of the privatization contract and who satisfy the hiring criteria of the contractor;
- (3) An agreement by the bidder or the contractor to refrain from engaging in discriminatory employment practices, as defined in section 46a-51 of the general statutes, and to take affirmative steps to provide such equal opportunity for all such persons;
 - (4) The annual rate of employee turnover;
 - (5) Any legal or administrative proceedings pending or concluded adversely against the applicant or any of the applicant's principals or key personnel within the past five years that relate to the procurement or performance of any public or private construction contract, employee safety and health, labor relations or other employment requirements and whether the applicant is aware of any investigation pending against the applicant or any principal or key personnel. Such information shall specify the date of the complaint, citation, court

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- finding or administrative finding, the enforcement agency, rule, law or regulation involved and any additional information the contractor elects to submit; and
 - (6) Any collective bargaining agreements or personnel policies covering the employees that will provide services to the state.
 - (c) Any state agency selecting a bidder for a privatization contract shall develop a contract that is acceptable to the bidder and the state agency, provided such contract shall include funds from the state that are required to comply with this section, plus for nonprofit human service providers, twenty per cent to be for administrative costs, and up to an additional forty per cent as may be documented by the provider which shall be used solely to provide for higher wages or salaries for employees who are beyond entry level, or who have additional needed skills; provided however that the amount required of the contractor, and provided by the state, for wages, benefits and administrative costs, as required by this section, for a contract renewal, modification, extension or rebidding shall not be increased by more than ten per cent per year as a result of this subsection except to the extent that additional increases are required by state, or federal law, or by the provisions of a collective bargaining agreement and shall include the following additional terms:
 - (1) Where any applicable collective bargaining agreement allows layoffs as a result of privatization, any for-profit contractor shall be required to offer available employee positions pursuant to the contract to qualified regular employees of the agency whose state employment is terminated because of the privatization contract and who satisfy the hiring criteria of the contractor;
 - (2) The contractor shall be prohibited from engaging in discriminatory employment practices, as defined in section 46a-51 of the general statutes, and shall take affirmative steps to provide such equal opportunity for all such persons;

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- 5202 (3) The contractor shall be required to submit to performance audits 5203 of such contract by the Auditors of Public Accounts on a periodic 5204 basis, as determined by the Auditors of Public Accounts;
- 5205 (4) The contractor shall pay wage rates no lower than the 5206 established wage rates; and
- 5207 (5) Such contract shall not become effective until the contractor and state agency have complied with the provisions of this section.
- (d) Upon signing such contract, the state agency shall submit such contract to the Secretary of the State who shall maintain such contract as a public document. Concomitantly, the state agency shall submit to the Secretary of the State the following information:
- 5213 (1) A certification that the state agency has complied with all the 5214 requirements of the state agency contained in the provisions of this 5215 section;
- 5216 (2) The state agency analysis prepared in accordance with this 5217 section and a report by the state agency explaining any changes in 5218 such analysis and report as a result of the terms of the proposed 5219 privatization contract;
- (3) A state agency analysis of the quality of the services to be provided by the designated bidder and whether such services are equal to or exceed the quality of services that are provided by regular agency employees;
 - (4) A certification by the designated bidder that the bidder and its supervisory employees, while in the employ of the designated bidder, have no adjudicated record of repeated willful noncompliance with any relevant federal or state regulatory law including, but not limited to, laws concerning labor relations, occupational safety and health, nondiscrimination and affirmative action, environmental protection and conflicts of interest; and

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- 5231 (5) A description of why the proposed privatization contract is in 5232 the public interest.
- 5233 (e) (1) Until January 1, 2010, a privatization contract with a 5234 nonprofit contractor shall only be required to comply with subsection 5235 (c) of this section, unless such contract results in the layoff, transfer or 5236 reassignment of any state employee in which case this section shall 5237 apply in full; (2) the renewal, modification, extension or rebidding of a 5238 privatization agreement in effect on or before the effective date of this 5239 section shall only be required to comply with subsection (c) of this 5240 section, unless such contract results in the layoff, transfer or 5241 reassignment of any state employee in which case this section shall 5242 apply in full; (3) in no event shall any proposed privatization contract 5243 with a nonprofit provider be subject to subsection (f) of this section, 5244 unless such contract would result in the layoff, transfer, or 5245 reassignment of any state employee.
 - (f) Any employees, or collective bargaining agent of any employees, adversely affected by any proposed privatization contract may file a motion for an order to show cause in the superior court for the judicial district of Hartford claiming that such contract fails to comply with the substantive or procedural requirements of this act. A ruling on any such motion may: (1) Deny the motion, if the court finds that all procedural and substantive provisions section 115 of this act have been complied with; (2) grant the motion if the court finds that the proposed contract would substantively violate the provisions of section 115 of this act; or (3) stay the effective date of the contract until any procedural or substantive defect found by the court has been corrected.
- (g) No funds paid to any contractor as a result of any privatization contract may be used for purposes of lobbying, as defined in section 1-91 of the general statutes.
- 5261 Sec. 116. Sections 4-98; 4-100; 4-124p; 4-212; 4-213; 4-214; 4-215; 4-5262 216; 4-217; 4-218; 4-219; 4a-2; 4a-4; subsection (a) of 4a-6; sections 4a-7a;

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4a-9; subdivisions (1) to (5), inclusive, and subdivision (7) of section 4a-5263 5264 50; sections 4a-51; 4a-52; 4a-52a; 4a-52b; 4a-53; 4a-54; 4a-55; 4a-56; 5265 subsections (a), (d) and (e) of section 4a-57; sections 4a-57a; 4a-57b; 4a-5266 58; subdivisions (1) and (2) of subsection (a) of section 4a-59; 5267 subsections (b) to (f), inclusive, of section 4a-59; sections 4a-59a; 4a-60; 5268 4a-60a; 4a-60h; 4a-60i; 4a-60j; 4a-61; 4a-62; 4a-63; 4a-64; 4a-65; 4a-66; 4a-5269 67; 4a-67c; 4a-67d; 4a-67e; 4a-67f; 4a-71; 4a-72; 4a-73; 4a-74; 4a-75; 4a-76; 5270 4a-80; 4a-81; 4b-1; 4b-23; 4b-24; 4b-26; 4b-30; 4b-30a; 4b-32; 4b-33; 4b-34; 5271 4b-35; 4b-36; 4b-37; 4b-38; 4b-55; 4b-55a; 4b-56; 4b-57; 4b-58; 4b-59; 4b-5272 92; 4b-93; 4b-94; 4b-95; 4b-95a; 4b-96; 4b-100; 4b-100a; 4b-101; 4b-102; 5273 4d-2; 4d-8; subdivisions (1) to (3), inclusive, of section 4d-30; sections 5274 4d-31; 4d-32; 4d-33; 4d-34; 4d-35; 4d-36; 4d-37; 4d-38; 4d-39; 4d-40; 4d-5275 41; 4d-42; 4d-43; 4d-44; 4d-45; 4d-46; 4d-47; 4d-48; 10-298b; 10a-151b; 5276 13a-33; 13b-20b; 13b-20c; 13b-20d; 13b-20e; 13b-20f; 13b-20g; 13b-20h; 5277 13b-20i; 13b-20j; 13b-20k; 13b-20m; 13b-20n; 13b-34; 13b-36; 13b-53; 5278 17b-3; 17b-25; 17b-28b; 17b-656; 18-88; 18-89; 27-25; 31-57a; 31-57b; 31-5279 57c; 31-57d; 32-1c; 46a-56; 46a-68b; 46a-68c; 46a-68d; 46a-68e; 46a-68f; 5280 46a-68g and 49-41c of the general statutes are repealed. (Effective July 1, 5281 2008)

This act shall take effect as follows and shall amend the following				
sections:				
Section 1	from passage	20-281c		
Sec. 2	from passage	New section		
Sec. 3	from passage	10-29a(a)		
Sec. 4	from passage	2c-2b		
Sec. 5	from passage	New section		
Sec. 6	from passage	New section		
Sec. 7	from passage	New section		
Sec. 8	from passage	3-117(c)		
Sec. 9	from passage	4d-90		
Sec. 10	from passage	4d-7		
Sec. 11	from passage	New section		
Sec. 12	from passage	New section		
Sec. 13	from passage	New section		
Sec. 14	October 1, 2007	3-107		

Sec. 15	from passage	New section
Sec. 16	from passage	New section
Sec. 17	from passage	3-21e(c)
Sec. 18	from passage	4a-82(m)
Sec. 19	from passage	4a-100(k)
Sec. 20	from passage	4b-103
Sec. 21	from passage	9-249(b)
Sec. 22	from passage	9-705(c)
Sec. 23	from passage	9-705(g)
Sec. 24	from passage	3-22k
Sec. 25	from passage	4a-67d
Sec. 26	from passage	New section
Sec. 27	from passage	9-7b
Sec. 28	from passage	9-604(d)
Sec. 29	from passage	9-605(b)
Sec. 30	from passage	9-610(e) and (f)
Sec. 31	October 1, 2007	9-610(e) and (f)
Sec. 32	from passage	9-372
Sec. 33	from passage	9-675(b)
Sec. 34	from passage	9-702(b) and (c)
Sec. 35	from passage	9-703
Sec. 36	from passage	9-704
Sec. 37	from passage	9-706
Sec. 38	from passage	9-751
Sec. 39	July 1, 2007	New section
Sec. 40	from passage	New section
Sec. 41	from passage	New section
Sec. 42	from passage	New section
Sec. 43	from passage	New section
Sec. 44	from passage	New section
Sec. 45	from passage	New section
Sec. 46	from passage	New section
Sec. 47	from passage	New section
Sec. 48	from passage	New section
Sec. 49	from passage	New section
Sec. 50	from passage	New section
Sec. 51	from passage	New section
Sec. 52	July 1, 2008	New section
Sec. 53	July 1, 2008	New section
Sec. 54	July 1, 2008	New section

Sec. 55	July 1, 2008	New section
Sec. 56	from passage	New section
Sec. 57	from passage	New section
Sec. 57	, ,	New section
Sec. 59	upon passage July 1, 2008	New section
Sec. 59		
	July 1, 2008	New section
Sec. 61	July 1, 2008	New section
Sec. 62	July 1, 2008	New section
Sec. 63	July 1, 2008	New section
Sec. 64	July 1, 2008	New section
Sec. 65	July 1, 2008	New section
Sec. 66	July 1, 2008	New section
Sec. 67	July 1, 2008	New section
Sec. 68	July 1, 2008	New section
Sec. 69	July 1, 2008	New section
Sec. 70	July 1, 2008	New section
Sec. 71	July 1, 2008	New section
Sec. 72	July 1, 2008	New section
Sec. 73	July 1, 2008	New section
Sec. 74	July 1, 2008	New section
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Sec. 78	July 1, 2008	New section
Sec. 79	July 1, 2008	New section
Sec. 80	July 1, 2008	New section
Sec. 81	July 1, 2008	New section
Sec. 82	July 1, 2008	New section
Sec. 83	July 1, 2008	New section
Sec. 84	July 1, 2008	New section
Sec. 85	July 1, 2008	New section
Sec. 86	July 1, 2008	New section
Sec. 87	July 1, 2008	New section
Sec. 88	from passage	New section
Sec. 89	July 1, 2008	New section
Sec. 90	July 1, 2008	New section
Sec. 91	July 1, 2008	New section
Sec. 92	July 1, 2008	New section
Sec. 93	July 1, 2008	New section
Sec. 94	July 1, 2008	New section

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Sec. 106	July 1, 2008	New section
Sec. 107	July 1, 2008	New section
Sec. 108	July 1, 2008	New section
Sec. 109	July 1, 2008	New section
Sec. 110	July 1, 2008	New section
Sec. 111	July 1, 2008	New section
Sec. 112	July 1, 2008	New section
Sec. 113	from passage	New section
Sec. 114	January 1, 2008	New section
Sec. 115	from passage	New section
Sec. 116	July 1, 2008	Repealer section

GAE Joint Favorable Subst.